

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

08-050466

REPORT OF: MINNESOTA FRAUD RING

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

04/20/2016

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Approved By

(b) (6), (b) (7)(c)

Approved Date

04/20/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



<b>PREPARED BY:</b>	(b)(6) (b)(7)(c)	<b>DATE:</b>	04/19/2016
<b>DISTRIBUTION:</b>	Headquarters, Chicago Field Office	<b>OFFICE:</b>	REGION 5 - CHICAGO

**NARRATIVE**

**Initial Allegations**

In 2008, The U.S. Department of Education, Office of Inspector General, Hotline Operations, received a complaint for possible identity theft. The complaint was forwarded to the Chicago Field Office for investigation. During the same time frame, school officials from the MN Colleges and University Internal Audit division contacted the Chicago Field Office and were concerned that a group of individuals using the same address in Minnesota enrolled in online courses and may have falsified information on their financial aid applications to obtain federal and state financial aid. It was suspected that many of the individuals who applied for financial aid had no intention of attending school and/or were ineligible for the aid that they received. The pattern for these students was to either withdraw from classes after they received refund checks or just not show up for classes. The individuals also shared addresses, email addresses and bank account information.

All of the financial aid was received for purported attendance at schools located in Minnesota. The majority of the loans were guaranteed in South Dakota and by Great Lakes Higher Education Corp (GLHEC) located in Madison, WI. Victims and co-conspirators were located in Wisconsin, Illinois, Iowa, Minnesota and Georgia.

An initial investigation by this office, with the cooperation of the Minnesota Colleges and Universities internal audit division and Great Lakes Higher Education Corporation, substantiated the complaint and determined that federal and state funds were awarded to ineligible students based on fraudulent information submitted on Free Applications for Federal Student Aid and various other applications and documents. Documents were obtained from the victim schools, lenders and banks. Eligibility information was confirmed for each recipient of financial aid.

**Armstrong Group Investigation**

On March 8, 2008, (b)(6) (b)(7)(c), a representative with the Education Assistance Corporation, contacted the US Department of Education, Office of Inspector General ("ED-OIG"), regarding a potential student financial aid fraud ring defrauding Century College ("Century") in White Bear Lake, Minnesota. (b)(6) (b)(7)(c) the (b)(6) (b)(7)(c) at Century College ("Century") in White Bear Lake, Minnesota, informed (b)(6) (b)(7) of the potential fraudulent activity. (b)(6) (b)(7) alleged that a group of individuals located in Minnesota

and Wisconsin enrolled in online courses at Century and provided common addresses on their financial aid and admissions paperwork.

The case was initially assigned to Special Agent (b)(6) (b)(7)(c), and during his investigation, he discovered that there were two separate financial aid fraud rings defrauding Century. Special Agent (b)(6) (b)(7)(c) continued to investigate the group that would be prosecuted in Wisconsin and Special Agent (b)(6) (b)(7)(c) was assigned to investigate the group that would be prosecuted in Minnesota. Special Agent (b)(6) (b)(7)(c) with the FBI (Minneapolis) assisted Special Agent (b)(6) (b)(7)(c) with the investigation of the Armstrong group.

Student records from Century showed that approximately 187 individuals were linked to the student financial aid fraud ring. Over 1.5 million in federal financial aid was disbursed to the group and Century mailed out approximately 1.2 million dollars in student refund checks. Many of the individuals in the group provided one (or several) common email addresses on their FAFSAs. Some of the email addresses were directly linked to Yvette Armstrong and (b)(6) (b)(7)(c), and other email addresses were associated with Armstrong and (b)(6) family members. High school diploma and GED verifications revealed that at least 41 individuals provided incorrect information on their school applications and may have been ineligible for federal student aid.

ED-OIG and the FBI interviewed over 30 individuals linked to Armstrong and (b)(6) (b)(7)(c). The information provided in the interviews varied to a degree; however, some of the individuals admitted to participating in a financial aid fraud ring and indicated that Armstrong or (b)(6) (b)(7)(c) signed them up for school at Century. Some of the individuals signed up for school for the sole purpose of receiving federal financial aid funds. Individuals indicated that Armstrong or (b)(6) (b)(7)(c) received a portion of the funds from their financial aid refund. A substantial portion of the individuals interviewed did not possess a high school diploma or GED.

(b)(6) (b)(7)(c), was also implicated in the financial aid fraud scheme. (b)(6) (b)(7)(c), an admitted participant of the fraud ring, stated that she provided (b)(6) (b)(7)(c) with her personal information to in turn give to (b)(6) (b)(7)(c) for the purpose of signing up for school to receive federal financial aid funds. (b)(6) (b)(7)(c) did not possess a high school diploma or GED and was ineligible to receive federal financial aid. Records showed that (b)(6) (b)(7)(c) did not obtain her GED until April 2011 and ineligibly received federal financial aid prior to April 2011. Further, some of the financial aid refund checks for the fraud ring members were mailed to addresses linked to (b)(6) (b)(7)(c).

On April 18, 2013, (b)(6) (b)(7)(c) was interviewed. (b)(6) (b)(7)(c) admitted that she received federal financial aid even though she did not possess a high school diploma or GED. (b)(6) (b)(7)(c) stated that people in her neighborhood learned that she received money for going to school so they asked her to sign up for school. (b)(6) (b)(7)(c) received \$500 to \$1,000 from some of the people she signed up for school. (b)(6) (b)(7)(c) used her email addresses and the email addresses of other family members to sign people up for school.

On February 19, 2013, Armstrong was interviewed. Armstrong received federal financial aid and her eligibility could not be determined because the private Chicago high school she claimed to have graduated from had closed. Armstrong stated that she only helped family members complete their admissions and financial aid paperwork; however, she verbally advised some non-family members on how to sign up for school. Armstrong allowed people she helped sign up for school use her email address on their Free Applications for Federal Student Aid ("FAFSA"). Armstrong initially denied obtaining a driver's license in another person's name but later admitted to obtaining a driver's license in (b)(6) (b)(7)(c) name. Armstrong also admitted to obtaining federal student aid in (b)(6) (b)(7)(c) name at Century. Armstrong used (b)(6) (b)(7)(c) information because she knew (b)(6) (b)(7)(c) was disabled. On February 5, 2013, the FBI interviewed (b)(6) (b)(7)(c) and her caretaker in Iowa and learned that she was disabled, did not sign up for school at Century and did not give Armstrong permission to use her identity to sign up for school.

#### Armstrong Group Prosecution

On December 3, 2012, AUSA (b)(6) (b)(7)(c) accepted the case for prosecution. After the investigation was complete, AUSA (b)(6) (b)(7)(c) decided to only pursue charges against Armstrong; AUSA (b)(6) (b)(7)(c)

declined to prosecute (b)(6) (b)(7)(c) and any other individuals involved in the fraud scheme. On April 15, 2015, Armstrong was indicted on one count of Student Assistance Fraud. On May 11, 2015, Armstrong was arrested pursuant to an arrest warrant. On June 29, 2015, Armstrong pled guilty to one count of Student Assistance Fraud. On March 16, 2016, Armstrong was sentenced to 6 months of incarceration and 3 years supervised release; she was also ordered to pay \$14,778.33 in restitution and a \$100 special assessment.

The initial allegations indicated that Yakie Eason ("YAKIE") was involved in the fraud scheme with (b)(6) and Armstrong. AUSA (b)(6) (b) declined to prosecute Yakie; however, Yakie was prosecuted locally by Ramsey County and indicted, convicted and sentenced for check forgery, in violation of MN Section 609.631.3 and 609.631.4(2). The case involving Yakie was independent and separate from ED-OIG's case.

#### Griffin Group Investigation

The main organizers of the fraud ring were Nicole Griffin, Richard Griffin, and Maria Hurt. The Griffins lived in Prior Lake, MN. Maria Hurt lived in Galesburg, IL. A large number of the people associated with this case have extensive criminal histories and ties to Chicago street gangs.

The Griffins were interviewed and admitted to processing fraudulent financial aid applications. It was determined by the OIG, and admitted to by the Griffins during the interview, that they processed paperwork and received financial aid for people who did not authorize the use of their identifiers. At the conclusion of the interview, Nicole Griffin agreed to conduct consensual phone calls with Maria Hurt, a co-conspirator who provided Griffin with personally identifiable information (PII) of identity theft victims, where they discussed getting more names to process for financial aid or resubmitting names for people already processed for financial aid.

Maria Hurt was interviewed and admitted to her participation in the scheme. Hurt previously worked at a welfare-to-work program in Harvey, IL. She admitted to stealing the identities of enrollees in the program and proving them to Griffin to process for financial aid. Hurt received \$2,000 on February 23, 2008 from Griffin via wire transfer shortly after aid was obtained by the Griffins for one of the identity theft victims. It is unknown how many names Hurt provided to Griffin but she admitted to providing names and possibly as many as fifteen.

After Nicole Griffin obtained the personal identifiers from family, friends or from Maria Hurt, she applied for financial aid. Griffin first accessed the U.S. Department of Education online Free Application for Federal Financial Aid (FAFSA) website. Griffin created a challenge password and pin number for each person to access the system in the future. She stated in her interview that she generally used the challenge word blue for boys and pink for girls in response to the question of what is your favorite color.

Griffin utilized the pin number to access the FAFSA website and input information for individuals applying for financial aid funds. A U.S. Department of Education contactor used the information on the FAFSA to create an Individual Student Information Record (ISIR) and transmitted the information to the schools to determine the student's eligibility for financial aid.

Griffin then accessed the schools' or Minnesota State Colleges and University's (MnSCU) online access system to enter enrollment application information for the students. Nicole Griffin submitted fraudulent information on the applications to make the students appear eligible for enrollment and financial aid funds. After classes were chosen and eligibility for financial aid was determined, financial aid funds for the students were requested from the state, U.S. Department of Education and various guarantee agencies / lenders, including Great Lakes Higher Education Corporation.

Pell Grant and other grant funds were obtained by the school on behalf of the students automatically if they qualified. Griffin accessed the guarantee agency's online system to create, sign and certify a Master Promissory Note (MPN). Loan funds could then be obtained from a qualified lender. For GLHEC guaranteed loans, they often became the servicer for the loan.

Financial aid funds were applied to the students' accounts to pay for tuition, books and other fees. Due to the low cost of the schools and the high amount of aid, there were excess funds in the student accounts.

The excess funds were then disbursed to the student accounts for living expenses via check or direct deposit. The school mailed the checks to the students' address of record which were controlled by the Griffins. The checks were cashed by the Griffins or by willing participants.

#### Griffin Group Prosecution

On May 17, 2010, AUSA (b)(6) (b)(7) accepted the case for prosecution. On January 25, 2012, Richard Griffin, Nicole Griffin and Maria Hurt were charged in a 19 count indictment in the Western District of Wisconsin with mail fraud, identity theft and student financial aid fraud. It was alleged that between August 2003 and January 2010, the defendants fraudulently used in excess of 50 person's personal identifiers and defrauded the United States of more than \$400,000. The indictment alleged that the group fraudulently applied for federal and state student financial aid at schools in Minnesota by falsifying eligibility for financial aid and fraudulently using the identifiers of others to obtain financial aid funds. This case was worked jointly with the U.S. Postal Inspection service.

The Griffins pled guilty on August 8, 2012 to one count of mail fraud. On October 24, 2012, Nicole and Richard Griffin were sentenced in the Western District of Wisconsin to 20 months incarceration and 18 months incarceration respectively. Both were also sentenced to thirty six months of monitored probation, ordered to repay \$333,546.55 in restitution and fined \$100.

Maria Hurt pled guilty on July 19, 2012 to one count of mail fraud. On September 27, 2012 Maria Hurt was sentenced in the Western District of Wisconsin to eight months incarceration, thirty six months of monitored probation, ordered to repay \$367,424.65 in restitution and fined \$100.

#### Jenkins Group Investigation

The main organizers of the fraud ring were Valencia Jenkins, Ricco Jenkins and Sharondalynn Lathan. Valencia Jenkins lived in Lithonia, GA. Sharondalynn Lathan lived in Lithonia GA. Ricco Jenkins lived in Lithonia, GA. A large number of the people associated with the ring had extensive criminal histories and ties to Chicago street gangs. All of the financial aid was received for purported attendance at schools located in Minnesota, Arizona and Illinois.

All three were interviewed and admitted to receiving financial aid for which they were not eligible to receive and using the funds for non-education related purposes. Ricco Jenkins and Valencia Jenkins provided written statements. None of the three possessed a high school diploma or GED certificate. Valencia Jenkins and Sharondalynn Lathan admitted to assisting family members, friends and others to obtain financial aid for which they were not eligible to receive. Ricco Jenkins stated that Valencia Jenkins requested that he refer people to her to apply for financial aid.

Valencia Jenkins kept all or part of the proceeds from processing financial aid with other peoples' identifiers. During her interview she stated that she always transferred money to everyone for whom she processed financial aid. She stated she used Money Graham and Western Union. A review of Money Graham and Western Union wire transfers showed she only wired approximately \$9,914 to family members and a boyfriend. According to interviews with victims, Valencia Jenkins processed paperwork and received financial aid for people who did not authorize the use of their identifiers. It is unknown how many names Jenkins used because she used multiple addresses, email addresses and bank accounts.

After Valencia Jenkins obtained the personal identifiers from family, friends and others, she processed financial aid applications. She first accessed, or instructed co-conspirators to access, the U.S. Department of Education online Free Application for Federal Financial Aid (FAFSA) website. She, or they, created a challenge password and pin number for each person to access the system in the future. If they created the password, it was shared with Jenkins.

Jenkins utilized the pin number to access the FAFSA, and other websites, and input information for individuals applying for financial aid funds. A U.S. Department of Education contactor used the information on the FAFSA to create an Individual Student Information Record (ISIR) and transmitted the information to the schools to determine the student's eligibility for financial aid.

Jenkins then accessed the schools', or Minnesota State Colleges and University's (MnSCU), online

access system to enter enrollment application information for the students. Jenkins submitted fraudulent information on the applications to make the students appear eligible for enrollment and financial aid funds. After classes were chosen and eligibility for financial aid was determined, financial aid funds for the students were requested from the state, U.S. Department of Education and various guarantee agencies / lenders, including Great Lakes Higher Education Corporation.

Pell Grant and other grant funds were obtained by the school on behalf of the students automatically if they qualified. Jenkins accessed the guarantee agency's online system to create, sign and certify a Master Promissory Note (MPN). Loan funds could then be obtained from a qualified lender. For GLHEC guaranteed loans, they often became the servicer for the loan.

Financial aid funds were applied to the individuals' accounts to pay for tuition, books and other fees. Due to the low cost of the schools and the high amount of aid, there were excess funds in the student accounts. The excess funds were then disbursed for living expenses via check, direct deposit or prepaid debit cards. The school mailed the checks or debit cards to the students' address of record. The addresses were controlled by Jenkins and Lathan so she could intercept the checks or debit cards. Checks for identity theft victims were forged and cashed or the proceeds were deposited in various bank accounts or bank card accounts.

Ricco Jenkins admitted that he initially shared the proceeds from his refund checks with Valencia Jenkins. He also used Valencia's and Lathan's addresses to receive his financial aid refund checks. The three shared addresses during the time period of the fraudulent receipt of financial aid.

#### Jenkins Group Prosecution

On May 17, 2010, AUSA (b)(6) (b)(7) accepted the case for prosecution. One June 5, 2013, in the Western District of Wisconsin, Valencia Jenkins, Ricco Jenkins, and Sharondalynn Lathan were charged with engaging in a scheme to obtain money from the United States. The indictment charged Valencia Jenkins with eight counts of mail fraud and one count of using the identity of another person during the mail fraud offenses. Ricco Jenkins was charged with two counts of mail fraud. Sharondalynn Lathan was charged with two counts of mail fraud. The indictment alleged that the defendants fraudulently used more than 20 persons' personal identifiers and defrauded the United States of more than \$400,000. The case was worked jointly with the U.S. Postal Inspection Service.

On December 19, 2013 Valencia Jenkins and Sharondalynn Lathan, plead guilty to one count each of mail fraud. On April 15, 2014 Sharondalynn Lathan was sentenced to six months incarceration, six months home confinement, three years supervised release and ordered to pay \$417,722 in restitution. On July 8, 2014, Valencia Jenkins was sentenced to 14 months incarceration, three years supervised release and ordered to pay \$417,722 in restitution.

On March 6, 2014 Ricco Jenkins, pled guilty to two counts of mail fraud. On July 8, 2014, Ricco Jenkins was sentenced to 12 months and one day incarceration; three years supervised release and ordered to pay \$417,722 in restitution.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

City

(b)(6) (b)(7)(c)

State

(b)(6)

Index Name

GRIFFIN, NICOLE T

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

City

(b)(6) (b)(7)(c)

State

(b)(6)

<u><b>Index Name</b></u>	ARMSTRONG, YVETTE		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1		
	City	(b)(6)	State (b)(6)

<u><b>Index Name</b></u>	GRIFFIN, RICHARD L			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State (b)(6)	Zip Code (b)(6) (b)(7)



<u><b>Index Name</b></u>	EASON, YAKIE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1			
	Street2	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)

<u><b>Index Name</b></u>	JENKINS, VALENCIA		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)		
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)	
	Street2	(b)(6) (b)(7)(c)	
	City	(b)(6) (b)(7)(c)	State (b)(6) (b)(7)(c)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)(c)		

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State (b)(6) (b)(7)(c)	Zip Code (b)(6) (b)(7)(c)

<u><b>Index Name</b></u>	JENKINS, RICCO		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)	
	City	(b)(6)	State (b)(6)

<u><b>Entity Address</b></u>	Street1	6943 S. Stewart	
	City	Chicago	State IL

<u><b>Index Name</b></u>	Lathan, Sharondalyn			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State (b)(6)	Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	HURT, MARIA A.			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1			
	Street2	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

ARMSTRONG, YVETTE

**Accepted Date**

12/03/2012

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

06/29/2015

**Docket Number**

CR15-123

**Community Service**

0 Hours

**Sentencing Date**

03/17/2016

**All confinement Time**

0 Months

**Days** 6 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$14,778.33

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

**Declined Reason**

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

**Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date**

04/15/2015

**Declined Reason**

Other

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

EASON, YAKIE

**Accepted Date**

11/23/2009

**Attorney Type**

States Attorney

**Venue**

Minnesota (State)

**Conviction Type**

Guilty Plea

**Conviction Date**

04/15/2013

**Docket Number**

09-17381 (Ramsey County)

**Community Service**

0 Hours

**Sentencing Date**

06/13/2013

**All confinement Time**

19 Months

**Days** 0 Days**Probation Time**

72 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$131.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

States Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (State)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****Declined Date**

04/15/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

Minnesota (Federal)



**Criminal Action****Index Name**

GRIFFIN, NICOLE T

**Accepted Date**

05/17/2010

**Attorney Type**

US Attorney

**Venue**

Wisconsin, Western District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

08/08/2012

**Docket Number**

3:12 CR 00011

**Community Service**

0 Hours

**Sentencing Date**

10/24/2012

**All confinement Time**

20 Months

**Days****Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$111,515.51

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Wisconsin, Western District (Federal)

**Criminal Action****Index Name**

GRIFFIN, RICHARD L

**Accepted Date**

05/17/2010

**Attorney Type**

US Attorney

**Venue**

Wisconsin, Western District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

08/08/2012

**Docket Number**

3:12 CR 00011

**Community Service**

0 Hours

**Sentencing Date**

10/24/2012

**All confinement Time**

18 Months

**Days****Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$111,515.51

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Wisconsin, Western District (Federal)

**Criminal Action****Index Name**

HURT, MARIA A.

**Accepted Date**

05/11/2011

**Attorney Type**

US Attorney

**Venue**

Wisconsin, Western District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

07/19/2012

**Docket Number**

3:12 CR 00011

**Community Service**

0 Hours

**Sentencing Date**

09/27/2012

**All confinement Time**

8 Months

**Days****Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$144,393.63

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Wisconsin, Western District (Federal)

**Criminal Action****Index Name**

JENKINS, RICCO

<b><u>Accepted Date</u></b>	05/06/2013	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Wisconsin, Western District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	03/06/2014	
<b><u>Docket Number</u></b>	13 CR 68	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	07/08/2014	
<b><u>All confinement Time</u></b>	12 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	36 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$139,241.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Wisconsin, Western District (Federal)	

**Criminal Action****Index Name**

(b)(6) (b)(7)(c)

**Accepted Date****Attorney Type**

US Attorney

**Venue**

Wisconsin, Western District (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

06/05/2013

**Declined Reason**

(b) (5) (b) (7)(E)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

AUSA (b)(6) (b)(7)(c)

**Declined Venue**

Wisconsin, Western District (Federal)

**Criminal Action****Index Name**

JENKINS, VALENCIA

<b><u>Accepted Date</u></b>	05/06/2013	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Wisconsin, Western District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	12/18/2013	
<b><u>Docket Number</u></b>	13 CR 68	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	07/08/2014	
<b><u>All confinement Time</u></b>	14 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	36 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$139,240.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Wisconsin, Western District (Federal)	

**Criminal Action****Index Name**

Lathan, Sharondalyn

**Accepted Date**

05/06/2013

**Attorney Type**

US Attorney

**Venue**

Wisconsin, Western District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

12/18/2013

**Docket Number**

13 CR 68

**Community Service**

0 Hours

**Sentencing Date**

04/15/2014

**All confinement Time**

6 Months

**Days****Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$139,241.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Wisconsin, Western District (Federal)

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Agent Notes.pdf  
(b)(6) (b)(7) complaint.pdf  
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auroacentral.pdf  
authorization 4.pdf  
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century check copies.pdf  
champaign\_ford\_IL.doc  
Chapter 7 Bankruptcy - Y. Armstrong - 1-2-2013.pdf  
Chicago PD Requests - Richard Griffin 02152012.pdf  
Chicago Public Schools Verifications.pdf  
(b)(6) (b)(7)(c) .pdf  
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Cook Co Verifications 6 01262010.pdf  
Cook County GED Response (JSB).pdf  
Cook county GED Verification 5.pdf  
cookcountygedverification.pdf  
cookcountygedverification2.pdf  
cookcountygedverification3.doc  
cookcountygedverification4.pdf  
cps grad 4 verify.pdf  
cps grad 5.pdf



CPS HSD Request Response (1989 to Present).pdf

CPS HSD Request Response (Prior 1989).pdf

cps.pdf

cpshsgradverification.pdf

cpshsgradverification2.pdf

cpshsgradverification3.pdf

cpshsgradverification4.pdf

(b)(6) (b)(7)(c).pdf

(b)(6) (b) MOI - 1-17-13.pdf

(b)(6) MOI.pdf

(b)(6) (b)(7) MOI.pdf

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Document301\_61.pdf

documents from (b)(6) (b)(7) 10202009.pdf

eaccctc.pdf

(b)(6) deferment request from (b)(6) (b) 10202009.pdf

(b)(7) ed12947-08.pdf

(b)(6) (b) pdf

(b)(6) MOI.pdf

(b)(6) (b)(7) MOI.pdf

FBI Notification of Case Opening.doc

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Financial Aid Eligibility cps 03312009.pdf

(b)(6) (b)(7)(c) MOI.pdf

Franklin County GED Response (JSB).pdf

(b)(6) (b)(7)(c) 301.pdf

(b)(6) (b)(7)(c).pdf

GED Request - Cook County.pdf

GED Request - MN (MN Fraud Ring).doc

GED Request - MN (MN Fraud Ring)1.doc

GED Request Response - Cook County.pdf

GED Response (Cook) (b)(6).pdf

ged verifications.pdf

Griffin 10\_4\_2012.pdf

griffin hurt press release.pdf

griffin target letters.pdf

(b)(6) (b)(7)(c) 301 09\_14\_12.pdf

hastings pd report.pdf

(b)(6) (b)(7) MOI (JSB).pdf

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hurt j and c.pdf  
Hurt plea agreement.pdf  
hurt sentence.pdf  
hurt target letter.pdf  
(b)(6) MOI.pdf  
il dls part 1.pdf  
il dls part 2.pdf  
Indictment GRIFFINS\_HURT\_FINAL SIGNED.pdf  
Interview\_of (b)(6) (b)(7)(c) .pdf  
(b)(6) (b)(7)(c) MOI (JSB).pdf  
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Jenkins group NSLDS referral.pdf  
Jenkins NOGS.pdf  
Jenkins visitation request.pdf  
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Lathan J&C.pdf  
Lathan sentencing.pdf  
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Maria Hurt2 301.pdf  
Maria Hurt3 301.pdf  
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(b)(6) (b)(7)(c) pdf  
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MN Fraud Checks.xlsx  
MN Fraud Spread Sheet.xlsx  
MN GED 2 verifications.pdf  
MN GED Request Response.xlsx  
MN GED Response (2nd) (JSB).pdf  
MN GED Verifications.pdf  
MO GED (b)(6) (b)(7)(c) .pdf  
Nicole and Richard Griffin 301.pdf  
Nicole Griffin Consensual transcript 04132010.pdf  
nicole griffin J and C.pdf  
nicole\_griffin plea agreement.pdf  
no contact memo.pdf  
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OCM1.doc  
(b)(6) (b)(7)(c) .pdf  
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(b)(6) (b)(7)(c) .pdf  
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Restitution Memo griffins hurt. oc.pdf  
Ricco jenkins 301.pdf  
Ricco Jenkins Bench Warrant.pdf  
Ricco Jenkins J&C.pdf  
Ricco Jenkins Plea agreement.pdf  
Ricco Jenkins plea.pdf  
Ricco Jenkins Sentencing Minutes.pdf  
ricco Jenkins sheronda lathan 301 09\_17\_12.pdf  
Richard GRIFFIN aka Lewis Walker Certified Statement of Conviction-Disposition Rcvd 02232012.pdf  
richard griffin j and c.pdf  
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Valencia Jenkins J&C.pdf  
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victim letters.pdf

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Y. Armstrong Telephone MOI.docx

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Yvette Armstrong Plea (JSB).docx

Yvette Armstrong Sentencing.pdf

Yvette\_Monay\_Armstrong\_Interview.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

09-030298

REPORT OF: AGORA CYBER CHARTER  
SCHOOL

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

08/29/2016

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Approved By

(b) (6), (b) (7)(C)

Approved Date

09/05/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c)

**DATE:** 08/29/2016

**DISTRIBUTION:** WFO, HQ

**OFFICE:** REGION 3 - PHILADELPHIA

**NARRATIVE**

**Introduction**

This case was predicated on information received from a separate ED/OIG investigation involving the FBI, IRS, and ED/OIG. Agora Cyber Charter School (Agora), located in Devon, PA, was a public cyber charter school, open to all Pennsylvania students in grades kindergarten through twelfth grade. It was alleged that the founder and CEO of Agora, Dr. June BROWN, created a management company, The CYNWYD GROUP. The CYNWYD GROUP collected millions of dollars in leasing and management fees from Agora.

Preliminary results of this investigation revealed that BROWN also founded and operated the Laboratory Charter School (Laboratory), the Ad Prima Charter School (Ad Prima), and the Planet Abacus Charter School (Abacus). BROWN collected salaries/management fees for all three of these schools. BROWN was also the founder and CEO of the Mainline Academy, a private school for special needs students, located in Bala Cynwyd, PA.

**ED/OIG Investigation**

On May 6, 2010, ED-OIG agents and FBI agents executed a search warrant at Brown's business offices located in Bala Cynwyd, PA. On October 12, 2010, search warrants were executed on Yahoo, AOL, and Comcast for email communications.

On July 24, 2012 Dorothy June Brown, Joan Woods Chalker (CEO of Abacus), (b)(6) (b)(7)(c) of Laboratory), (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) of Ad Prima), and Anthony Smoot (Business manager) were indicted and charged with multiple counts of wire fraud, conspiracy to obstruct justice, obstruction of justice, and witness tampering. The charges were related to Brown's scheme to defraud two charter schools out of more than six million dollars. The indictment alleged that Brown and her co-conspirators falsified documents such as board meeting minutes, board resolutions, financial records, and contracts that resulted in \$5.6 million dollars in fraudulent payments to Brown's private management companies. The investigation revealed that Brown used her influence, her familiarity of the school system, and its policies and regulations in order to set up these private management companies which were designed to conceal

her role, payments to herself, and to her companies and her co-conspirators.

A superseding indictment followed on January 24, 2013, charging an additional wire fraud scheme alleging that Brown and Chalker caused Laboratory to pay them approximately \$214,095 in compensation that they were not entitled to receive.

On March 15, 2013 former business manager Anthony Smoot, pled guilty to conspiracy to obstruct justice and obstruction of justice in a federal investigation.

On October 21, 2013 former CEO of the Planet Abacus Charter School, Joan Chalker, pled guilty to three counts of obstructing of justice arising from her creation, alteration, and falsification of a large number of documents, including contracts, financial records, board meeting minutes, board resolutions, and other records in response to Grand Jury subpoenas.

A trial began in November 2013 in the government's case against (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) and Dorothy June Brown. Both Chalker and Smoot testified at the trial. On December 19, 2013, the jury returned a partial verdict finding defendants (b)(6) and (b)(6) not guilty of the charges against them. The jury resumed deliberations with respect to the charges against Brown. On January 9, 2014, after communicating to the court that it was unable to reach a verdict on many of the remaining counts, the jury returned a partial verdict. The jury found Brown not guilty on four counts of wire fraud, one count of obstruction, and one count of witness tampering. The jury remained deadlocked on the remaining 54 counts. At that time, the government notified the Court of its intention to retry Brown. The next trial was scheduled to begin on September 8, 2014.

Approximately one month prior to the start of the trial, Brown's defense counsel contacted the court with concerns about Brown's competency to stand trial. On September 2, 2014, Brown filed a motion requesting a competency hearing. On September 5, 2014, Brown's motion requesting a hearing on competency was granted and Brown was ordered to submit to various psychiatric and mental competency evaluations. On September 14, 2014, the government filed a motion for custodial examination. The motion was unopposed and Brown was committed to the custody of the Attorney General for a 29 day competency examination.

A competency hearing was held from January 28, 2015 through January 30, 2015. On April 8, 2015, the court issued an order finding Brown competent to stand trial. Trial was re-scheduled for July 7, 2015.

On June 15, 2015, approximately two weeks before jury selection was to begin, Brown filed a submission of supplemental Information regarding competency to stand trial. Attached to the submission were medical records produced from an examination of Brown at the Cleveland Clinic Center for Brain Health Neurological Institute. On June 18, 2015, Brown's counsel filed affidavits with the Court asserting that Brown was not competent and did not have the current capacity to participate in her own defense. On June 22, 2015, an order was entered continuing the trial once again. Brown was ordered to undergo further competency testing.

On August 20, 2015, the government and the defense submitted a joint proposed order to the court which declared that Brown was not competent to stand trial. The government also submitted an unopposed motion to dismiss the superseding indictment against Brown. On November 23, 2015, the court granted the unopposed motion to dismiss the superseding indictment against Brown and found that there was enough evidence that Brown suffered from a mental disease which rendered her incompetent to stand trial.

On February 11, 2016, Anthony Smoot, was sentenced in U.S. District Court, Eastern District of Pennsylvania to three years' probation. Smoot was also ordered to pay a fine in the amount of \$3,500 and a \$200 special assessment fee.

On February 19, 2016, Joan Woods Chalker, was sentenced in U.S. District Court, Eastern District of Pennsylvania to three years' probation and directed to pay \$69,156.24 in restitution. Chalker was also ordered to pay a \$300 special assessment fee.



This case is closed and no further investigation is warranted.

## SUBJECT

### Index Name

BROWN, JUNE

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)  
(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

### Index Name

WOODS-CHALKER, JOAN

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)  
(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

Index Name

Agora Cyber Charter School

Index Code

Entity

Entity Address

Street1 995 Old Eagle School Rd  
Street2 Suite 315  
City Wayne State PA Zip Code 19087  
Country US

Entity Telephone

610-254-8218

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1 (b)(6) (b)(7)(c)

City (b)(6) (b)(7)(c) State (b)(6) Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	SMOOT, ANTHONY			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
				(6)
			Zip Code	(b)(6)
				(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State	(b)(6)
				(6)
			Zip Code	(b)(6)
				(b)(7)

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

Agora Cyber Charter School

**Accepted Date**

07/24/2012

**Attorney Type**

US Attorney

**Venue**

Pennsylvania, Eastern District (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days**

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

**Declined Date**

07/24/2012

**Declined Reason**

(b) (5) (b) (7)(E)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b) (7)(E)

**Declined Venue**

Pennsylvania, Eastern District (Federal)

**Criminal Action****Index Name**

BROWN, JUNE

**Accepted Date**

07/24/2012

**Attorney Type**

US Attorney

**Venue**

Pennsylvania, Eastern District (Federal)

**Conviction Type**

Mistrial

**Conviction Date**

01/09/2014

**Docket Number**

unk

**Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Pennsylvania, Eastern District (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)**

<b><u>Accepted Date</u></b>	07/24/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Pennsylvania, Eastern District (Federal)	
<b><u>Conviction Type</u></b>	Acquittal	
<b><u>Conviction Date</u></b>	12/19/2013	
<b><u>Docket Number</u></b>	unk	
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<b><u>Sentencing Date</u></b>		
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>		
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Pennsylvania, Eastern District (Federal)	

**Criminal Action****Index Name****(b)(6) (b)(7)(c)**

<b><u>Accepted Date</u></b>	07/24/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Pennsylvania, Eastern District (Federal)	
<b><u>Conviction Type</u></b>	Acquittal	
<b><u>Conviction Date</u></b>	12/19/2013	
<b><u>Docket Number</u></b>	unk	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>		
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>		
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Pennsylvania, Eastern District (Federal)	



**Criminal Action****Index Name**

SMOOT, ANTHONY

**Accepted Date**

07/24/2012

**Attorney Type**

US Attorney

**Venue**

Pennsylvania, Eastern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

03/15/2013

**Docket Number**

12-0367-05

**Community Service**

0 Hours

**Sentencing Date**

02/11/2016

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

36 Months

**Total Fine Amount**

\$3,500.00

**Special Assessment**

\$200.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Pennsylvania, Eastern District (Federal)

**Criminal Action****Index Name**

WOODS-CHALKER, JOAN

<b><u>Accepted Date</u></b>	07/24/2012
<b><u>Attorney Type</u></b>	US Attorney
<b><u>Venue</u></b>	Pennsylvania, Eastern District (Federal)
<b><u>Conviction Type</u></b>	Guilty Plea
<b><u>Conviction Date</u></b>	10/21/2013
<b><u>Docket Number</u></b>	unk
<b><u>Community Service</u></b>	0 Hours
<b><u>Sentencing Date</u></b>	02/19/2016
<b><u>All confinement Time</u></b>	0 Months <b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	36 Months
<b><u>Total Fine Amount</u></b>	\$0.00
<b><u>Special Assessment</u></b>	\$300.00
<b><u>Total Restitution</u></b>	\$69,156.24
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00
<b><u>Attorney Phone</u></b>	
<b><u>Declined Date</u></b>	
<b><u>Declined Reason</u></b>	
<b><u>Declined Attorney Code</u></b>	US Attorney
<b><u>Declined Assistant Attorney</u></b>	
<b><u>Declined Venue</u></b>	Pennsylvania, Eastern District (Federal)

DOCUMENT FILENAME

AOL Search warrant.pdf  
Comcast search warrant.pdf  
SW App. and Affidavit.pdf  
Indictment.pdf  
Superceding indictment.pdf  
Smoot plea agreement.pdf  
Chalker Plea agreement.pdf  
Judge's competency memo.pdf  
judge's memo dismissing indictment.pdf  
Order dismissing Indictment.pdf  
Smoot Judgment.pdf  
Chalker Judgment.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

09-040849

REPORT OF: DISTANCE ED FRAUD;  
FISHBURNE, SHANNON  
ET AL; DISTRICT OF  
SOUTH CAROLINA

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

10/12/2016

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Approved By

YESSYKA SANTANA

Approved Date

10/12/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

10/12/2016

**DISTRIBUTION:**

HQ

**OFFICE:**

REGION 4 - ATLANTA

**NARRATIVE**

Reference is made to the United States Secret Service (USSS) Request for Indictment (RFI) report dated October 20, 2011 (Attachment). This case is predicated on information received from United States Department of Education, Office of Inspector General (ED/OIG), Investigation Services, Western Region (Attachment) regarding Shannon Fishburne, a student at University of Phoenix who enrolled and received student financial aid in the names of multiple students in the Walterboro, South Carolina area. Shannon Fishburne and others submitted fraudulent Free Applications for Federal Student Aid (FAFSAs) and, in some instances, obtained Family Educational Rights and Privacy Act (FERPA) releases in order to receive other students' federal student aid data.

Records received from the school revealed that many individuals in the Walterboro, South Carolina area have received financial aid using addresses and/or phone numbers connected to Shannon Fishburne and others. The investigation also revealed that Fishburne used other identities to apply for and receive financial aid, usually splitting the excess credit balance checks with the individuals posing as students. Fishburne and LaTanya Cochran used other individuals to recruit potential straw students. The other ringleaders recruited many students including several individuals employed at Allendale Correctional Institution in Allendale, South Carolina.

OIG investigation disclosed that over 75 individuals participated in this scheme only to receive the federal Title IV funds with no intentions of attending the online post-secondary schools (Attachments). Fishburne and others enrolled students in multiple schools such as University of Phoenix, Grand Canyon University, Capella University and Ashford University even though many of the scheme participants did not have a high school diploma or a GED. Predominantly, paper financial aid checks were mailed to willing participants. In some instances, funds were electronically deposited directly into accounts controlled by these individuals. Multiple interviews with subjects/witnesses were conducted during this investigation (Attachment).

On February 15, 2012, fifteen individuals – Lena Gant, LaTanya Cochran, Shannon Fishburne, Marquita Fishburne, Tameko Fishburne, Kourtney Fishburne, Cleo Fryar, Shanean Glaze, Deena Holmes, Sierra Thomas, Mayella Saxon, Helen Ross, Theresa Loadholt, Betty Kinard and Marvin Spell - were indicted in the District of South Carolina – Charleston Division on conspiracy to commit wire fraud, mail fraud and

financial aid fraud.

On May 7, 2012, Lena Gant pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On September 21, 2012, Gant was sentenced to 27 months incarceration followed by 36 months supervised release, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$130,280.25.

On May 1, 2012, LaTanya Cochran pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On October 15, 2012, Cochran was sentenced to 18 months incarceration followed by 60 months supervised release, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$133,175.25.

On May 1, 2012, Shannon Fishburne pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On November 5, 2012, Fishburne was sentenced to 18 months incarceration followed by 60 months supervised release, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$39,196.35.

On May 10, 2012, Marquita Fishburne pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On September 21, 2012, Fishburne was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$15,132.75.

On May 11, 2012, Tameko Fishburne pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On October 15, 2012, Fishburne was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$14,244.50.

On May 14, 2012, Kourtney Fishburne pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On October 15, 2012, Fishburne was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$20,208.20.

On May 10, 2012, Cleo Fryar pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On September 24, 2012, Fryar was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$19,279.50.

On May 14, 2012, Shanean Glaze pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On September 27, 2012, Glaze was sentenced to 12 months and 1 day incarceration followed by 60 months of supervised release, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$116,797.17.

On May 30, 2012, Deena Holmes pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On September 24, 2012, Holmes was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$7,880.00.

On May 14, 2012, Sierra Thomas pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On October 15, 2012, Thomas was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$23,675.89.

On May 14, 2012, Mayella Saxon pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On November 5, 2012, Saxon was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$2,897.38.

On May 14, 2012, Helen Ross pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On November 7, 2012, Ross was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$6,358.63.

On May 11, 2012, Marvin Spell pled guilty in the District of South Carolina – Charleston Division to conspiracy to commit wire fraud, mail fraud and financial aid fraud. On October 17, 2012, Spell was sentenced to 60 months probation, ordered to pay a \$100.00 special assessment fee and restitution in the amount of \$7,459.00.

On June 5, 2012, Betty Kinard entered the Pretrial Diversion Program (PTD) in the District of South Carolina – Charleston Division, for her role in a conspiracy to commit wire fraud and student loan fraud. Kinard was ordered to pay restitution in the amount of \$7,353.75.

On June 11, 2012, Teresa Loadholt entered the PTD in the District of South Carolina – Charleston Division, for her role in a conspiracy to commit wire fraud and student loan fraud. Loadholt was ordered to pay restitution in the amount of \$7,353.75.

On December 9, 2014, fourteen individuals – Nikkia Cohen, Rasheed Fair, Elizabeth Glaze, Latrille Goff, Mark Goff, Bernard Manigo, April McClennon, Angelia McClennon, Jamie Nieves, Catherine Simmons, Demetris Simmons, Florence Simmons, Carrie Washington and Stanqwanner Wiggins - were indicted in the District of South Carolina – Charleston Division on conspiracy to commit wire fraud, mail fraud and financial aid fraud. Reference is made to the USSS RFI report dated July 24, 2013, for the second indictment request (Attachment).

On April 10, 2015, Elizabeth Glaze, April McClennon, Jamie Nieves and Latrille Goff entered the PTD in the District of South Carolina – Charleston Division, for their roles in a conspiracy to commit wire fraud and student loan fraud. Glaze was ordered to pay restitution in the amount of \$6,468.54. McClennon was ordered to pay restitution in the amount of \$2,449.00. Nieves was ordered to pay restitution in the amount of \$4,988.50. Goff was ordered to pay restitution in the amount of \$2,933.00.

On April 23, 2015, Nikkia Cohen and Florence Simmons entered the PTD in the District of South Carolina – Charleston Division, for their roles in a conspiracy to commit wire fraud and student loan fraud. Cohen was ordered to pay restitution in the amount of \$6,509.00. Simmons was ordered to pay restitution in the amount of \$2,310.00.

On June 1, 2015, Catherine Simmons entered the PTD in the District of South Carolina – Charleston Division, for her role in a conspiracy to commit wire fraud and student loan fraud. Simmons was ordered to make restitution in the amount of \$6,989.55.

On June 2, 2015, Rasheed Fair entered the PTD in the District of South Carolina – Charleston Division, for his role in a conspiracy to commit wire fraud and student loan fraud. Fair was ordered to make restitution in the amount of \$4,941.00.

On July 21, 2015, Angelia McClennon and Demetris Simmons entered the PTD in the District of South Carolina – Charleston Division, for their roles in a conspiracy to commit wire fraud and student loan fraud. McClennon was ordered to pay restitution in the amount of \$725.00. Simmons was ordered to pay restitution in the amount of \$6,352.10.

On September 10, 2015, Carrie Washington entered the PTD in the District of South Carolina – Charleston Division, for her role in a conspiracy to commit wire fraud and student loan fraud. Washington was ordered to make restitution in the amount of \$5,143.75.

On April 22, 2015, Bernard Manigo plead guilty to conspiracy to commit mail fraud, wire fraud and financial aid fraud and on November 17, 2015, Manigo was sentenced to 36 months probation, assessed a \$100 special assessment fee and ordered to pay \$4,941.00 in restitution.

On April 28, 2015, Mark Goff plead guilty to conspiracy to commit mail fraud, wire fraud and financial aid fraud and on September 21, 2015, Goff was sentenced to 36 months probation, assessed a \$100 special assessment fee and ordered to pay \$2,933.75 in restitution.

On April 28, 2015, Stanqwanner Wiggins plead guilty to conspiracy to commit mail fraud, wire fraud and financial aid fraud and on November 23, 2015, Wiggins was sentenced to time served, assessed a \$100 special assessment fee and ordered to pay \$2,575.00 in restitution.

On April 20, 2016, (b)(6) (b)(7)(c) was placed into an ineligible borrower status in NSLDS resulting in an administrative savings of \$1,601.80.

On May 17, 2016, an administrative recovery/savings of \$44,953.80, including the \$1,601.80 (b)(6) savings mentioned above, was reported for the following individuals who were placed in ineligible borrower status through an NSLDS referral to FSA: (b)(6) (b)(7)(c) - \$14,060; (b)(6) (b)(7)(c) - \$4,116; (b)(6) (b)(7)(c) - \$12,175; (b)(6) (b)(7)(c) - \$5,204; (b)(6) (b)(7)(c) - 4,425; (b)(6) (b)(7)(c) \$3,372. Civil remedies were considered; however, administrative remedies were pursued.

The total loss amount on this case is over \$680,000.



## SUBJECT

### Index Name

FISHBURNE, SHANNON

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

Country

USA

### Individual Telephone

(b)(6) (b)(7)  
(c)

### Index Name

(b)(6) (b)(7)(c)

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

Country

(b)  
(6)

### Individual Telephone

(b)(6) (b)(7)  
(c)

### Entity Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
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	Country	USA		
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				(b)(7)
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<u><b>Index Name</b></u>	SAXON, MAYELLA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	KINARD, BETTY			
<u><b>Index Code</b></u>	Individual			
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<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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	Country	USA		Zip Code (b)(6)
<u>Individual Telephone</u>	(b)(6) (b)(7)			

<u>Index Name</u>	ROSS, HELEN			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
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<u>Individual Telephone</u>	(b)(6) (b)(7)			

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				(b)(7)
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<u><b>Index Name</b></u>	FAIR, RASHEED			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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	Street2	(b)(6)		
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	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	FISHBURNE, TAMEKO A.			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			



<u><b>Index Name</b></u>	GOFF, MARK LADARRAL			
<u><b>Index Code</b></u>	Individual			
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<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	NIEVES, JAMIE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
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	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	GLAZE, SHANEAN			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
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	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

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<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	GOFF, LATRILLE REENA STEPHENS			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	COCHRAN, LATANYA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	FISHBURNE, MARQUITA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	GANT, LENA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	FISHBURNE, KOURTNEY			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	FRYAR, CLEO			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	HOLMES, DEENA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	THOMAS, SIERRIA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	LOADHOLT, THERESA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	SPELL, MARVIN			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	WIGGINS, STANQWANNE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			



<u>Index Name</u>	(b)(6) (b)(7)
<u>Index Code</u>	Individual
<u>SSN</u>	(b)(6) (b)(7)
<u>Birth Date</u>	(b)(6) (b)(7)
<u>Individual Address</u>	Street1 (b)(6) (b)(7)(c)
	City (b)(6) (b)(7) State (b)(6) Zip Code (b)(6)
	Country USA (b)(7)
<u>Individual Telephone</u>	(b)(6) (b)(7)

<u>Index Name</u>	(b)(6) (b)(7)(c)
<u>Index Code</u>	Individual
<u>SSN</u>	(b)(6) (b)(7)
<u>Birth Date</u>	(b)(6) (b)(7)
<u>Individual Address</u>	Street1 (b)(6) (b)(7)(c)
	City (b)(6) (b)(7) State (b)(6) Zip Code (b)(6)
	Country USA (b)(7)
<u>Individual Telephone</u>	(b)(6) (b)(7)

<u><b>Index Name</b></u>	SIMMONS, CATHERINE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)(c)			

<u><b>Index Name</b></u>	SIMMONS, FLORENCE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	COHEN, NIKKIA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	WASHINGTON, CARRIE			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
				(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State	(b)(6)
				(6)
	Country	USA		Zip Code
				(b)(6)
				(b)(7)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	MCCLENNON, APRIL			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
				(6)
	Country	USA		Zip Code
				(b)(6)
				(b)(7)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u>Index Name</u>	MCCLENNON, ANGELIA			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u>Individual Telephone</u>	(b)(6) (b)(7)			

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u>Individual Telephone</u>	(b)(6) (b)(7)			

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		(b)(7)
<u>Individual Telephone</u>	(b)(6) (b)(7)			

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		(b)(7)
<u>Individual Telephone</u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	GLAZE, ELIZABETH			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	MANIGO, BERNARD			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
		(b)(7)		(b)(7)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)			



<u><b>Index Name</b></u>	SIMMONS, DEMETRIS			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1	[REDACTED]		
	City	(b)(6) (b)(7)(c)	State	(b)(6)(c)
	Country	USA	Zip Code	(b)(6)(b)(7)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)(c)			

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1	[REDACTED]		
	City	(b)(6) (b)(7)(c)	State	(b)(6)(c)
	Country	USA	Zip Code	(b)(6)(b)(7)

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)(c)			
<u>Birth Date</u>	(b)(6) (b)(7)(c)			
<u>Individual Address</u>	Street1			
	City	(b)(6) (b)(7)(c)	State	(b)(6)(c)
			Zip Code	(b)(6)(b)(7)

Prosecutive Status

All Confinement Days

Criminal Action

Index Name

(b)(6) (b)(7)(c)

Accepted Date

Attorney Type

US Attorney

Venue

South Carolina (Federal)

Conviction Type

Conviction Date

Docket Number

Community Service

0 Hours

Sentencing Date

All confinement Time

0 Months

Days 0 Days

Probation Time

0 Months

Total Fine Amount

\$0.00

Special Assessment

\$0.00

Total Restitution

\$0.00

Total Seizure/ Frofeiture Amt

\$0.00

Attorney Phone

Declined Date

11/24/2015

Declined Reason

(b) (5) (b) (7)(E)

Declined Attorney Code

US Attorney

Declined Assistant Attorney

AUSA (b)(6) (b)(7)

Declined Venue

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5)(b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

COCHRAN, LATANYA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/01/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	10/15/2012	
<b><u>All confinement Time</u></b>	18 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	36 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$130,285.25	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>		
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)(c)****Declined Venue**

South Carolina (Federal)



**Criminal Action****Index Name**

COHEN, NIKKIA

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/23/2015

**Docket Number**

2:14-CR-841

**Community Service**

0 Hours

**Sentencing Date**

04/23/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$6,509.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5)****(b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

FAIR, RASHEED

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

06/02/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date**

06/02/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$4,941.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

FISHBURNE, KOURTNEY

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

05/14/2012

**Docket Number**

2:12-cr-00119

**Community Service**

0 Hours

**Sentencing Date**

10/15/2012

**All confinement Time**

0 Months

**Days****Probation Time**

60 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$20,208.20

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

FISHBURNE, MARQUITA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/10/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	09/21/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$15,132.75	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

FISHBURNE, SHANNON

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/01/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	11/05/2012	
<b><u>All confinement Time</u></b>	18 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	36 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$39,196.35	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

FISHBURNE, TAMEKO A.

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/11/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	10/15/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$14,244.50	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

FRYAR, CLEO

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/10/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	09/24/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$100.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$19,279.50	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	



**Criminal Action****Index Name**

GANT, LENA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/07/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	09/21/2012	
<b><u>All confinement Time</u></b>	27 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$133,175.25	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

GLAZE, ELIZABETH

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number**

2:14-CR-841-3-DCN

**Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$6,468.54

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

GLAZE, SHANEAN

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/14/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	09/27/2012	
<b><u>All confinement Time</u></b>	12 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$116,797.17	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

GOFF, LATRILLE REENA STEF

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number**

2:14-CR-00841-DCN

**Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

GOFF, MARK LADARRAL

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

04/28/2015

**Docket Number**

2:14-CR-841

**Community Service**

0 Hours

**Sentencing Date**

09/23/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$2,933.75

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

(b)(6) (b)(7)(c)

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

11/24/2015

**Declined Reason**

(b) (5) (b) (7)(E)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

AUSA (b)(6) (b)(7)

**Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

HOLMES, DEENA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/30/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	09/24/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$7,880.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5)(b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)



**Criminal Action****Index Name**

(b)(6) (b)(7)(c)

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

11/24/2015

**Declined Reason**

(b) (5) (b) (7)(E)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

AUSA (b)(6) (b)(7)

**Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5)(b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

KINARD, BETTY

**Accepted Date**

02/15/2012

**Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

06/05/2012

**Docket Number**

2:12-cr-00119

**Community Service**

0 Hours

**Sentencing Date**

06/05/2012

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$7,353.75

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

LOADHOLT, THERESA

<b><u>Accepted Date</u></b>	02/15/2012
<b><u>Attorney Type</u></b>	US Attorney
<b><u>Venue</u></b>	South Carolina (Federal)
<b><u>Conviction Type</u></b>	Pretrial Diversion
<b><u>Conviction Date</u></b>	06/11/2012
<b><u>Docket Number</u></b>	2:12-cr-00119
<b><u>Community Service</u></b>	0 Hours
<b><u>Sentencing Date</u></b>	06/11/2012
<b><u>All confinement Time</u></b>	0 Months <b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months
<b><u>Total Fine Amount</u></b>	\$0.00
<b><u>Special Assessment</u></b>	\$0.00
<b><u>Total Restitution</u></b>	\$7,353.75
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)
<b><u>Declined Date</u></b>	
<b><u>Declined Reason</u></b>	
<b><u>Declined Attorney Code</u></b>	US Attorney
<b><u>Declined Assistant Attorney</u></b>	
<b><u>Declined Venue</u></b>	South Carolina (Federal)

**Criminal Action****Index Name**

MANIGO, BERNARD

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

04/22/2015

**Docket Number**

2:14-CR-841

**Community Service**

0 Hours

**Sentencing Date**

11/17/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

36 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$4,941.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)



**Criminal Action****Index Name**

MCCLENNON, ANGELIA

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$725.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

MCCLENNON, APRIL

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number**

2:14-CR-841-8-DCN

**Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$2,449.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

NIEVES, JAMIE

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number**

2:14-CR-841-9-DCN

**Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$4,988.50

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

ROSS, HELEN

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

05/14/2012

**Docket Number**

2:12-cr-00119

**Community Service**

0 Hours

**Sentencing Date**

11/07/2012

**All confinement Time**

0 Months

**Days****Probation Time**

60 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$6,358.63

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

SAXON, MAYELLA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/14/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	11/05/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$2,897.38	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

SIMMONS, CATHERINE

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

06/01/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date**

06/01/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$6,989.55

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

SIMMONS, DEMETRIS

**Accepted Date**

01/01/2011

**Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

07/21/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date**

07/21/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$6,352.10

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)



**Criminal Action****Index Name**

SIMMONS, FLORENCE

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/23/2015

**Docket Number**

2:14-CR-841

**Community Service**

0 Hours

**Sentencing Date**

04/23/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$2,310.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

SPELL, MARVIN

**Accepted Date**

02/15/2012

**Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

05/11/2012

**Docket Number**

2:12-cr-00119

**Community Service**

0 Hours

**Sentencing Date**

10/17/2012

**All confinement Time**

0 Months

**Days****Probation Time**

60 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$7,459.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)(c)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

THOMAS, SIERRIA

<b><u>Accepted Date</u></b>	02/15/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/14/2012	
<b><u>Docket Number</u></b>	2:12-cr-00119	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	10/15/2012	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$23,675.89	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

**Criminal Action****Index Name**

WASHINGTON, CARRIE

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Pretrial Diversion

**Conviction Date**

04/10/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$5,143.75

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

WIGGINS, STANQWANNE

**Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

04/28/2015

**Docket Number**

2:14-CR-841

**Community Service**

0 Hours

**Sentencing Date**

11/23/2015

**All confinement Time**

6 Months

**Days** 26 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$2,575.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

South Carolina (Federal)



**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

11/24/2015

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**AUSA **(b)(6) (b)(7)****Declined Venue**

South Carolina (Federal)

DOCUMENT FILENAME

A. McClennon 7-29-10.pdf

(b)(6) (b)(7) -8-10 statement.pdf

(b)(6) (b)(7) 7-8-10.pdf

Angelia McClennon PTD.pdf

Angelia McClennon Restitution Order.pdf

April McClennon PTD.pdf

April McClennon Restitution Order.pdf

Arrest Warrant B Manigo.pdf

B. Kinard 7-20-10.pdf

(b)(6) (b) 7-28-10.pdf

BettyKinard7.20.10.301.docx

C fryar notes.pdf

(b)(6) (b) notes.pdf

(b)(6) (b) notes.pdf

(b)(6) (b) notes 713.pdf

(b)(6) notes.pdf

(b)(6) (b) notes.pdf

(b)(6) 6-30-10.pdf

(b)(6) (b) 7-13-10.pdf

(b)(6) (b)(7) 7-21-10.pdf

C. Simmons 7-28-10.pdf

(b)(6) (b) 6-13-10.pdf

(b)(6) (b) 7-21-10.pdf

C. Spell 7-8-10.pdf

(b)(6) (b) 6-28-10.pdf

Carrie Washington PTD.pdf

Carrie Washington restitution order.pdf

Catherine Simmons PTD.pdf

Catherine Simmons Restitution Order.pdf

Change of Plea Spell.pdf

CLEO FRYAR JnC.pdf

Cochran Latanya filed plea agreement.pdf

COST SAVINGS Walterboro Updated Loss and Restituion Figures6.20.12.xls

(b)(6) (b)(7)(c) 301 5 5 2009.doc

D. Simmons 7-28-10.pdf

Deena HOLMES JnC.pdf

(b)(6) (b) Agent Notes.pdf  
E Glaze Restitution Order.pdf  
(b)(6) (b) 7-14-10.pdf  
E. Glaze 6-30-10.pdf  
(b)(6) (b)(7) 7.14.10.301.docx  
F. Simmons 7-28-10.pdf  
Filed Indictment.pdf  
Fingerprint cards from USSS.pdf  
Fishburne FBI attachment.doc  
Fishburne FBI letter.doc  
Fishburne OCM.doc  
Fishburne Shannon filed plea agreement.pdf  
Fishburne, Shannon judgment.pdf  
Florence Simmons.pdf  
Fryar change of plea.pdf  
(b)(6) notes.pdf  
(b)(6) notes.pdf  
(b)(6) (b)(7) 7-21-10.pdf  
(b)(6) 6-28-10.pdf  
(b)(7)  
(b)(6) (b)(7) 7-20-10.pdf  
H. Ross 7-20-10.pdf  
H. Wright 7-29-10.pdf  
Helen Ross Guilty Plea 5.14.12.pdf  
Helen Ross Plea Agreement 5.14.12.pdf  
HelenRoss7.20.10.301.docx  
Holmes filed plea agreement.pdf  
Holmes plea.pdf  
Indictment Fishburne.pdf  
(b)(6) notes.pdf  
(b)(7)  
(b)(6) 1.pdf  
(b)(7)  
(b)(6) 2.pdf  
(b)(7)  
(b)(6) 3.pdf  
(b)(7)  
(b)(6) 4.pdf  
(b)(7)  
J. Nieves 6-29-10.pdf  
J. Richardson 7-27-10.pdf  
(b)(6) (b) Affidavit.pdf  
Johnson notes.pdf  
(b)(6) (b)(7) 01 5 27 2009.doc  
(b)(6) (b) 301 5 4 2009.doc  
(b)(6) (b) 301 5 5 2009.doc

(b)(6) (b) notes.pdf  
K. Fishburne 6-29-10.pdf  
(b)(6) (b)(7) 7-8-10.pdf  
Kinard notes.pdf  
Kinard PTD.pdf  
Kourtney Fishburne Guilty Plea 5.14.12.pdf  
KOURTNEY FISHBURNE JUDGEMENT.pdf  
Kourtney Fishburne Plea Agreement 5.14.12.pdf  
(b)(6) .pdf  
L Cochran notes.pdf  
(b)(6) (b) agent notes.pdf  
L Gant.pdf  
L Goff.pdf  
L. Cochran 6-29-10.pdf  
L. Cochran 7-14-10.pdf  
(b)(6) (b) 7-14-10.pdf  
L. Gant 6-29-10.pdf  
L. Goff 6-28-10.pdf  
(b)(6) (b) 7-7-10.pdf  
(b)(6) .pdf  
(b)(6) (b)(7)(c) 7.14.10.301.docx  
LaTanya Cochran Guilty Plea.pdf  
LATANYA COCHRAN JUDGEMENT.pdf  
Latrille Goff PTD.pdf  
LENA GANT JNC.pdf  
LENA GANT PLEA AGREEMENT.pdf  
LENA GANT PLEA.pdf  
(b)(6) notes.pdf  
(b)(7) Loadholt notes.pdf  
Loadholt PTD.pdf  
M FISHBURNE JnC.pdf  
M Spell notes.pdf  
M. Fishburne 6-29-10.pdf  
M. Goff 6-28-10.pdf  
M. Saxton 7-20-10.pdf  
Manigo JC.pdf  
Mark Goff JC.pdf  
Mark Goff Plea.pdf  
Marquita F notes.pdf  
Marquita Fishburne Guilty Plea 5.10.12.pdf

Marquita Fishburne Plea Agreement.pdf  
MarquitaFishburne.7.14.10.301.docx  
MARVIN SPELL JUDGEMENT.pdf  
Marvin Spell Plea.pdf  
Mayella Saxon Guilty Plea 5.14.12.pdf  
Mayella Saxon Plea Agreement 5.14.12.pdf  
MayellaSaxon7.20.10.301.docx  
McClennon notes.pdf  
MOI (b)(6) (b)(7)(c) Jr.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI Catherine Simmons.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) Follow-up.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI Demetrius Simmons.doc  
MOI Elizabeth Glaze.doc  
MOI Florence Simmons.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI Kourtney Fishburne.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
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MOI M Fishburne 1.doc  
MOI Mark Goff.doc  
MOI Rasheed Fair.doc  
MOI S Fishburne 1.doc  
MOI Stanquanner Wiggins.doc  
MOI (b)(6) (b)(7)(c) .doc  
MOI (b)(6) (b)(7)(c) .doc  
(b)(6) (b)(7)(c) notes.pdf  
N Cohen Restitution Order.pdf  
(b)(6) (b)(7)(c) 7-8-10.pdf

N. Cohen 7-22-10.pdf

(b)(6) notes.pdf

(b)(7)  
(b)(6) PTD.pdf

(b)(7)  
(b)(6) Restitution Order.pdf

(b)(7)  
(b)(6) (b)(7)(c) 7.8.10.301.docx

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NSLDS Fraud Referral Attach.doc

NSLDS Fraud Referrals.doc

NSLDS Referral Memo attachment.doc

NSLDS Referral Memo.doc

OCFO Restitution memo.docx

OCFO restitution memo.docx

(b)(6) (b)(7)(c) 8-19-09.doc

(b)(6) notes.pdf

(b)(7)  
PTD F Simmons.pdf

PTD N Cohen.pdf

PTD Order.pdf

R Fair notes.pdf

(b)(6) .pdf

(b)(7)  
R. Fair 6-28-10.pdf

Rasheed Fair PTD.pdf

Rasheed Fair restitution orde.pdf

RFI 07242013.docx

RFI 102011.docx

(b)(6) (b)(7) notes.pdf

Ross notes.pdf

S glaze notes.pdf

S Wiggins Plea.pdf

(b)(6) (b) notes.pdf

S. Fishburne 6-29-10.pdf

S. Glaze 6-28-10.pdf

S. thomas 7-28-10.pdf

S. Wiggins 7-8-10.pdf

(b)(6) notes.pdf

(b)(7)(c)  
Saxon jugment amended.pdf

Saxon notes.pdf

Shanean Glaze Guilty Plea 5.14.12.pdf

Shanean Glaze JC.pdf

Shanean Glaze Plea Agreement 5.14.12.pdf

Shannon Fishburne Guilty Plea.pdf



Shannon Fishburne notes.pdf  
ShannonFishburne6.29.10.301.docx  
Sierra Thomas JC.pdf  
Sierra Thomas Guilty Plea 5.14.12.pdf  
Sierra Thomas Plea Agreement 5.14.12.pdf  
T Fishburne notes.pdf  
T Fishburne.pdf  
T Fishburne.pdf  
(b)(6) (b)(7) notes.pdf  
T. Fishburne 7-20-10.pdf  
(b)(6) (b)(7) 6-28-10.pdf  
(b)(6) (b) 7-28-10.pdf  
Tameko Fishburne Guilty Plea 5.11.12.pdf  
Tameko Fishburne Plea Agreement 5.11.12.pdf  
TamekoFishburne6.29.10.301.docx  
TamekoFishburne7.20.10.301.docx  
Tamkeo Fishburne JC.pdf  
(b)(6) (b) notes.pdf  
(b)(6) (b) notes.pdf  
Wiggins.pdf  
(b)(6) (b)(7) 301 5 27 2009.doc  
(b)(6) (b)(7) 301 5 4 2009.doc  
(b)(6) (b)(7) notes.pdf  
7-9-10.pdf  
Goff Arrest Report.pdf  
Manigo Arrest Report.pdf  
(b)(6) (b) stmt 72810.pdf  
D Simmons stmt 72810.pdf  
(b)(6) (b) stmt 71410.pdf  
E Glaze 63010.pdf  
E Glaze stmt 63010.pdf  
(b)(6) stmt 6211.pdf  
F Simmons stmt 72810.pdf  
Fryar stmt 63010.pdf  
(b)(6) Administrative Recovery.pdf  
(b)(7)  
(b)(6) Affidavit.pdf  
(b)(7)  
(b)(6) (b) stmt 72010.pdf  
(b)(6) (b) stmt 72910.pdf  
(b)(6) (b) 72110.pdf  
(b)(6) (b) waiver 71310.pdf

Ineligible Borrower status.pdf

(b)(6) (b) stmt 62910.pdf

(b)(6) 21011.pdf

(b)(7)  
(b)(6) (b) stmt 81110.pdf

K Fishburne stmt 62910.pdf

(b)(6) (b) stmt 7810.pdf

(b)(6) stmt 72010.pdf

(b)(7)  
(b)(6) stmt 71310.pdf

L cochran stmt 62910.pdf

L Cochran stmt 7142010.pdf

L Cochran waiver 71410.pdf

L Gant stmt 62910.pdf

L Goff stmt 62810.pdf

(b)(6) (b) stmt 7710.pdf

M Fishburne stmt 62910.pdf

Goff Arrest Warrant.pdf

M Goff waiver 62810.pdf

M Saxon stmt 72010.pdf

M Spell 21611.pdf

Manigo Guilty plea.pdf

(b)(6) (b) stmt 7810.pdf

N Cohen stmt 72210.pdf

R Fair stmt 62810.pdf

Ross stmt 72010.pdf

S Fishburne stmt 62910.pdf

S Glaze stmt 62810.pdf

S Thomas stmt 72810.pdf

S Wiggins 7810.pdf

(b)(6) (b) stmt 81110.pdf

(b)(6) stmt.pdf

Simmons stmt 72810.pdf

(b)(6) 72110.pdf

(b)(7)  
(b)(6) 7810.pdf

(b)(7)  
T Fishburne stmt 72010.pdf

(b)(6) (b) stmt 72810.pdf

(b)(6) stmt 033109.pdf

(b)(7)  
(b)(6) stmt 62810.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 11-060866

REPORT OF: SAN ANTONIO  
PREPARATORY  
ACADEMY

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)  
Date Submitted 10/14/2016

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Approved By (b) (6), (b) (7)(c)  
Approved Date 10/19/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

10/14/2016

**DISTRIBUTION:**

Headquarters

**OFFICE:**

REGION 6 - DALLAS

**NARRATIVE**

This investigation was initiated based on a referral from Texas Education Agency (TEA) regarding an audit performed on San Antonio Preparatory Academy. Roy Garcia was the Superintendent/Principal of San Antonio Preparatory Academy (SAPA), located in San Antonio, TX, from 2003 until 2010. From 2006 until 2010 SAPA received approximately 1.3 million dollars in federal money and approximately \$400,000 was stimulus money. It is alleged that Roy Garcia falsified documentation claiming that he had not been previously convicted of a felony when he had, in violation of TEX. EDUC. CODE ANN. §22.085 and 18 U.S.C. 1001. It is further alleged that Roy Garcia misused federal/state monies by creating companies, falsifying invoices and paying "created" companies for work not performed.

The OIG investigation found Sendero Academy and Garcia violated the False Claims Act by failing to disclose Garcia's felony conviction (making false statements on a loan application) on the school's charter school application and governance forms. Garcia and the school also allegedly created a bogus vendor and invoice and submitted a fraudulent voucher for payment of American Recovery and Reinvestment Act funds for playground equipment that had already been purchased. During the time period of the complaint, Sendero Academy received more than \$117,200 in Recovery Act funds.

A Report of Investigation was completed on May 7, 2012, and submitted to the U.S. Attorney's office in the Western District of Texas(Attachment 1).

On May 6, 2015, AUSA (b)(6) (b)(7)(c) provided a letter of declination for the criminal side in the Western District of Texas (Attachment 2).

On June 2, 2015, AUSA (b)(6) (b)(7) accepted the case for civil proceedings in the Western District of Texas.

On October 20, 2015, a civil complaint was filed against Roi Garcia (Attachment 3). Garcia was charged with violation of the False Claims, Unjust Enrichment and Payment by Mistake of Fact.

On May 26, 2016, Garcia agreed to pay \$236,000 in civil settlement (Attachment 4). The agreed settlement resulted in an agreed judgment being entered on June 14, 2016.

On June 14, 2016, a default judgement was filed against the Sendero Academy, in the amount of \$351,618.00 (Attachment 5).

## **SUBJECT**

### **Index Name**

San Antonio Preparatory Academy

### **Index Code**

Entity

### **Entity Address**

Street1 8308 Fredericksburg Road

City San Antonio State TX Zip Code 78229

### **Entity Telephone**

210-593-0111

### **Index Name**

Sendero Academy

### **Index Code**

Entity

### **Entity Address**

Street1 5408 Daughtry Drive

City San Antonio State TX Zip Code 78238

### **Entity Telephone**

210-593-0111

**Index Name**

Pathway Educational Ministry, Inc.

**Index Code**

Entity

**Entity Address**

Street1 8308 Fredericksburg Road

City San Antonio State TX Zip Code 78229

**Entity Telephone**

210-593-0111

**Index Name**

GARCIA, ROY L

**Index Code**

Individual

**SSN**

(b)(6) (b)(7)

**Birth Date**

(b)(6) (b)(7)

**Individual Address**

Street1 8308 Fredericksburg Road

City San Antonio State TX Zip Code 78229

**Individual Telephone**

(b) (6), (b) (7)

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)(c)			
<u>Birth Date</u>	(b)(6) (b)(7)(c)			
<u>Individual Address</u>	Street1			
	City	(b)(6) (b)(7)(c)	State (b)(6)(c)	Zip Code (b)(6)(b)(7)
<u>Individual Telephone</u>	(b)(6) (b)(7)(c)			

## CIVIL ACTION REPORT

<u>Attorney</u>	(b)(6) (b)(7)
<u>Civil Filing Date</u>	10/20/2015
<u>Civil Compliant Date</u>	10/20/2015
<u>Accepted Date</u>	10/20/2015
<u>Venue</u>	Texas, Western District (Federal)
<u>Judgement Date</u>	06/14/2016
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$351,618.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	



<u>Attorney</u>	(b)(6) (b)(7)
<u>Civil Filing Date</u>	10/20/2015
<u>Civil Compliant Date</u>	10/20/2015
<u>Accepted Date</u>	10/20/2015
<u>Venue</u>	Texas, Western District (Federal)
<u>Judgement Date</u>	06/14/2016
<u>Settlement Date</u>	05/31/2016
<u>Total Judgement Amount</u>	\$0.00
<u>Total Settlement Amount</u>	\$236,000.00
<u>Attorney Phone</u>	

DOCUMENT FILENAME

Agreed Judgment.pdf

Declination letter from AUSA.pdf

Default Judgment.pdf

SAPA ROI wo attachment 5.7.pdf

Garcia Complaint.pdf

Roy Garcia Indictment 1988.pdf

Roy Garcia Judgement 1988.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 14-061184

REPORT OF: Open World Family Service

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b) (6), (b) (7)(C)  
Date Submitted 01/07/2016

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Approved By (b) (6), (b) (7)(C)  
Approved Date 01/07/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b) (6), (b) (7)(C)

**DATE:**

01/07/2016

**DISTRIBUTION:**

Dallas - HQ

**OFFICE:**

REGION 6 - DALLAS

**NARRATIVE**

This investigation was initiated based on a referral received from Special Agent (b)(6) (b)(7)(C), FBI, New Orleans, Louisiana on May 7, 2014. An audit was released on June 4, 2014, by the Louisiana Legislative Auditors Office, which alleged more than \$200,000 in questioned costs at Open World Family Services (OWFS) (Attachment 1). OWFS was created to assist students academically, specifically in the areas of reading, math, science, and social studies. Kim Cassell, former OWFS executive director, paid personal expenses, such as veterinarian services and a spa retreat with OWFS funds. There were also several cash advances made using the OWFS debit card with no supporting documentation to explain the withdrawals. Lastly, there were over fifty checks that had been used to request reimbursements from the Louisiana State Department of Education, totaling over \$100,000, with no proof the checks were ever issued or cashed.

On January 23, 2015, former OWFS employee, (b)(6) (b)(7)(C), was interviewed (Attachment 2). (b)(6) (b)(7)(C) indicated there were financial irregularities at OWFS, such as, they would run out of money before the end of the grant year and employees would not be paid for several months. It also became apparent to her that Cassell had spent some of the grant funds on personal expenses.

A review of audit and financial records indicated funds were expended for personal expenses for Cassell and her friends. She paid home insurance premiums, veterinary expenses, and purchased MoneyGrams for friends and family. It was also determined dozens of checks used to claim reimbursement from the State were either never issued or were for different amounts.

On March 20, 2015, a Bill of Information was filed against Cassell, charging her with one count of 18 USC 666, Theft from a Federally Funded Program (Attachment 3). On May 13, 2015, Cassell plead guilty to the one count Information. On August 19, 2015, Cassell was sentenced to six months home confinement, sixty months probation, and ordered to pay \$149,666.04 in restitution (Attachment 4).

## SUBJECT

Index Name

Cassell, Kim

Index Code

Individual

SSN

(b)(6) (b)(7)  
(c)

Birth Date

(b)(6) (b)(7)  
(c)

Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)  
(c)

State

(b)  
(6)

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

Cassell, Kim

**Accepted Date**

03/20/2015

**Attorney Type**

US Attorney

**Venue**

Louisiana, Eastern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

05/13/2015

**Docket Number**

15-CR-00069

**Community Service**

0 Hours

**Sentencing Date**

08/19/2015

**All confinement Time**

6 Months

**Days** 0 Days

**Probation Time**

60 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$149,666.04

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

**Declined Reason**

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

**Declined Venue**

Louisiana, Eastern District (Federal)

DOCUMENT FILENAME

owfs audit.pdf

(b)(6) (b) 302.pdf

(b) pdf

(6)  
cassell jnc.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

11-091143

REPORT OF: LA AREA FRAUD RING

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

03/23/2016

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Approved By

NATALIE FORBORT

Approved Date

03/24/2016



**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c) **DATE:** 03/23/2016

**DISTRIBUTION:** HQ, Long Beach **OFFICE:** REGION 9 - LONG BEACH

**NARRATIVE**

**Summary**

Meon Smith was engaged in a scheme to defraud the United States Department of Education (ED) for the purpose of obtaining federal student aid funds. Smith submitted documents to ED seeking financial aid on behalf of individual students ("straw students") who did not have any intention of attending school. Smith enrolled the straw students into Rio Salado College (RSC). After enrolling the straw students at RSC, Smith took the online classes for the straw students from her home computer, until the financial aid funds were disbursed, and took all or a portion of the financial aid funds received.

**Initiation of Investigation**

On February 9, 2011, this case was initiated by ED's Office of Inspector General (ED OIG) after receiving a complaint from Citi Prepaid. (b)(6) (b)(7)(c), a risk analyst for Citi Prepaid, stated he identified a potential financial aid fraud ring that was targeting RSC (Attachment 1). (b)(6) identified nine individuals who appeared to be straw students in a financial aid fraud ring because their profiles shared the same addresses, the same phone numbers, the same bank account numbers for direct deposits, and/or the same internet protocol (IP) addresses were used to access their Citi Prepaid accounts online.

RSC (b)(6), (b)(7)(C) (b)(6) (b)(7)(c), provided a spreadsheet listing individuals who shared the same addresses and email addresses (Attachments 2-3). (b)(6) provided enrollment records for 41 of the suspected straws students (Attachment 4). An analysis of the records showed several of the students shared classes and received either an "F" or a withdrawal (Attachment 5).

RSC provided the IP addresses used to log into the online classes for the straw students and the dates and times the IP addresses were used (Attachment 5). There were several students that shared the same IP addresses. Information from the Internet Service Provider (ISP) for IP address (b)(6) (b)(7)(c) showed the subscriber address was (b)(6) (b)(7)(c), which was Smith's residence at the time.

Information from another ISP for IP addresses (b)(6) (b)(7)(c) [REDACTED], [REDACTED],

(b)(6) (b)(7)(c) listed Smith as the subscriber and the (b)(6) (b)(7)(c) address as the subscriber address.

(b)(6) provided a spreadsheet detailing account information for the straw students (Attachments 6-9). Citi Prepaid accounts for the straw students were accessed online from IP addresses in which Smith was the subscriber. There were several straw students that had transfers from their Citi Prepaid accounts to the same bank accounts. A review of the bank records showed three of the bank accounts were registered to Smith.

On November 17, 2011, (b)(6) provided a spreadsheet showing RSC Citi Prepaid accounts with transfers of financial aid funds to known bank accounts in Smith's name (Attachment 10). The spreadsheet showed there were 77 individuals that had at least 1 transfer of financial aid funds to at least 1 of Smith's bank accounts.

RSC provided financial aid records for the suspected straw students (Attachments 11-12)

#### Search Warrant

On January 25, 2012, a search warrant was executed at Smith's new residence, (b)(6) (b)(7)(c), (b)(6) (b)(7)(c) (Attachments 13-18). Evidence proving Smith was involved in a financial aid fraud ring was found during the search warrant.

The United States Secret Service (USSS) did the forensic analysis of the digital evidence (Attachment 19). Files linked to straw students were located on the digital evidence.

#### Loss Amount

The loss amount was determined to be \$461,615, which was the amount of financial aid that was disbursed to straw students after adjusting for credits made back to ED (Attachments 20-21).

#### Interviews

On January 25, 2012, Special Agent (SA) (b)(6) (b)(7)(c) and USSS SA (b)(6) (b)(7)(c) interviewed Smith during the search warrant at her home (Attachment 22). Smith stated she used the computer in her home to enroll people into school and to apply for financial aid for them. Smith stated she gave half of the financial aid the person received to them. Smith stated if the person was family she gave them a larger portion of the financial aid. Smith admitted to taking online classes for the people she enrolled.

On April 26, 2012, SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) telephonically. (b)(6) (b)(7)(c) stated she did not enroll into RSC (Attachment 23). (b)(6) (b)(7)(c) stated she lost her identification last year at a club. (b)(6) (b)(7)(c) stated she did not know Smith.

On October 31, 2012, SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) at her home (Attachment 24). (b)(6) (b)(7)(c) stated she did not know her identity was being used to obtain federal student aid until SA (b)(6) (b)(7)(c) contacted her in April 2012. (b)(6) (b)(7)(c) stated she filed a police report.

On April 26, 2012, SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) (Attachment 25). (b)(6) (b)(7)(c) stated he had never heard of RSC. (b)(6) (b)(7)(c) stated no one approached him about taking classes, receiving financial aid, or attending RSC. (b)(6) (b)(7)(c) stated he did not know Smith or (b)(6) (b)(7)(c).

On March 5, 2012, SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) attempted to locate (b)(6) (b)(7)(c) in (b)(6) (b)(7)(c) (Attachment 26). SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) were unable to locate (b)(6) (b)(7)(c). SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) spoke to (b)(6) (b)(7)(c). (b)(6) (b)(7)(c) stated (b)(6) (b)(7)(c) identity was stolen. SA (b)(6) (b)(7)(c) and SA (b)(6) (b)(7)(c) spoke to (b)(6) (b)(7)(c) friend, (b)(6) (b)(7)(c). (b)(6) (b)(7)(c) stated (b)(6) (b)(7)(c) suspected (b)(6) (b)(7)(c) stole her identity.

On April 12, 2012, SA (b)(6) (b) interviewed (b)(6) (b) telephonically (Attachment 27). (b)(6) (b) stated she learned her identity was stolen when she attempted to apply to the College (b)(6), (b)(7)(c). (b)(6) (b) stated she did not know Smith. (b)(6) (b) stated she suspected (b)(6) stole her identity because the email on the FAFSA contained the word (b)(6) (b). (b)(6) (b) stated (b)(6) (b) nickname was (b)(6) (b)(7).

On April 16, 2012, SA (b)(6) (b) interviewed (b)(6) (b) telephonically (Attachment 28). (b)(6) (b) stated she did not know why a copy of her social security card and sister's social security card was in her financial aid file. (b)(6) (b) stated someone could have stolen the photocopies from her house.

On April 18, 2012, SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) telephonically (Attachment 29). (b)(6) (b) stated she was referred to SA (b)(6) (b) by (b)(6) (b). (b)(6) (b) stated she discovered her identity was stolen when she received a letter from Fedloan Services. (b)(6) (b) stated her identity was used to obtain federal student aid and to enroll her into RSC. (b)(6) (b) stated she knew Harris.

On August 15, 2012, SA (b)(6) (b) and SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) (Attachment 30). (b)(6) (b) stated Smith helped him with enrolling into RSC and with applying for financial aid. (b)(6) (b) stated Smith helped him with the enrollment, the financial aid paperwork, and classwork for a fee. (b)(7)

On August 15, 2012, SA (b)(6) (b) and SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) (Attachment 31). (b)(6) (b) stated she never applied to RSC and was unaware of the school's location. (b)(6) (b) stated she did not know Smith.

On October 25, 2012, SA (b)(6) (b)(7) and SA (b)(6) (b)(7)(c) interviewed (b)(6) (b)(7)(c) (Attachment 32). (b)(6) (b) stated Smith told her she could enroll her into a college and get financial aid for her. (b)(6) (b) stated Smith said she would do the classes and paperwork for her. (b)(6) (b) stated Smith said Brown would have to give her half of the financial aid (b)(6) (b)(7) received.

On October 25, 2012, SA (b)(6) (b) and SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) (Attachment 33). (b)(6) (b)(7)(c) stated he gave his information to a man named (b)(6) (b) so that (b) could use his information to apply for financial aid. (b)(6) (b)(7)(c) stated (b) gave him \$2000 in cash. (b)(6) (b)(7)(c) stated he did not meet (b)(6) (b)(7)(c).

On October 25, 2012, (b)(6) (b)(7)(c) left a voicemail for SA (b)(6) (b) (Attachment 34). (b)(6) (b)(7)(c) stated he remember he received an extra \$2500. (b)(6) (b)(7)(c) did not leave a call back telephone number.

On October 25, 2012, SA (b)(6) (b)(7) and SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) (Attachment 35). (b)(6) (b) stated Smith told her she could get (b)(6) (b)(7)(c) a school loan. (b)(6) (b) stated Smith deposited \$2499 into (b)(6) (b)(7) account. (b)(6) (b) stated she did not know \$3400 was disbursed in her name. (b)(6) (b) stated Smith said she would do the classwork for her. (b)(7)

On October 25, 2012, SA (b)(6) (b)(7) and SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) (Attachment 36). (b)(6) (b) stated Smith told her she could sign her up for school. (b)(6) (b) stated she did not take classes. (b)(6) (b) stated her nickname is (b)(6) (b)(7). (b)(6) (b) stated she was not involved with using (b)(6) (b)(7)(c) identity to obtain financial aid. (b)(6) (b) stated she did not know why email addresses containing her nickname were used on other people's financial aid paperwork.

On October 25, 2012, SA (b)(6) (b) and SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) (Attachment 37). (b)(6) (b) stated his wallet fell out of his pocket at Smith's house. (b)(6) (b) stated he suspected Smith used his identity to obtain financial aid. (b)(6) (b) stated he never gave Smith permission to apply for him to receive financial aid.

On October 25, 2012, SA (b)(6) (b) and SA (b)(6) (b) interviewed (b)(6) (b)(7)(c) telephonically (Attachment 38). (b)(6) (b) stated Smith applied for him to receive financial aid. (b)(6) (b) stated Smith said she would do the school work for him. (b)(6) (b) stated Smith deposited \$2,000 into his bank account.

On October 26, 2012, SA (b)(6) (b)(7) interviewed (b)(6) (b)(7)(c) telephonically (Attachment 39). (b)(6) (b)(7) stated Smith enrolled him into school and applied for him to receive financial aid. (b)(6) (b)(7) stated he received about \$2500 from Smith. (b)(6) (b)(7) stated he did not know what courses or school he was enrolled in.

On January 29, 2013, SA (b)(6) (b)(7) and SA (b)(6) (b)(7) interviewed Meon Smith during her proffer (Attachment 40). Smith stated (b)(6) (b)(7) was involved with filing fake tax returns for people that falsely claimed income through self-employment. Smith stated (b)(6) (b)(7) referred people from her tax fraud scheme to Smith. Smith stated a man known as (b)(6) (b)(7) provided names and personal identifiers to Smith. Smith stated (b)(6) (b)(7)(c) is (b)(6) (b)(7). Smith stated from the end of 2010 to the beginning of 2011, Smith and (b)(6) (b)(7) worked together to complete the financial aid applications for people.

#### Plea Agreement and Sentencing

On January 6, 2014, in U.S. District Court, Central District of California, Smith entered a guilty plea in response to an information charging her with theft of public funds, 18 USC 641, for her role as the ring leader of a financial aid fraud ring (Attachments 41-44).

On Monday November 9, 2015, in U.S. District Court, Central District of California, Smith was sentenced to six months incarceration, 36 months supervised released, and ordered to pay restitution of \$461,615 for theft of government property (Attachment 45).

Civil action was not pursued because Smith does not have any known assets and was already ordered to make restitution. There was no administrative action to pursue.

Based on the above information, it is recommended that this case be closed.

## SUBJECT

Index Name

SMITH, MEON DENISE

Index Code

Individual

SSN

(b)(6) (b)(7)  
(c)

Birth Date

(b)(6) (b)(7)  
(c)

Index Name

SMITH, MEON DENISE

Index Code

Individual

SSN

(b)(6) (b)(7)  
(c)

Birth Date

(b)(6) (b)(7)  
(c)

Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)  
(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

Individual Telephone

unknown

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

SMITH, MEON DENISE

**Accepted Date**

10/01/2013

**Attorney Type**

US Attorney

**Venue**

California, Central District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

01/06/2014

**Docket Number**

CR13-0717

**Community Service**

0 Hours

**Sentencing Date**

11/09/2015

**All confinement Time**

6 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$461,615.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

**Declined Date**

**Declined Reason**

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

**Declined Venue**

California, Central District (Federal)

DOCUMENT FILENAME

A1 Maricopa potential financial aid fraud.xlsx

A2 WR RSC Referral 21611.xlsx

A3 LACC PWprotect.xlsx

A4 Classes.xlsx

A5 6211 CA IP Logs.xlsx

A6 Cardholder payments + bank account details.xls

A7 accounts profile + comments + transactions history.doc

A8 IP address log for all accounts.xls

A9 (b)(6) (b)(7)(c) declaration of Fraud.pdf

A10 (b)(6) ACH transfer details per DDA.xlsx

(b)(6) (b)(7) 20111028.PDF

Search Warrant and Affidavit.pdf

SW OP Plan.pdf

A15 Sheriffs Op Plan.PDF

A16 (b)(6) (b)(7)(c) MOI Refusal.PDF

301A.docx

A20 Actual Loss after MOI.xlsx

A21 Disbursements from COD.xlsx

Meon Smith MOI.docx

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(b)(6) (b)(7) MOI 2.docx

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301 (b)(6) (b)(7)(c) .doc

301 (b)(6) (b)(7)(c) .doc

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Meon Smith Proffer MOI.pdf

Meon Smith Information.pdf

Meon Smith Plea Agreement.pdf

Meon Smith Summons.pdf

Guilty Plea Entered at Court.pdf

Judgment and Conviction Order.pdf



UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 12-020600

REPORT OF: ACADEMIC ADVANTAGE

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b) (6), (b) (7)(C)  
Date Submitted 06/29/2016

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Approved By (b) (6), (b) (7)(C)  
Approved Date 06/29/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

06/20/2016

**DISTRIBUTION:**

Headquarters

**OFFICE:**

REGION 2 - NEW YORK

**NARRATIVE**

This case was predicated upon information received from Ana Azocar (Ana) and Zorayma Azocar (Zorayma), sisters and former Operational Directors for the Princeton Review's (Princeton) Supplemental Educational Service (SES) program. Ana and Zorayma were cooperating with the United States Attorney's Office and the United States Department of Education's Office of Inspector General (ED/OIG) to resolve any criminal and civil exposure they may have in connection with a federal investigation into fraud at Princeton. In the summer of 2010, Princeton ceased doing SES business and numerous former Princeton employees, including Ana and Zorayma began working at Academic Advantage (Academic), another SES company. Preliminary investigation revealed that numerous Site Managers at Academic were forging student signatures on attendance sheets for students who were not present at the after-school program. The investigation showed that Academic's (b)(6), (b)(7)(c) as well as several Operational Directors at the company, had knowledge and were directing Site Managers to perpetrate the fraud. During the 2010/11 and 2011/12 academic years, Academic was paid approximately 14 million dollars in SES Title I funds in connection with SES programs provided throughout New York City.

In June of 2012, ED/OIG and the U.S. Attorney's Office for the Southern District of New York – Criminal and Civil Divisions, interviewed Ana under a proffer agreement (Exhibit #1). Ana informed the interviewers that she had knowledge of at least one Site Manager who had forged student signatures on attendance sheets. Ana recalled that Site Manager (b)(6), (b)(7)(c) had forged multiple signatures for the months of April and May of 2012 at Public School (PS) (b)(6) located in the Bronx, NY. Academic's compliance staff reviewed the student signatures on the attendance sheets that were submitted by (b)(6), (b)(7)(c) and realized that they were fraudulent. Academic did not submit the attendance sheets nor did the company bill the United States Department of Education for SES services in connection with the months of April or May of 2012 at PS (b)(6). (b)(6), (b)(7)(c) was interviewed by (b)(6), (b)(7)(c) but no action was taken and (b)(6), (b)(7)(c) remained employed as at Academic as the Site Manager at PS (b)(6), (b)(7)(c).

In July of 2012, ED/OIG and the U.S. Attorney's Office for the Southern District of New York – Criminal and Civil Divisions, interviewed Zorayma under a proffer agreement (Exhibit #2). Zorayma informed the interviewers that she had knowledge that two of her Site Managers were forging student signatures on attendance sheets. Zorayma recalled that Site Managers Edwin Guzman (Guzman) and Luz Mercedes (Mercedes) had forged student signatures on attendance sheets. Zorayma noted that Guzman had been observed forging student

signatures by (b)(6) (b)(7)(c), a custodian at Academic and (b)(6) (b)(7)(c) Zorayma. (b)(6) (b)(7)(c) informed Zorayma that he had witnessed Guzman signing student names on attendance sheets within Academic's office. Zorayma also noted that she had a conversation with Mercedes in which Mercedes admitted to forging student signatures on attendance sheets to get her attendance numbers up.

In August of 2012, ED/OIG agents discussed the case with both Criminal and Civil Divisions of the U.S. Attorney's Office for the Southern District of New York. AUSA (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) of the Civil Division advised that there was sufficient basis to believe violations of the Civil False Claims Act existed on the part numerous Academic employees. Additionally, AUSA (b)(6) (b)(7)(c) of the Complex Fraud Unit of the Criminal Division advised that there was sufficient basis to believe that violations of 18 USC 641 (Theft of government funds) existed on the part of Academic officials.

In September of 2012, (b)(6) (b)(7)(c) was interviewed under a proffer agreement (Exhibit #3) and informed the U.S. Attorney's Office and ED/OIG that he witnessed Guzman forging signatures on attendance sheets. (b)(6) (b)(7)(c) also informed agents that he was aware that Mercedes had also falsified attendance sheets in connection with an SES program for Academic, but did not have specific information regarding her participation in the fraud scheme.

Subsequent to interviewing (b)(6) (b)(7)(c) Mercedes contacted ED/OIG agents requesting to cooperate with the federal investigation looking into fraud at Academic. Mercedes was interviewed (Exhibit #4) and confessed to forging student signatures on attendance sheets while employed for both Princeton as well as Academic. Mercedes informed the interviewers that numerous additional employees of Academic also forged student signatures on attendance sheets including (b)(6) (b)(7)(C) (b)(6) (b)(7)(c) and others.

In October of 2012, Zorayma agreed to participate in an in-person monitored contact operation with Site Manager Guzman (Exhibit #5). During the monitored and recorded contact, Guzman confessed to falsifying attendance sheets while he was under Zorayma's supervision at Academic.

In March of 2013, ED/OIG agents approach Guzman about his involvement in the fraud scheme (Exhibit #6). Guzman agreed to cooperate with the investigation and subsequently confessed to his role in fraudulently certifying attendance sheets while a Site Manager for Academic.

ED/OIG agents approached Site Manager (b)(6) (b)(7)(c) regarding her employment at Academic in April of 2013 (Exhibit #7). (b)(6) (b)(7)(c) acknowledged that she falsified attendance sheets while employed at Academic as a Site Manager. Arrangements were made for (b)(6) (b)(7)(c) to receive an appointment of counsel in connection with her cooperation with the investigation. Although (b)(6) (b)(7)(c) agreed to cooperate with the investigation, she did not meet counsel on the agreed upon date and refused to cooperate with the government investigation.

In June of 2013, ED/OIG agents interviewed former Academic Site Manager, (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) (Exhibit #8) as well as former Site Manager (b)(6) (b)(7)(c) (Exhibit #9). Both (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) agreed to cooperate with the investigation and acknowledged their participation in the falsification of attendance sheets while employed as a Site Managers for Academic. Additionally, the U.S. Attorney's Office executed a Civil Investigative Demand (CID) on Academic requesting records pursuant to the company's SES division (Exhibit #10). Records were provided to the government shortly after the execution of the CID.

In July of 2013, ED/OIG agents interview former Site Manager (b)(6) (b)(7)(c) (Exhibit #11). (b)(6) (b)(7)(c)

agreed to cooperate with the investigation and acknowledged his participation in the falsification of attendance sheets while employed as a Site Manager at Academic.

In August of 2013, ED/OIG agents and AUSA (b)(6) (b)(7)(c) contacted former Operational Director and Site Manager (b)(6) (b)(7)(c) and requested that she participate in an interview under a proffer agreement at the U.S. Attorney's Office. (b)(6) (b)(7)(c) agreed to the interview (Exhibit #12) and subsequently confessed to directing Site Managers to falsify attendance sheets as well as personally participate in the falsification of attendance records while employed at Academic.

In September of 2013, ED/OIG agents and AUSA (b)(6) (b)(7)(c) contacted (b)(6) (b)(7)(c) of SES for Academic, (b)(6) (b)(7)(c) to discuss his involvement in the fraud scheme. (b)(6) (b)(7)(c) subsequently retained counsel. Shortly afterwards, (b)(6) (b)(7)(c) counsel agreed to discuss the investigation with the government and came in for a "show and tell" presentation. Preliminary settlement negotiations ensued, however a pre-lawsuit resolution was unsuccessful.

During the months of October and November of 2013, ED/OIG agents and the U.S. Attorney's Office hold several meetings with Academic counsel to discuss the findings of the investigation. AUSA (b)(6) (b)(7)(c) along with ED/OIG agents informed Academic counsel of the systematic fraud that was uncovered and advised that a pre-lawsuit settlement with Academic was a possible resolution to the civil investigation. Settlement negotiations ensued for the next several months, however a pre-lawsuit settlement was ultimately unsuccessful.

In January of 2014, former Site Manager (b)(6) (b)(7)(c) agreed to be interviewed under a proffer agreement (Exhibit #13). During her interview, (b)(6) (b)(7)(c) acknowledged that she falsified attendance sheets while employed as a Site Manager at Academic. Also in January of 2014, former Site Manager (b)(6) (b)(7)(c) was interviewed (Exhibit #14) by ED/OIG agents and also confessed to falsifying attendance sheets as a Site Manager while working at Academic.

Also in early 2014, Guzman and Mercedes were arrested pursuant to criminal informations (Exhibits #15 and #16) charging both with Conspiracy and False Statements. They both self-surrendered to ED/OIG agents and subsequently plead guilty. In March of 2014, (b)(6) (b)(7)(c) was arrested pursuant to a criminal complaint (Exhibit #17) charging her with Conspiracy and False Statements. (b)(6) (b)(7)(c) subsequently entered into a Deferred Prosecution agreement in March of 2015 (Exhibit #18).

In March of 2014, the U.S. Attorney's Office files a Civil Complaint against Academic as well as nine former employees of its SES division including Mercedes, Guzman, (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) (Exhibit #19).

Negotiations between the U.S. Attorney's office and Academic's counsel conclude in April of 2014 when the United States reached a settlement with Academic for repeated submission of false claims for reimbursement in connection with a federally-funded program to provide tutoring services to children at underperforming schools in NYC (Exhibit #20). In the settlement, Academic admitted, acknowledged and accepted responsibility for engaging in fraudulent conduct, including falsifying student attendance records and submitting claims for reimbursement for tutoring services that it did not provide. Academic also agreed to pay two million dollars to the United States in damages and penalties under the False Claims Act. Additionally, civil settlement agreements were reached with Guzman and Mercedes in the amounts of \$61,819 and \$101,758 respectively (Exhibits #21 and #22).

In June of 2014, (b)(6) (b)(7)(c) entered into a civil settlement with the U.S. government settling the

civil complaint filed against her in the amount of \$17,365 (Exhibit #23).

In July of 2014, Guzman was sentenced in the criminal case against him to five years of probation and six months of home confinement. Additionally, Guzman was ordered to pay restitution in the amount of \$34,200 (Exhibit #24).

In January of 2015, the U.S. Attorney's Office obtained civil judgments against seven former employees of Academic in connection with their role in the fraud scheme. The civil judgments were against (b)(6) (b)(7)(c), (b)(6), (b)(6) (b)(7)(c) and (b)(6). The judgments against (b)(6) (b)(7)(c), (b)(6), (b)(6) (b)(7)(c) were for \$185,000, \$33,308, \$27,867, \$24,951, \$23,616, \$20,838, and \$20,412, respectively (Exhibits #25 to #31).

In September of 2015, Mercedes was sentenced in the criminal case against her to five years' probation and ordered to pay \$101,758 in restitution as well as serve 500 hours of community service (Exhibit #32).

The results of this investigation and others were used to identify program weaknesses and make recommendations to the Department about administering the SES program in a more efficient manner. As such, a Draft Management Information Report (MIR) (Exhibit #33) was issued to the Department to alert the Office of Elementary and Secondary Education about the OIG's investigative findings, and to make recommendations which, if implemented, would mitigate future additional risks of fraud and corruption in these and other similar programs involving the provision of services by third parties who bill on a per-child basis. An administrative action regarding the revision of regulations was subsequently generated as this matter was one of the cases that was the foundation for creating and issuing the MIR, Fraud in Title I Funded Tutoring Programs, Control No. ED-OIG X42N0001.

Additionally, the results of this investigation and others like it were highlighted during a nationwide All-Agents Conference in September of 2013 to inform other ED/OIG agents of the trends and potential risks associated with fraud in the SES industry. AUSA (b)(6) (b)(7)(c) was asked to address the conference where the Academic investigation was profiled and addressed the challenges associated with SES fraud investigations as well as the importance of working a parallel proceeding with the Criminal Division of the U.S. Attorney's Office.

All evidence, documents and information gathered in connection with this investigation has been returned and/or destroyed pursuant to ED/OIG policy. This matter is hereby deemed closed.

## SUBJECT

### Index Name

MERCEDES, LUZ

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6)  
(b)(7)(c)

Street2

(b)(6)  
(b)(7)(c)

City

(b)(6) (b)  
(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

### Index Name

(b)(6) (b)(7)(c)

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6)  
(b)(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

**Index Name**

ACADEMIC ADVANTAGE

**Index Code**

Entity

**Entity Address**

Street1	131 W. 33rd Street		
Street2	Suite 408		
City	New York	State NY	Zip Code 10001

**Index Name**

GUZMAN, EDWIN

**Index Code**

Individual

**SSN**

(b)(6) (b)(7)

**Birth Date**

(b)(6) (b)(7)

**Individual Address**

Street1	(b)(6) (b)(7)(c)		
Street2	(b)(6)		
City	(b)(6)	State (b)(6)	Zip Code (b)(6)
Country	USA		(b)(7)

**Individual Telephone**

(b)(6) (b)(7)(c)

<u><b>Index Name</b></u>	(b) (6), (b) (7)(C)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6)		
	City	(b)(6) (b)	State	(b)(6)
	Country	USA		Zip Code (b)(6)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6)		
	City	(b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6)
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)(c)			



<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
	Country	USA		Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6)		
	City	(b)(6)	State	(b)(6)
		(b)(7)		Zip Code
	Country	USA (b)(7)		

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6)		
	City	(b)(6) (b)(7)	State	(b)(6)
		(b)(7)		Zip Code
	Country	USA (b)(7)		

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6) (b)		
	City	(b)(6) (b)	State (b)(6)	Zip Code (b)(6)
	Country	USA		
<u><b>Individual Telephone</b></u>	(b)(6) (b)(7)(c)			

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

ACADEMIC ADVANTAGE

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

New York, Southern District (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

04/22/2016

**Declined Reason**

(b) (5), (b) (7)(E)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

New York, Southern District (Federal)

**Criminal Action****Index Name**

GUZMAN, EDWIN

<b><u>Accepted Date</u></b>	10/18/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	New York, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	01/09/2014	
<b><u>Docket Number</u></b>	14-CR-11-01	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	07/25/2014	
<b><u>All confinement Time</u></b>	6 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$34,200.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	New York, Southern District (Federal)	

**Criminal Action****Index Name****(b) (6), (b) (7)(C)**

<b><u>Accepted Date</u></b>	04/26/2013	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	New York, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Pretrial Diversion	
<b><u>Conviction Date</u></b>	03/06/2015	
<b><u>Docket Number</u></b>	14MAG0410	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	03/06/2015	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Forfeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	<b>(b)(6) (b)(7)(c)</b>	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	New York, Southern District (Federal)	

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

New York, Southern District (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

04/22/2016

**Declined Reason****(b) (5) (b) (7)(E)****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

New York, Southern District (Federal)

**Criminal Action****Index Name**

MERCEDES, LUZ

<b><u>Accepted Date</u></b>	10/18/2012	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	New York, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	02/06/2014	
<b><u>Docket Number</u></b>	00000	
<b><u>Community Service</u></b>	500 Hours	
<b><u>Sentencing Date</u></b>	09/21/2015	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$300.00	
<b><u>Total Restitution</u></b>	\$101,758.90	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	New York, Southern District (Federal)	



## CIVIL ACTION REPORT

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	01/14/2015
<u>Civil Compliant Date</u>	01/14/2015
<u>Accepted Date</u>	06/27/2012
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$185,000.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	06/27/2012
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	
<u>Settlement Date</u>	04/14/2014
<u>Total Judgement Amount</u>	\$0.00
<u>Total Settlement Amount</u>	\$2,000,000.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	06/27/2012
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	
<u>Settlement Date</u>	04/14/2014
<u>Total Judgement Amount</u>	\$0.00
<u>Total Settlement Amount</u>	\$101,758.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	
<u>Settlement Date</u>	06/03/2014
<u>Total Judgement Amount</u>	\$0.00
<u>Total Settlement Amount</u>	\$17,365.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$27,867.41
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$20,838.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$23,616.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$24,951.60
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)



<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$33,308.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	01/14/2015
<u>Settlement Date</u>	
<u>Total Judgement Amount</u>	\$20,412.00
<u>Total Settlement Amount</u>	\$0.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

<u>Attorney</u>	(b)(6) (b)(7)(c)
<u>Civil Filing Date</u>	03/11/2014
<u>Civil Compliant Date</u>	03/11/2014
<u>Accepted Date</u>	03/11/2014
<u>Venue</u>	New York, Southern District (Federal)
<u>Judgement Date</u>	
<u>Settlement Date</u>	04/14/2014
<u>Total Judgement Amount</u>	\$0.00
<u>Total Settlement Amount</u>	\$61,819.00
<u>Attorney Phone</u>	(b)(6) (b)(7)(c)

**DOCUMENT FILENAME**

Ana Azocar Interview 06-27-2012.pdf  
301 Document - (b)(6) (b)(7)(c) .docx  
Zorayma Azocar - Edwin Guzman in person contact 10-18-2012.WMA  
Interview of Zorayma Azocar - 07-19-2012.docx  
Interview of Luz Mercedes - 12-06-2012.docx  
Document301\_101.pdf  
Document301\_1217.pdf  
Interview of (b)(6) (b)(7)(c) - 06-21-2013.docm  
Interview of (b)(6) (b)(7)(c) - 06-24-2013.docm  
6713 Executed CID.pdf  
Interview of (b)(6) (b)(7)(c) - 07-25-2013.docx  
Interview of (b)(6) (b)(7)(c) .docx  
Interview of (b)(6) (b)(7)(c) - Proffer - 01-23-2014.docx  
Document301\_1216.pdf  
Information - Edwin Guzman.pdf  
Luz Mercedes Information.pdf  
(b) (6), (b) (7)(C) Charging document.pdf  
Deferred Prosecution Agreement - (b) (6), (b) (7)(C) .pdf  
Civil Complaint.pdf  
Settlement Agreement with Academic.pdf  
Settlement Agreement with Guzman.pdf  
Settlement Agreement with Mercedes.pdf  
(b)(6) (b)(7)(c) - Civil Settlement.pdf  
Judgment In A Criminal Case.pdf  
Guzman - Judgement.pdf  
Management Information Report - SES.pdf  
consent judgement - (b)(6) (b)(7) .pdf  
consent judgement - (b) (6), (b) (7)(C) pdf  
consent judgement - (b)(6) (b)(7)(c) .pdf  
consent judgement - (b)(6) (b)(7) .pdf  
consent judgement - (b)(6) (b)(7)(c) .pdf  
consent judgement - (b)(6) (b)(7)(c) pdf  
consent judgement - (b)(6) (b)(7)(c) pdf



UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 12-020601

REPORT OF: CHAMPION LEARNING  
CENTER

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)

Date Submitted 12/21/2015

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Approved By BRIAN HICKEY

Approved Date 12/21/2015

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c) **DATE:** 11/19/2015

**DISTRIBUTION:** Brian Hickey **OFFICE:** REGION 2 - NEW YORK

**NARRATIVE**

This case was predicated upon an audit conducted by the New York City (NYC) Comptroller's office on Champion Learning Center (Champion), a Supplemental Educational Services (SES) provider that specialized in at-home individualized learning plans. The results of the audit found that Champion billed and was paid by the NYC Department of Education (ED) for tutoring services for which there was inadequate or questionable documentation. The NYC Comptroller's report noted that many of the attendance sheets that were submitted to the NYC ED did not have the required documents that would prove a student was tutored. NYC Comptroller auditors also discovered that a significant amount of payments made to Champion were for purported tutoring sessions that took place during questionable times of the day. In many cases Champion billed the NYC ED for tutoring that took place between midnight and 5 AM. Champion entered into a contract with the NYC ED to offer tutoring services from September 1, 2009 through August 12, 2012 and received over 40 million dollars in Title I - No Child Left Behind Funds during that time period. Champion also received funding made available from the American Recovery and Reinvestment Act (ARRA) in the amount of \$6,119.19.

In June of 2012, ED/OIG agents discussed the case with the Civil Division of the US Attorney's Office for the Southern District of New York. AUSA (b)(6) (b)(7)(c) of the Civil Division advised that there was sufficient basis to believe violations of the Civil False Claims Act existed on the part of Champion's (b)(6) (b)(7)(c) and other Champion employees.

In June of 2012, the Civil Division of the United States (US) Attorney's Office for the Southern District of New York issued a Civil Investigative Demand (CID) (Exhibit #1) to Champion requesting all billing, attendance, enrollment and other documentation relating to the company's SES division. Champion's legal counsel, Dentons LLP (Dentons) agreed to comply with the CID and also provided the US Attorney's Office with access to the company's web-based computer system, known as Salesforce. A preliminary review of Champion's billing records via Salesforce, showed that tutors routinely submitted billing invoices in connection with separate tutoring sessions that took place at the same time, but in different locations. Additionally, tutors billed for sixteen hours of tutoring in a day with sessions that took place at times when students should have been in school.

An analysis of documents as well as Salesforce showed falsifications of daily student attendance sheets

as well as inconsistencies with respect to double-billing of students and tutors giving instruction to two different students at different locations the same time. A PowerPoint presentation illustrating the problematic findings of the government's analysis was presented to Dentons on November 16, 2012 (Exhibit #2). The government presented potential liabilities and damages at approximately 15 million dollars. Dentons requested time to discuss the evidence presented to them with Champion's (b)(6) (b)(7)(c)

In late 2012, the United States Department of Education provided a waiver to school districts to allow them to discontinue providing SES in lieu of a different Title I funded program. The NYC/ED developed Expanded Learning Time (ELT) as the alternative to SES and current SES vendors, including Champion were offered ELT contracts for one-additional year. Champion was offered a one-year contract to provide ELT service in the amount of 4.5 million dollars for the 2013 school year (Exhibit #3).

In January of 2013, NYC Comptroller, (b)(6) (b)(7)(c) refused to approve Champion's new ELT contract in amount of 4.5 million dollars, to operate one-on-one SES tutoring service in NYC for the 2013-14 school year. In (b)(6) (b)(7)(c) letter to the NYC/ED, he stated that "there is sufficient reason to believe that the proposed contractor is involved in corrupt activity." A NY Daily News Article (Exhibit #4) indicated that (b)(6) (b)(7)(c) decision was partially based on new information that Champion was under federal investigation for fraud. [Agent Note: In December of 2012, a civil settlement was reached with Education Holdings, Inc. formerly known as the Princeton Review in connection with false claims submitted for reimbursement relating to the company's SES program. Education Holdings, Inc. agreed to pay up to 10 million to the United States in damages and penalties under the false claims act].

ED/OIG subsequently contacted (b)(6) (b)(7)(c) the (b)(6) (b)(7)(c) for NYC/ED who informed agents that based on the investigative efforts in the SES industry, Champion's \$4.5 million dollar ELT contract to operate SES programs in NYC was revoked. As such, ED/OIG's investigation of Champion as well as other SES providers with the industry safeguarded an administrative savings of \$4.5 million dollars to the government.

In February of 2013, Dentons offered the government a counter-presentation in which they explained the problematic issues that were raised with respect to the US Attorney's office's PowerPoint presentation in November of 2012. Based on Dentons presentation to the government, potential liabilities were placed at 1.2 million dollars. Dentons noted that a settlement with Champion was contingent on the government assisting in getting Champion a new contract to operate SES services in NYC. The government agreed to open a dialogue and discuss settlement negotiations.

In March of 2014, Champion and the government agreed to place settlement negotiations on hold so that ED/OIG and the US Attorney's office could continue the investigation. Settlement negotiations resumed later in 2014, but both parties were unsuccessful in reaching an agreement due in large part to Champion not obtaining a new contract to provide tutoring services in NYC. Based on the lack of assets that Champion had and their inability to continue to provide SES/ELT tutoring, the US Attorney's Office decided to discontinue the investigation. ED/OIG and the USAO decided to focus their resources on other viable SES cases. A declination letter was sent to the United States Attorney's office on October 26, 2015 (Exhibit #5).

The results of this investigation and others were used to identify program weaknesses and make recommendations to the Department about administering the SES program in a more efficient manner. As such, a Draft Management Information Report (MIR) (Exhibit #6) was issued to the Department to alert the Office of Elementary and Secondary Education about the OIG's investigative findings, and to make recommendations which, if implemented, would mitigate future additional risks of fraud and corruption in these and other similar programs involving the provision of services by third parties who bill on a per-child basis. An administrative action regarding the revision of regulations was subsequently generated as this matter was one of the cases that was the foundation for creating and issuing the MIR, Fraud in Title I Funded Tutoring Programs, Control No. ED-OIG X42N0001.

All evidence, documents and information gathered in connection with this investigation has been returned and/or destroyed pursuant to ED/OIG policy. This matter is hereby deemed closed.



## SUBJECT

Index Name

CHAMPION LEARNING CENTER

Index Code

Entity

Entity Address

Street1 111 John Street

Street2 Suite 910

City New York

State NY

Zip Code 10038

## CIVIL ACTION Declined REPORT

<u>Prosecution Date</u>	10/26/2015
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	New York, Southern District (Federal)
<u>Declined Reason</u>	(b) (5), (b) (7)(E)

**DOCUMENT FILENAME**

CID with Rider.pdf  
Champion Powerpoint Presentation.pdf  
ADMIN RECOVERY SUPPORT DOC.docx  
Champion Daily News Article.pdf  
Managment Information Report.pdf  
Champion Declination Letter.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 13-000543

REPORT OF: VIRGINIA UNIVERSITY OF  
LYNCHBURG

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)  
Date Submitted 05/26/2016

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Approved By GEOFFREY WOOD  
Approved Date 05/26/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

01/07/2016

**DISTRIBUTION:**

WFO

**OFFICE:**

REGION 00 - WFO

**NARRATIVE**

**Introduction**

This case was initiated based on a complaint received from the Federal Bureau of Investigations, which in turn received a complaint from the Northumberland County Sheriff's Office. The Sheriff's Department stated that over 200 University of Lynchburg Virginia students were receiving money from fraudulent federal student loans.

**ED/OIG INVESTIGATION**

Between March 2013 and May 2014, ED-OIG conducted interviews of current and former Virginia University Lynchburg (VUL) students, staff, and contractors. These interviews revealed that there were some concerns that students may have been using federal student aid funds to purchase illegal substances. It also revealed that there were some concerns that Title III funds (funds for universities that serve low-income students) may not have been used properly. However, these interviews did not reveal any specific details of how the Title III funds were misused.

In October 2014, case agent (b)(6) (b)(7)(c) was transferred to another field office.

In February of 2015, a new case agent (b)(6) (b)(7)(c) was assigned to the case.

In November of 2015, acting Assistant Special Agent in Charge (b)(6) (b)(7)(c) contacted AUSA (b)(6) (b)(7)(c). Due to (b)(6) (b)(7)(c), (b) (5) AUSA (b)(6) (b)(7) declined prosecution of this case (EDITS Index #26).

This case is closed and no further investigation is warranted

## SUBJECT

### Index Name

Virginia University of Lynchburg

### Index Code

Entity

### Entity Address

Street1 16042 Northumberland Highway

City Burgess State VA Zip Code 22432

Country United States

### Entity Telephone

804-453-3074

### Index Name

(b)(6) (b)(7)(c)

### Index Code

Individual

### SSN

(b)(6) (b)(7)

### Birth Date

(b)(6) (b)(7)

### Individual Address

Street1 (b)(6) (b)(7)(c) .

City (b)(6) (b)(7) State (b)(6) Zip Code (b)(6)

(6)

(b)(7)

### Individual Telephone

(b)(6) (b)(7)(c)

Prosecutive Status

All Confinement Days

Criminal Action

Index Name

(b)(6) (b)(7)(c)

Accepted Date

Attorney Type

US Attorney

Venue

Virginia, Eastern District (Federal)

Conviction Type

Conviction Date

Docket Number

Community Service

0 Hours

Sentencing Date

All confinement Time

0 Months

Days 0 Days

Probation Time

0 Months

Total Fine Amount

\$0.00

Special Assessment

\$0.00

Total Restitution

\$0.00

Total Seizure/ Forfeiture Amt

\$0.00

Attorney Phone

(b)(6) (b)(7)(c)

Declined Date

11/03/2015

Declined Reason

(b) (7)(E)

Declined Attorney Code

US Attorney

Declined Assistant Attorney

(b)(6) (b)(7)(c)

Declined Venue

Virginia, Eastern District (Federal)

DOCUMENT FILENAME

Declination Letter (b)(6) (b)(7)(c)



UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 13-000563

REPORT OF: OPTIONS PUBLIC  
CHARTER SCHOOL  
(OPCS)

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)

Date Submitted 01/24/2017

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Approved By GEOFFREY WOOD

Approved Date 01/24/2017

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

01/24/2017

**DISTRIBUTION:**

File

**OFFICE:**

REGION 00 - WFO

**NARRATIVE**

In April of 2013, the U.S. Department of Education (ED) Office of Inspector General (OIG) received information from a cooperating defendant in an unrelated investigation regarding allegations of potential fraudulent conduct occurring at Options Public Charter School (Options) located at 1375 E Street N.E., Washington, District of Columbia (D.C.). The cooperating defendant provided information alleging Options' top executives were inflating students' special education levels in order to receive the maximum amount of funding, entering into unfavorable contracts involving conflicts of interest, bribing a District of Columbia public official, and resigning from Options to create a for-profit charter management organization (CMO) to contract with Options for 18% of the school's total revenue, which equated to approximately \$2.8 million dollars. Options' top executives were as follows:

1. (b)(6) (b)(7)(c) : (b)(6) (b)(7)(c)
2. (b)(6) (b)(7)(c)
3. (b)(6) (b)(7)(c)
4. (b)(6) (b)(7)(c)
5. (b)(6) (b)(7)(c)
6. (b)(6) (b)(7)(c)

The D.C. public official was (b)(6) (b)(7)(c) who was the (b)(6) (b)(7)(c) for the Public Charter School Board (PCSB). Options had an existing charter agreement with the PCSB. Throughout (b)(6) (b)(7)(c) tenure with the DC PCSB, he served as the (b)(6) (b)(7)(c) until a permanent (b)(6) (b)(7)(c) was hired. Per D.C. Code § 38-1802.14, the DC PCSB was established within the District of Columbia government.

(b)(6) was heavily involved in the formation of Exceptional Education Management Corporation (EEMC) while employed as the CFO for the DC PCSB. Furthermore, (b)(6) entered into a contract with co-conspirators and was receiving compensation for his responsibilities in the early creation of EEMC. Once the formation of EEMC was completed and EEMC entered into a self-dealing contract with OPCS, (b)(6) intended on leaving the DC PCSB to assume a position with EEMC as the (b)(6) with a salary between \$250,000 – 350,000 annually. The (b)(6) (b)(7)(c) for the DC PCSB, (b)(6) (b)(7)(c) was informed of (b)(6) (b)(7)(c)' inappropriate relationship and conflict of interest with OPCS, EEMC,

and EES. When (b)(6) confronted (b)(6) (b)(7)(c) ultimately resigned from the DC PCSB in August of 2013.

This investigation was worked jointly with the Federal Bureau of Investigation's (FBI) Washington Field Office, the District of Columbia OIG, and the U.S. Attorney's Office for the District of Columbia's Fraud and Public Corruption Unit. Also assigned to the investigation were a FBI Forensic Accountant, the FBI's Forfeiture Support Unit to include several specialists assigned to analyze the financial transactions, and an Assistant United States Attorney from the Asset Forfeiture and Money Laundering section.

## Background

Options was incorporated in Washington, D.C. on September 17, 1996 as a non-profit entity and was controlled by its Board of Trustees. Options was the first charter school to operate in the District of Columbia and was unique, in that, approximately two-thirds of its students were special education (SPED) students who required a myriad of specialized related and academic services. The types of related services included behavioral counseling, occupational therapy, and speech pathology. Options' mission was "to provide a high quality, unique educational experience for students at-risk of dropping out of school because they are underachieving, truant, have behavior problems, or have exceptionalities requiring therapeutic special education services."

Prior to 2007, charter schools located within the District of Columbia could apply for a charter from two "authorizers": the Board of Education (BOE) and the District of Columbia Public Charter School Board (PCSB). Options' original charter and charter agreement was approved by the Board of Education (BOE); however, BOE ceased to exist as an "authorizer" in 2007 and the PCSB assumed oversight of all the charter schools located within the District of Columbia pursuant to the Public Education Reform Amendment Act of 2007. In 2011, When Options' charter agreement was up for renewal, a charter agreement was entered with the PCSB. Options' charter agreement with the PCSB capped Options' student enrollment at 400 students from 2011 through the 2015-16 school year.

Options was primarily financed through federal and local government per pupil funding allotments that were disbursed on a quarterly basis. Options also received funding through Medicaid reimbursements. Prior to the beginning of a new school year, all charter schools submitted a projected budget to the PCSB which was based on their projected total enrollment for that school year. The first quarterly payment from the PCSB was in July based on the projected enrollment numbers. In October of the current school year, the Office of State Superintendent for Education (OSSE) visited each school and physically counted the number of students. This day was commonly referred to as "Head Count Day" where schools offered incentives to students to ensure they showed up for school on that day, such as, raffles for televisions and gift cards. The next payment was in January of the current school year and the fourth and final payment was in April of the current school year.

From 2010 – 2014, Options received approximately \$4.2 million in federal education dollars from various ED programs, including Title I (Grants to Local Education Agencies), Race to the Top Grants, IDEA Part B (Special Education Grants to States), Title IIA (Improving Teacher Quality State Grants), Title IV (Safe and Drug-Free Schools), School Improvement Grants and additional funding through the American Recovery and Reinvestment Act of 2009.

Options' bylaws and financial policies contained conflict of interest provisions that barred Options' employees from personally benefitting from official action taken on behalf of the school.

## Consensual Calls

From approximately April to July of 2013, the cooperating defendant consented to making several recorded telephone calls with the current Options' (b)(6) (b)(7)(c). The substance of the recordings partially corroborated the information previously provided by the cooperating defendant.

## D.C. Office Attorney General's (AG) Complaint

In approximately July of 2013, the Washington Post submitted a Freedom of Information Act (FOIA) request to the PCSB, seeking information relating to Options' contracts. In August of 2013, the PCSB

then became aware of (b)(6) (b)(7)(c) manipulation of an internal PCSB spreadsheet while in his official capacity to financially benefit Options. The PCSB referred this matter to the DC-OIG's office for further investigation, unbeknownst to them that there was already an existing open investigation based on statements from the cooperating defendant.

In October of 2013, the DC Attorney General filed a civil lawsuit in the District of Columbia Superior Court (District of Columbia v. Options et al Civil Action No. 2013-CA-006644B) pursuant to the non-profit corporation act which resulted in Options, Exceptional Education Services, Inc. (EES) and EEMC being placed into receiverships. Options' court-appointed receiver was (b)(6) (b)(7)(c) EES' and EEMC's court-appointed receiver was (b)(6) (b)(7)(c). Since being placed into Receivership, neither EES nor EEMC have conducted business. EES and EEMC became defunct.

On December 10, 2013, the DC PCSB voted to propose revocation proceedings against OPCS on the grounds of "a pattern of fiscal mismanagement."

On December 13, 2013, (b)(6) (b)(7)(c) responded to the DC PCSB's vote to propose Options' revocation. (b)(6) (b)(7)(c) explained the progression of OPCS while he has been appointed as the Receiver and addressed all the issues and concerns of the DC PCSB. (b)(6) (b)(7)(c) assembled a "Receiver Team" who had significant education experience. Two members of this team were (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) (b)(6) (b)(7)(c) was an Associate at Hogan Lovells, the law firm who represented OPCS. (b)(6) (b)(7)(c) was later hired as the (b)(6) (b)(7)(c) for OPCS.

On September 8, 2014, the Kingsman Academy submitted an application to the DC PCSB to establish a charter school in the District of Columbia. (b)(6) (b)(7)(c) co-founded a new charter school, Kingsman Academy Public Charter School, with intentions of serving a similar population as OPCS. The mission of Kingsman Academy is "to provide an individualized and rigorous education in a supportive environment to prepare scholars for post-secondary success and responsible citizenship."

On November 18, 2014, the DC PCSB provided the Kingsman Academy with conditional approval beginning in school year 2015-2016.

On January 30, 2015, (b)(6) (b)(7)(c) publicly issued a letter to the OPCS Community stating OPCS will be closing after the 2014-2015 school year.

The DC OAG's civil complaint ceased EEMC's contract for eighteen-percent of OPCS' revenue, which resulted in an administrative savings of approximately \$2.8 million in federal and state education funds.

#### Summary of the Investigation

On July 11, 2014, the Superior court judge issued an Order staying discovery in the civil case for a period of 90 days to allow the federal criminal investigation to proceed. After several extensions of staying the discovery requested by the U.S. Attorney's Office, the civil case resumed in September of 2016.

From April of 2013 through August of 2015, over 120 witness and subject interviews were conducted by ED-OIG, DC-OIG, FBI, and the U.S. Attorney's Office (See Attached.) Through interviews, federal search warrants (See Attached), and reviews of e-mail accounts, the investigation revealed the following facts:

On September 9, 2009, (b)(6) (b)(7)(c) incorporated EES which was a for-profit company with the authority to issue 100 shares of stock. EES' (b)(6) (b)(7)(c) were (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c). During EES' initial board meeting, EES transferred all 100 shares of stock to Options. EES was originally created as a wholly-owned subsidiary of Options, where Options owned one-hundred percent of all shares. Options was entitled to any revenue earned by EES.

In late February of 2012, Options began billing for clinical services rendered to Options' students. (b)(6) (b)(7)(c) was in charge of the Medicaid billing program and (b)(6) (b)(7)(c) was responsible for administering the Medicaid billing process. Both (b)(6) (b)(7)(c) carried out these responsibilities as Options' employees.

On March 1, 2012, EES purportedly held a board meeting during which all of EES' shares were unilaterally transferred from Options to (b)(6) (b)(7)(c) however, in truth, no such board meeting occurred.

In August of 2012, (b)(6) (b)(7)(c) and (b)(6) (b)(7) fabricated board meeting minutes that were backdated to March 1, 2012, to make it appear as though EES' shares were transferred from Options to (b)(6) (b)(7) on March 1, 2012.

#### Options' Contracts

On April 17, 2012, EES executed a Medicaid billing contract with Options without Options' Board of Trustee's knowledge or consent. (b)(6) (b)(7) executed the contract on behalf of EES and (b)(6) (b)(7) executed the contract on Options' behalf. (b)(6) (b)(7) was both an EES and Options board member. Pursuant to the contract, Options received 75% of all Medicaid billing reimbursements and EES would receive 25%.

The PCSB's rules required Options to submit all vendor contracts in excess of \$25,000 to PCSB for review. If PCSB concluded that a contractual relationship resulted in fiscal mismanagement, the PCSB could, among other things, revoke the school's charter. Contrary to the PCSB's and Options' rules and procedures, a request for proposal (RFP) was never issued prior to awarding the contract to EES. Furthermore, the contract was never submitted to the PCSB for review.

In July of 2012, approximately three months after the Medicaid billing contract was awarded to EES, a RFP for the Medicaid billing contract was posted in the Washington Post, seeking bids for Options' Medicaid billing program, despite the fact that the contract was already awarded. On the same date, another RFP was posted in the Washington Post for Options' student bus transportation services. During the previous school year, Options contracted with Deadwyler School Bus Transportation, LLC (Deadwyler) for transportation services. Options' contract with Deadwyler was approximately \$300,000.

In August of 2012, approximately four months after the contract was already awarded to EES, (b)(6) (b)(7) compiled the Medicaid contract package for submission to the PCSB. The package included a Determination and Finding Form (D&F form), which discussed the fact that Options and EES were related parties. (b)(6) (b)(7) sent the D&F form to (b)(6) (b)(7) review prior to submitting it. After (b)(6) (b)(7) reviewed the D&F form which included the disclosure of Options and EES being related parties, (b)(6) (b)(7) in his official capacity, told (b)(6) (b)(7)(c), and (b)(6) (b)(7) not to submit the D&F form. The Medicaid billing contract was never submitted to PCSB. The bid evaluation process was also tainted by the fact that the contract's evaluators were an EES board member and (b)(6) (b)(7)(c) significant other. In 2012-2013, Options earned over \$2.6 million in Medicaid reimbursements. Through the Medicaid billing contract, a significant portion of those funds were funneled from Options to (b)(6) (b)(7) and her senior staff through EES without the PCSB's or Options' Board of Trustee's knowledge or approval.

On September 14, 2012, the bus transportation contract between EES and Options was executed without Options' full Board of Trustee's knowledge or approval. (b)(6) (b)(7) signed the contract on Options' behalf and (b)(6) (b)(7) signed the contract on EES' behalf. The transportation contract package, including the D&F form, was submitted directly to (b)(6) (b)(7) for the PCSB's review. The D&F form falsely stated there were no undisclosed businesses or personal relationships between the parties, despite the fact that (b)(6) (b)(7) and her senior staff controlled both Options and EES. The D&F form also falsely stated that EES' bid was cheaper than Deadwyler's bid. (b)(6) (b)(7) failed to alert the PCSB about the self-dealing contract or any other matters relating to the contract. As a result, the PCSB processed the transportation contract without any further review or investigation. The bid evaluation process was also contaminated by the fact that the contract's evaluators were (b)(6) (b)(7) sister and (b)(6) (b)(7) friend. The evaluators were also required to consider two screening questions before a bid could be evaluated as a whole, which effectively disqualified all bids except for EES. Three days after the bus transportation contract was executed between EES and Options, EES subcontracted the bus transportation services to Deadwyler. (b)(6) (b)(7) executed the contract on EES' behalf.

In the fall of 2012, (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) began planning the formation of a CMO, later to be incorporated as EEMC. (b)(6) (b)(7) was Options', EES', and EEMC's accountant. (b)(6) (b)(7) developed budgets for EEMC, EES, and Options and also set benchmarks for key actions and created a draft RFP and proposed management contract.

On December 10, 2012, (b)(6) (b)(7) was the sole incorporator and one-hundred percent owner of EEMC, a for-profit company.

On January 4, 2013, Options posted an RFP for the management company in the Washington Post, identifying (b)(6) friend as the point of contact to receive the bids. (b)(6) friend subsequently informed (b)(6) that he did not want to participate in the RFP process because of the clear conflicts of interest that existed between Options and EEMC.

On February 4, 2013, (b)(6) (b) in his official capacity, was privy to internal PCSB discussions regarding the PCSB's position on CMOs. (b)(6) informed (b)(6) (b)(7) and rest of the co-conspirators that the PCSB's policy that charter schools must amend their charter agreement with the PCSB if the charter school wanted a CMO to manage the school's affairs. The charter amendment process required charter schools to submit various types of information to the PCSB, including the proposed management agreement.

To circumvent the charter amendment process and scrutiny of the EEMC contract which would have revealed the related parties, (b)(6) and the co-conspirators devised a scheme to repackage EEMC as a "vendor" that provided a list of a la carte services to Options, as opposed to a management company. By contracting with EEMC as a "vendor" instead of a CMO, Options would not have had to apply for a charter amendment with the PCSB and would only have to submit the contract for review since it was in excess of \$25,000. Various documents and other references in budget documents, proposals, and e-mails always referred to EEMC as a management company providing management services to Options, rather as a vendor.

On February 25, 2013, Options' Board of Trustees held a board meeting to consider, among other things, EEMC's management proposal. According to the board members, (b)(6) (b)(7)(c) presentation of EEMC was brief and did not provide any details of the contractual relationship. In summary, (b)(6) (b)(7) explained the contract would save Options money. (b)(6) (b)(7) did not disclose the material terms of the contract, such as, the 18% management fee that Options would be contractually obligated to pay to EEMC, the length of the contract, a \$500,000 prepayment to EEMC upon execution (which was paid three days later), the fact that Options was paying for EEMC's lease, security deposit, furniture, and other occupancy costs, and the various conflicts of interest that (b)(6) (b)(7)(c) and the co-conspirators had as controlling members of both Options and EEMC.

With the information they had on hand, Options' Board of Trustees voted in favor of EEMC. The contract was scheduled to begin services on July 1, 2013. The EEMC contract was not submitted to the PCSB for review until approximately six months later, after the Washington Post issued a FOIA request to the PCSB seeking information relating to the contract.

The management services (b)(6) (b)(7) and the co-conspirators offered through EEMC's contract with Options were the same management services they provided while on staff at Options, except at a lower salary. In March of 2013, (b)(6) (b)(7) and the co-conspirators relocated their offices from Options to the "trophy class" space at the Homer building in downtown Washington, D.C. According to several witnesses, when (b)(6) (b)(7) and the co-conspirators relocated their offices to the Homer building, the services they provided to Options decreased. In fact, (b)(6) (b)(7) physically moved to Florida and only traveled to Washington, D.C. for portions of the month.

On August 1, 2013, Options issued a check to EEMC totaling \$954,098.83.

#### Skills on Wheels

Options began billing Medicaid for services in February of 2012 and executed the Medicaid billing contract with EES in April of 2012. In August of 2012 (b)(6) (b)(7)(c), and the other co-conspirators instituted the "Skills on Wheels" program. The Skills on Wheels program consisted of paying Options' staff to ride on Options' school buses to and from school with the students. Options' staff, commonly referred to as bus aides, were instructed to talk to the students while on the bus about one or more of the following behavioral counseling and related services subject areas: life skills, current events, community living, positive behavior intervention and support theme review, and aggression replacement activity.



The bus aides were not provided with any formal training or other instruction. They were not licensed counselors or otherwise qualified to provide behavioral counseling or related services to the students. The bus aides who participated in the Skills on Wheels program were Options' janitors, security guards, cafeteria workers, teenage interns, and other school employees who had no professional expertise or licenses in the areas of behavioral counseling or related services. Bus aides were compensated \$25 per trip for riding on the bus (or \$50 per day if they rode in both the morning and afternoon.)

Unbeknownst to the bus aides, the bus aides' signatures were secretly copied from school timesheets and other documents and inserted onto various Medicaid related documents without the bus aides' knowledge or consent. The signed forms and other related Medicaid documents were then sent to a company located in India called Labland Technologies, Inc. (Labland), which compiled the data and submitted the Medicaid claims to the D.C. Department of Health Care Finance (DHCF) for Medicaid reimbursement.

From January 2012 through October 2013, approximately 8,000 claims were submitted to DHCF, a significant portion of which were false, in that, the Medicaid CMS-1500 Claim Forms submitted to DHCF falsely certified that the services were provided by qualified providers. Similar false statements were also submitted to OSSE indicating that the bus aides provided medically necessary services to the students on the bus, as required on the students' individualized education programs (IEP). These false statements were submitted on "Service Tracker" forms that OSSE used to track special education services rendered to D.C. students in its computer database called Special Education Data System (SEDS.)

In addition to receiving improper Medicaid reimbursements for the behavioral counseling and related services the bus aides purportedly provided the students on the buses, Medicaid reimbursements were also improperly received for transporting the students to and from school. Medicaid rules permitted schools to obtain transportation reimbursements for students if those students received Medicaid reimbursable services on the day of the transport. Because the behavioral counseling and related services purportedly provided by the bus aides were not reimbursable under Medicaid, the accompanying transports were also not reimbursable under Medicaid.

(b)(6) initially presented the program to Options' clinical staff, asking them whether they were willing to counsel students on the trips to and from school. The clinicians declined and felt the classroom environment was more conducive to providing meaningful counseling services to the students and questioned the viability of providing such services on a bus. Since the clinicians declined, (b)(6) presented the program to the non-clinical staff. (b)(7)

The bus aides were instructed to complete "Behavioral Support Group Summary Forms" each time they rode on a bus and were not required to sign. After completing the forms, the bus aides gave the completed handwritten forms to (b)(6) (b)(7)(c), or one of their assistants and never saw the forms again. Unbeknownst to the bus aides, (b)(6) (b)(7)(c), and their assistants used the forms as part of their Medicaid billing effort by preparing typed versions of the forms, adding signature lines and comments to the forms, and importing the bus aides' signatures from other signed documents.

The Behavioral Support Group Summary Forms, attendance sheets, and related documents were sent to Labland who was then instructed to use this information to populate the official CMS-1500 Medicaid reimbursement forms required by DHCF for reimbursement. Labland, from India, submitted the CMS-1500 forms electronically to DHCF which triggered payouts to Options.

#### Pay for Performance

In 2012, the co-conspirators initiated another program at Options called "Pay for Performance" or "PFP program." Under this program, students were paid \$25 to \$50, typically in gift cards, per week if the students rode the school buses to and from school and performed well in other areas. In order to be eligible to receive the gift card, students had to provide their Medicaid identification number and obtain their parent's signature on a Medicaid release form, authorizing Options to seek Medicaid reimbursement for services provided to the students.

In 2012-2013, over \$2 million in Medicaid transportation reimbursements were received while only \$230,000 was received for Medicaid reimbursements for behavioral counseling and related services. Witnesses stated Options' Medicaid reimbursement program resulted in more revenue in 2012-2013 than all of the other public and charter schools in D.C. combined.

Reviews of various documents showed (b)(6) provided Labland with instructions on how to create and submit false Service Trackers to OSSE and discussed certain Medicaid billing quotas for Labland to follow. (b)(6) instructed Labland to print out the Service Trackers, apply the bus aides' signatures, apply a real clinician's signature, and fax the Service Trackers to OSSE.

#### Bribery

From approximately January of 2012 through August of 2013, there was evidence supporting that (b)(6) engaged in an illegal quid pro quo relationship, whereby (b)(6) took various official acts in his capacity as PCSB's (b)(6) in favor of Options, EES, and EEMC in exchange for various financial and other benefits. Some of (b)(6) (b) official acts included providing internal PCSB data and information to (b)(6) (b)(7) and the other co-conspirators, prepare for PCSB surprise inspections, concealing Options' contractual relationships with EES and EEMC and unilaterally increasing Options' student enrollment ceiling so Options could receive additional funding.

As previously mentioned, in 2011, Options entered into a charter agreement with the PCSB which capped its student enrollment at 400 students through the 2015-2016 school year. To clarify, Options was permitted to enroll more than 400 students; however, they would have only received payment for 400 students. In order for a charter school to increase their enrollment ceiling, charter schools had to submit a request to the PCSB for a ceiling increase. It was confirmed that Options did not submit any requests regarding a ceiling increase.

On October 5, 2012, OSSE performed its official head count at Options, concluding that Options enrolled 417 students for the 2012-2013 school year, which meant Options would not receive funding for the additional 17 students above their 400 enrollment ceiling. (b)(6) (b) in his official capacity at the PCSB, unilaterally increased Options' enrollment ceiling from 400 to 425 students. By increasing Options' enrollment ceiling, Options was now able to receive the funding for the additional 17 students, which equated to approximately \$300,000.

Beginning in September of 2012, (b)(6) (b)(7)(c) engaged in several conversations which detailed (b)(6) (b) plan to act as Options' de facto (b) and conceal the relationship by using (b)(6) (b) company, ACS Business Solutions (ACS), as a pass-through for payments from Options to (b)(6) (b) or (b)(6) (b) company, Gemini Financial Strategists, LLC (Gemini).

On October 18, 2012, Gemini entered into a contract with ACS which memorialized the "pass-through" payments from Options to (b)(6) (b). Neither ACS nor (b)(6) (b) had any substantive responsibilities under the contract except to pass through the payments to (b)(6) through Gemini. After an extensive review of the financial transactions (b)(6) (b)(7) issued the following payments to (b)(6) (b) through ACS:

1. On October 26, 2012, (b)(6) (b)(7) issued a check from EES to ACS for \$14,416.24. Five days later, (b)(6) issued a check from ACS to Gemini for \$10,000.
2. On November 15, 2012, (b)(6) issued a check from ACS to Gemini for \$10,000. Five days later, (b)(6) (b)(7) issued a check from Options to ACS for \$14,932.92.
3. On December 26, 2012, (b)(6) (b)(7) issued a check from Options to ACS for \$14,882.09. Five days later, (b)(6) issued a check from ACS to Gemini for \$10,000.
4. On February 28, 2013, (b)(6) (b)(7) issued a check from Options to ACS for \$13,545.34 and on the same date, (b)(6) issued a check from ACS to Gemini for \$10,000.

In addition to the above mentioned payments, (b)(6) was also promised the CFO position with EEMC



which had an annual salary between \$250,000-\$350,000 and fringe benefits.

In approximately July of 2013, (b)(6) informed (b)(6) (b)(7)(c) that the Washington Post submitted a FOIA request seeking information relating to all contract signed by Options between 2008 and the present that were in excess of \$25,000, along with the Determination and Findings form for each contract.

In approximately August of 2013, unbeknownst to the PSCB, (b)(6) inserted the management contract between Options and EEMC into a PSCB spreadsheet to make it appear as though the contract was properly submitted to the PSCB. Shortly thereafter, the PCSB officials learned (b)(6) had been working with Options since the Fall of 2012. (b)(6) was terminated from the PSCB and the matter was referred to the DC-OIG.

## Special Education

Funding from the Individuals with Disabilities Education Act (IDEA) ensures that children with disabilities have the opportunity to receive a free appropriate public education. If a child was suspected to be a child with a disability, IDEA required the State Education Agency to conduct an initial evaluation within 60 days to determine whether a child was a child with a disability and fully learn the nature and extent of the special education and related services the child needs so a comprehensive and appropriate Individualized Education Program (IEP) can be developed within 30 calendar days. IDEA required a child's initial evaluation must be full and individual based, focused on that child and that child alone (34 C.F.R. 300.301). Prior to the State conducting the initial evaluation, the State must: (1) provide parents with prior written notice, (2) provide parents with procedural safeguards notices, and (3) obtain parent's informed written consent (34 C.F.R. 300.300). In conducting the initial evaluation, IDEA required the use a variety of assessment tools and strategies to gather relevant functional, developmental, and academic information, including information provided by the parent. IDEA precluded any single measure or assessment as the sole criterion for determining whether a child was a child with a disability or determining an appropriate educational program for the child. IDEA stated it was inappropriate and unacceptable to base any eligibility or placement decision upon the results of only one procedure (34 C.F.R. 304). Upon completion of the assessments and other evaluation measures, a team of qualified professionals and the parent determined whether the child was a child with a disability (34 C.F.R. 300.306).

IDEA required every child who was determined to be a child with a disability and receive special education and related services have an IEP in effect at the beginning of the school year to set reasonable learning goals and state the services that will be provided to the child (34 C.F.R. 300.323.) The IEP is a written statement of the educational program designed to meet a child's individual needs which included, among other things:

- a. A statement of the child's present levels of academic achievement and functional performance;
- b. A statement of measurable annual goals, including academic and functional goals;
- c. A description of how the child's progress toward meeting the annual goals will be measured and when periodic reports on the progress the child is making toward meeting the annual goals will be provided;
- d. A statement of the special education and related services to be provided to the child; and
- e. Appropriate measurable postsecondary goals and the transition services needed to assist the child in reaching those goals (34 C.F.R. 300.320.)

An IEP Team that included the child's parents, relevant teachers, and qualified professionals was required to develop each child's IEP by considering the strengths of the child, the concerns of the parents, the results of the initial evaluation or most recent evaluation, and the academic, developmental, and functional needs of the child (34 C.F.R. 300.321). IDEA required any service providers who were responsible for implementation to have access to the IEP, be informed of their specific responsibilities, and of any specific accommodations, modifications, and supports that are in accordance with the IEP (34 C.F.R. 300.323(d)).

Any changes to a child's IEP during the school year had to be made either by the entire IEP Team or through an agreement between the parent and school to develop a written document to amend or modify the child's IEP (34 C.F.R. 300.324). The IEP Team had to review the child's IEP periodically, but not less than annually, to determine whether the annual goals for the child were being achieved. During the review, the IEP Team had to revise the child's IEP to address: a) any lack of expected progress toward the annual goals; b) the results of any reevaluation; c) information about the child provided to, or by, the parents; d) the child's anticipated needs; or e) other matters (34 C.F.R. 300.324).

A child's disability determination had to be reevaluated at least once every three years using the same criteria applied to the initial evaluation unless the parent and school agreed that a reevaluation was unnecessary (34 C.F.R. 300.303).

During the 2012-2013 school year, the District of Columbia allotted charter schools approximately \$10,000 in foundation level per-pupil funding for each student enrolled at a charter school. The District of Columbia also supplemented the foundation level per-pupil funding for special education students based on the level of special education service hours the students required each week. Students who required 8 hours or less of special education services were considered "Level 1" students and received the per-pupil allotment and an additional \$5,188 from the District of Columbia. Students who required between 8 and 16 hours of special education services were considered "Level 2" students and received the per-pupil allotment and an additional \$7,245 from the District of Columbia. Students who required between 16 and 24 hours of special education services were considered "Level 3" students and received the per-pupil allotment and an additional \$14,133 from the District of Columbia. Students who required more than 24 hours of special education services were considered "Level 4" students and received the per-pupil allotment and an additional \$27,730 from the District of Columbia. Thus, Options received approximately \$38,000 for each Level 4 special education student enrolled at Options for the 2012-2013 school year.

During fiscal years 2010 through 2013, Options received approximately \$499,957 in Individuals with Disabilities Education Act (IDEA) Part B funding.

(b)(6) (b)(7) and the other co-conspirators established target enrollment numbers also target number for Level 4 students. If the target numbers were not met, it was known that employees would be terminated. Several witnesses expressed students were increased to a Special Education Level 4 for monetary reasons rather than the student needing the additional hours.

Beginning in or about 2010, the co-conspirators implemented a "co-teaching model" at Options whereby each core classroom (i.e., English, math, science and social studies) was assigned a general education teacher and a special education teacher. The general education teacher and special education teacher were supposed to collaborate to provide individualized academic instruction to each special education student. To do so, the general education teacher was supposed to provide a lesson plan to the special education teacher prior to class, so the special education teacher could modify the lesson plan to meet the individualized needs of the special education students in the class. During class, the general education teacher and special education teacher were supposed to work together to provide a modified lesson to meet the individualized needs of each special education student, as set forth in their IEPs.

By using the "co-teaching model" Options' opinion was, by definition, the students were already receiving enough hours to justify enough hours for Level 4. For grades 9-12, the classes were 80 minutes long and there were 4 core classes, which totaled 320 minutes (80 minutes x 4 classes = 320 minutes). Since there were 5 school days during the week, the students received 1600 minutes of counseling (320 minutes x 5 days = 1600 minutes). If you divided 1600 minutes by 60 minutes, it equated to approximately 26 hours per week. Level 4 students were required to receive 25.5 hours of instructional services per week and therefore, based on the setting of OPCS (co-teaching model), the students were automatically receiving enough hours as a Level 4 student.

## Conclusion

In light of the issues discovered throughout the investigation into OPCS, et. al, the DC PCSB made several regulatory changes to its policies. The DC PCSB created a new policy titled Board of Trustees

Compliance Policy and made revisions to its Enrollment Ceiling Increase Policy.

Effective June 24, 2013, the purpose of the DC PCSB's new Board of Trustees Compliance Policy stated members of a Board of Trustees of a public charter school shall be elected or selected pursuant to the charter granted to the school. The policy stated:

"DC public charter schools' Board of Trustees shall have an odd number of members that does not exceed 15, of which (1) a majority shall be residents of the District of Columbia and (2) At least two shall be parents of a student attending the school. Beginning SY 2013-2014, all Local Education Agencies (LEAs) must maintain a compliant Board of Trustees as outlined by the School Reform Act, or be subject to a Notice of Concern. Schools must have two voting parent members on the Board within the first 60 days of the start of a new school year. If a parent member resigns or is removed from the Board midyear, the LEA has 60 days to replace that parent member.

When the Board is out of compliance with the requirement of having an odd number of members or Washington DC resident majority, the school has 60 days to become compliant, or must develop a plan of action and demonstrate that they are exhausting all options to fill the vacant position(s). Failure to perform the above mentioned steps could result in a Notice of Concern."

Effective January 26, 2015, the DC PCSB made revisions to its July 3, 2012 Enrollment Ceiling Increase Policy. The new policy strengthened the minimum criteria for charter schools to be considered for an enrollment ceiling increase and further outlined when the DC PCSB would either recommend for or recommend against an enrollment increase.

ED-OIG's investigation also brought to light another issue to the DC PCSB. It was discovered the co-conspirators attempted to categorize EEMC as a "vendor" who provided an à la carte list of services, rather than the CMO that it was. Categorizing a CMO as a "vendor" would be a way for charter schools to by-pass the DC PCSB charter amendment requirement. The DC PCSB required charter schools to amend its charter if the charter school intended on hiring a management company. If OPCS submitted the required charter amendment, the conflicts of interest with EEMC would have been disclosed to the PCSB. By categorizing the CMO as a "vendor," a charter amendment was not needed.

In discussions with the DC PCSB, it was discovered that EEMC provided the "vendor vs. CMO" advice to other DC charter schools. The DC PCSB stated other DC charter schools attempted to categorize CMOs as "vendors" to circumvent the charter amendment policy.

In or around January of 2016, the Assistant United States Attorney (AUSA) who was assigned to the investigation since its inception left the U.S. Attorney's office. As a result, two new AUSAs, an attorney from the Department of Justice (DOJ) Public Integrity Unit, and a DOJ investigator joined the investigation. The new prosecution team disagreed with how the previous AUSA wanted to pursue criminal charges in the investigation and (b)(6) (b)(7)(c), (b) (5)

On October 4, 2016, the U.S. Attorney's Office for the District of Columbia closed their case file without recommending criminal charges due to (b)(6) (b)(7)(c), (b) (5).

There is no further investigative action required and this case is hereby deemed closed.

## SUBJECT

Index Name

EXCEPTIONAL EDUCATION SERVICES

Index Code

Entity

Entity Address

Street1 1375 E STREET NE

City WASHINGTON State DC Zip Code 20002

Index Name

EXCEPTIONAL EDUCATION MANAGEMENT CORPORATIO

Index Code

Entity

Entity Address

Street1 601 13TH STREET NW

City WASHINGTON State DC Zip Code 20005

Index Name

OPTIONS PUBLIC CHARTER SCHOOL

Index Code

Entity

Entity Address

Street1	1375 E STREET NE			
City	WASHINGTON	State	DC	Zip Code 20002

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1				
City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)(c)	Zip Code (b)(6) (b)(7)(c)
Country	(b)(6) (b)(7)(c)			

Entity Address

Street1	1375 E Street			
City	Washington	State	DC	Zip Code 20002

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				(6)
			Zip Code	(b)(6)
				(b)(7)

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				(6)
			Zip Code	(b)(6)
				(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6)	State	(b)(6)
		(b)(6)		Zip Code
				(b)(6)
				(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6)		
	City	(b)(6) (b)	State	(b)(6)
		(b)(6)		Zip Code
				(b)(6)
				(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6)
				Zip Code
				(b)(6)
				(b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	Street2	(b)(6)		
		(b)(6) (b)(7)	State	(b)(6)
	City	(b)(6) (b)(7)		Zip Code
				(b)(6)
				(b)(7)



<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)(c)			
<u>Birth Date</u>	(b)(6) (b)(7)(c)			
<u>Individual Address</u>	Street1			
	City	(b)(6) (b)(7)(c)	State	(b)(6)(c)
			Zip Code	(b)(6)(b)(7)

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

(b)(6) (b)(7)(c)

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

District Of Columbia (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

10/04/2016

**Declined Reason**

Other

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

District Of Columbia (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

US Attorney

**Venue**

District Of Columbia (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

10/04/2016

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Other

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

District Of Columbia (Federal)

**Criminal Action****Index Name**

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US Attorney

**Venue**

District Of Columbia (Federal)

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0 Months

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0 Months

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**Special Assessment**

\$0.00

**Total Restitution**

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**Attorney Phone**

(b)(6) (b)(7)(c)

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US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

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District Of Columbia (Federal)

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US Attorney

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US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

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**Attorney Phone**

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Other

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

District Of Columbia (Federal)

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**Attorney Type**

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**Venue**

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**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****(b)(6) (b)(7)(c)****Declined Venue**

District Of Columbia (Federal)

DOCUMENT FILENAME

13-000563.pdf

(b)(6) (b)(7)(c) - 10-6-2014.pdf

(b)(6) (b) Target Letter.pdf

Attachment A.pdf

Attachment part 2.pdf

Attachment to MOI.pdf

Attachment to MOI.xlsx

Attachment.pdf

attachment1.html

attachment2.txt

attachment3.pdf

attachment4.pdf

attachment5.png

Attachments 1.pdf

Attachments 2.pdf

Attachments part 1.pdf

Attachments to MOI - (b)(6) (b) .pdf

Attachments to MOI.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

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Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf

Attachments.pdf  
Attachments.pdf  
Attachments.pdf  
Attachments10-22.pdf  
Attachments1-20.pdf  
Attachments1-9.pdf  
Attachments21-50.pdf  
Attachments51-80.pdf  
Attachments81-92.pdf  
Attorney Client Privilege Waiver - EEMC EES from (b)(6) (b)(7) .pdf  
Attorney Client Privilege Waiver - OPCS from (b)(6) (b)(7) .pdf  
Binder 1 of 5.pdf  
Binder 1.pdf  
Binder 2 of 5.pdf  
Binder 2.pdf  
Binder 3 of 5.pdf  
Binder 4 of 5.pdf  
Binder 5 of 5.pdf  
Brokaw Email.pdf  
CAPCS COMPLAINT FOR INJUNCTIVE AND OTHER EQUITABLE RELIEF.pdf  
Case Opening Plan\_9066.pdf  
COMPLAINT FOR INJUNCTIVE OPTIONS PUBLIC CHARTER SCHOO.pdf  
Confidential Letter to (b)(6) (b)(7) from DC PCB.pdf  
Docs provided by (b)(6) (b)(7) .pdf  
Docs provided by (b)(6) (b)(7) pdf  
Docs provided by (b)(6) (b)(7) pdf  
Docs provided by (b)(6) (b)(7) .pdf  
Docs shown to (b)(6) (b)(7) .pdf  
Docs shown to (b)(6) (b)(7) .pdf  
Docs shown to (b)(6) .pdf  
Document301\_1010.pdf  
Document301\_1014.pdf  
Document301\_1039.pdf  
Document301\_1781.pdf  
Document301\_1903.pdf  
Document301\_1904.pdf  
Document301\_1905.pdf  
Document301\_1909.pdf  
Document301\_1914.pdf  
Document301\_1921.pdf

Document301\_1922.pdf  
Document301\_1930.pdf  
Document301\_1962.pdf  
Document301\_2049.pdf  
Document301\_2050.pdf  
Document301\_2139.pdf  
Document301\_2146.pdf  
Document301\_2147.pdf  
Document301\_2175.pdf  
Document301\_2176.pdf  
Document301\_2238.pdf  
Document301\_2241.pdf  
Document301\_2242.pdf  
Document301\_2244.pdf  
Document301\_2263.pdf  
Document301\_869.pdf  
Document301\_872.pdf  
Document301\_894.pdf  
Document301\_899.pdf  
Document301\_964.pdf  
Document301\_978.pdf  
Document301A\_182.pdf  
Documents provided by (b)(6) (b)(7)(C).pdf  
E-mail Dated 2-19-2014.pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email from (b)(6) (b)(7)(C).pdf  
Email providing access to Homer Building.pdf  
Emails shown to (b)(6) (b)(7)(C).pdf  
Excel sheet of ACH transactions.pdf  
Exhibit#1.pdf  
Exhibit#2.pdf  
Exhibits 1-11.pdf  
EXHIBITS 1-16.pdf  
Exhibits 1-17.pdf  
Exhibits 12-14.pdf  
EXHIBITS 17-20.pdf  
Exhibits 18-38.pdf

EXHIBITS 21-25.pdf  
Exhibits1-20.pdf  
FBI Notification Memo.pdf  
Follow-up E-mail.pdf  
Fritts Group Lease with OPCSEEMC.pdf  
Fritts Termination with EEMC.pdf  
Gemini Response to Motion to Compel.pdf  
Granting Mot Stay- 8-8-16.pdf  
HIPPA FERPA Letter for Hogan Lovells.pdf  
(b)(6) Exhibits 02142014.pdf  
(b)(6) Motion to Quash Order.pdf  
(b)(7)  
(b)(6) (b)(7)(c) POWERPOINT TO WUSA9.pdf  
(b)(6) (b) Email and Attachments.pdf  
(b)(6) Exhibits.pdf  
(b)(7)  
MOI - (b)(6) (b)(7)(c) - 03-10-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 5-1-2015.pdf  
MOI - (b)(6) (b)(7) - 9-26-2014.pdf  
MOI - (b)(6) (b)(7) - 10-14-2014.pdf  
MOI - (b)(6) (b)(7) - 12-12-2013.pdf  
MOI - (b)(6) (b)(7)(c) -28-2015.doc  
MOI - (b)(6) (b)(7)(c) 4-28-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 4-30-2015.pdf  
MOI - (b)(6) (b) - 12-17-2013.pdf  
MOI - (b)(6) (b)(7) - 2-6-2015.doc  
MOI - (b)(6) (b)(7)(c) - 5-29-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 5-6-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 10-29-13.pdf  
MOI - (b)(6) (b)(7)(c) 04-01-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 3-19-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 6-12-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 05-28-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 08-11-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 08-7-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 11-8-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 11-8-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 5-15-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 9-27-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 11-14-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 10-3-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 2-18-2014.pdf



MOI - (b)(6) (b)(7)(c) - 8-4-14.pdf  
MOI - (b)(6) (b)(7) - 5-28-2015.pdf  
MOI - (b)(6) (b)(7) - 5-29-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 10-10-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 03-05-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 12-31-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 3-27-15.pdf  
MOI - (b)(6) (b)(7)(c) - 10-17-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 4-16-2015.pdf  
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MOI - (b)(6) (b)(7)(c) - 7-11-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 7-9-2013.pdf  
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MOI - (b)(6) (b)(7)(c) - 12-30-2014.pdf  
MOI - (b)(6) (b)(7)(c) 2-3-2015.pdf  
MOI - (b)(6) (b)(7) - 5-18-2015.pdf  
MOI - (b)(6) (b)(7) - 12-20-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 10-9-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 10-22-2014.pdf  
MOI - (b)(6) (b) - 04-30-2014.pdf  
MOI - (b)(6) (b)(7) - 1-29-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 9-26-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 10-21-2014.pdf  
MOI - (b)(6) (b)(7) - 10-20-2014.pdf  
MOI - (b)(6) (b) - 1-28-2015.pdf  
MOI - (b)(6) (b) - 4-21-15.pdf  
MOI - (b)(6) (b)(7)(c) - 2-25-15.pdf  
MOI - (b)(6) (b)(7) - 1-9-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 12-30-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 10-27-2014.pdf  
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MOI - (b)(6) (b)(7)(c) - 3-9-15.pdf  
MOI - (b)(6) (b)(7)(c) - 03-18-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 2-20-2015.pdf  
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MOI - (b)(6) (b)(7)(c) - 3-19-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 12-3-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 6-4-2015.pdf  
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MOI - (b)(6) (b)(7)(c) - 11-18-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 3-12-2015.pdf  
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MOI - (b)(6) (b)(7)(c) - 3-24-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 7-27-15.pdf  
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MOI - (b)(6) (b)(7)(c) - 5-21-2015.doc  
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MOI - (b)(6) (b)(7)(c) - 3-14-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 12-2-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 2-27-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 7-15-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 2-9-15.pdf  
MOI - (b)(6) (b)(7)(c) - 5-12-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 11-14-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 10-24-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 11-15-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 10-23-2013.pdf  
MOI - (b)(6) (b)(7) - 6-27-2014.pdf  
MOI - (b)(6) (b)(7) - 10-21-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 10-22-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 10-23-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 3-18-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 11-1-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 1-15-2014.doc  
MOI - (b)(6) (b)(7)(c) - 6-29-2015.pdf  
MOI - (b)(6) (b)(7) - 4-1-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 5-7-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 10-23-2013.pdf  
MOI - (b)(6) (b)(7)(c) - 8-1-2014.pdf  
MOI - (b)(6) (b)(7)(c) - 1-7-2015.pdf  
MOI - (b)(6) (b)(7)(c) - 1-6-2015.doc  
MOI - (b)(6) (b)(7)(c) - 1-2-2015.doc  
Motion Granted to Continue Receivership.pdf  
Motion To Stay Order thru 2-9-2014.pdf  
OPCS\_Administrative Action Justification.pdf  
Post Article - 12-16-2013.pdf  
Privilege Waiver - (b)(6) (b) for (b)(6) comments.pdf  
(b)(6) e-mail and Consent to Search Form.pdf  
RE\_ Declination Letter.pdf  
SEARCH WARRANT (b)(6) (b)(7) - UNDER SEAL.pdf  
SEARCH WARRANT (b)(6) (b)(7)(c) - UNDER SEAL.pdf  
SEARCH WARRANT (b)(6) (b)(7) - UNDER SEAL.pdf  
SEARCH WARRANT (b)(6) (b) - UNDER SEAL.pdf  
Search Warrant Returns.pdf

SEARCH WARRANT (b)(6) - UNDER SEAL.pdf

Signed - 09012016182408.pdf

(b)(6) e-mail 7-27-15.pdf

TCD Request 978.pdf

TCD\_REQ\_974\_13-000563\_OPCS.pdf

(b)(6) (b)(7)(c) Attachments.pdf

UNITED STATES  
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OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 13-041582

REPORT OF: MARY L. DINKINS HIGHER  
LEARNING ACADEMY  
(CHARTER SCHOOL),  
SOUTH CAROLINA

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)

Date Submitted 12/30/2015

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Approved By YESSYKA SANTANA

Approved Date 12/30/2015

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



<b>PREPARED BY:</b>	(b)(6) (b)(7)(c)	<b>DATE:</b>	12/30/2015
<b>DISTRIBUTION:</b>	Investigation Services, HQ	<b>OFFICE:</b>	REGION 4 - ATLANTA

**NARRATIVE**

This case was opened based on a complaint received from the South Carolina Department of Education (SCDOE) to the U.S. Department of Education, Office of Inspector General (ED-OIG), Southeastern Region (b)(6) (b)(7)(c). SCDOE Office of General Counsel, reported concerns raised by the South Carolina Public Charter School District (SCPCSD) pertaining to the Mary L. Dinkins Higher Learning Academy Charter School (MLD). MLD was a state approved charter school, sponsored by the SCPCSD. MLD was a recipient of state and federal funding, to include ED Title I, Part A (Improving Basic Programs Operated by Local Educational Agencies), Title II (Preparing, Training, and Recruiting High Quality Teachers and Principals), Individuals with Disabilities Education Act (IDEA) and Office of Innovation and Improvement (OII) charter school implementation funds. Benita DINKINS-ROBINSON was identified as the Executive Director of MLD.

SCDOE alleged MLD filed inaccurate student enrollment and attendance for Title I reimbursements. In addition, MLD students received high school diplomas despite not completing the required class courses. Students receiving high school diplomas did not meet nor satisfy established graduation requirements. Furthermore, a former MLD employee reported that children were transported from a different school to MLD on the date of a scheduled site visit. On this day, SCPCSD conducted a Title I verification visit. Students were "borrowed" for this particular day to fill seats at MLD. The borrowed students were enrolled in a school other than MLD. It was further explained if MLD rented space within another school, students from the host school would sit in MLD classes during site visits.

During the OIG investigation, the FBI (Columbia Division) advised of a complaint concerning Benita DINKINS-ROBINSON. The FBI was notified by financial institutions that accounts owned by DINKINS-ROBINSON displayed possible fraudulent activity. Activity included frequent movement of high dollar amounts between business and personal accounts in which DINKINS-ROBINSON was the authorized account user. An analysis of financial documents revealed funds moving between MLD accounts, various private business accounts and multiple private retirement accounts. These private business accounts were set-up and owned by DINKINS-ROBINSON. The private retirement accounts were owned, or had a designated beneficiary, by DINKINS-ROBINSON.

On May 3, 2012, SCPCSD revoked the charter agreement with MLD. The revocation order was based on

factors, including the safety and security of students being transported daily to different school locations. MLD filed an appeal with the South Carolina Administrative Court. On March 1, 2013, the court upheld the revocation order of the SCPCSD. With the revocation order affirmed, MLD became ineligible to receive state and federal education funding. MLD, in essence, became a private school.

On August 13, 2013, the FBI interviewed (b)(6) (b)(7)(c), a former MLD teacher. (b)(6) (b)(7) compared MLD to other schools he had worked at and stated MLD was "the worst of any school." DINKINS-ROBINSON "misused and abused every rule" when it came to the health and safety of the children. According to (b)(6) (b) the facilities at MLD were dirty, and MLD students ran around with no supervision. Many teachers did not have teaching licenses. Some MLD students were transported to other locations in Sumter. MLD buses were often broken and DINKINS-ROBINSON would have her family members use their personal vehicles to transport MLD students. (b)(6) (b) would later testify at trial.

On May 7, 2014, Benita DINKINS-ROBINSON was charged via indictment in the U.S. District Court of South Carolina (Columbia Division) on two counts of embezzlement of public monies, in violation of 18 USC 641. Count One was embezzlement of United States Department of Agriculture funds (Food Nutrition Service). Count Two was embezzlement of United States Department of Education funds. The indictment included a seizure order for a sum of money equal to all proceeds the Defendant obtained directly or indirectly as the result of the offenses charged in Counts 1 and 2 of the indictment.

On February 24, 2015, ED-OIG agents interviewed (b)(6) (b)(7)(c), a former MLD employee. (b)(6) stated there were many concerns. DINKINS-ROBINSON established a good relationship with the BB&T bank, located across the street from MLD's Gregg Street location. DINKINS-ROBINSON would cash checks that belonged to other people. DINKINS-ROBINSON cashed numerous checks over a 2 to 3 year period at this bank. DINKINS-ROBINSON cashed checks that were issued to (b)(6) (b). DINKINS-ROBINSON would keep all monies from these checks. DINKINS-ROBINSON also devised a scheme where she would pay individuals to cash checks, then receive a kickback. (b)(6) explained Dinkins would submit a service invoice to (b)(6) (b)(7), MLD's third party accountant, usually using the name of another MLD employee. That individual would receive the check, then cash the check and give a kickback to DINKINS-ROBINSON. In addition, there was another scheme involving (b)(6) (b)(7)(c) (DINKINS-ROBINSON). (b)(6) (b)(7) would purchase computers for MLD. DINKINS-ROBINSON would tell (b)(6) to write an invoice for a specified amount. (b)(6) (b) explained that the computers purchased by (b)(6) were used computers, probably purchased at a thrift store. DINKINS-ROBINSON instructed (b)(6) to provide a false invoice, listing inflated purchase numbers. (b)(6) believed (b)(6) would keep the overages. (b)(6) stated DINKINS-ROBINSON took board members on vacations, recalling a cruise and a New Orleans trip. Although the trips were not business related, DINKINS-ROBINSON submitted the receipts as business expenses to use MLD funds. (b)(6) (b) would later testify at trial.

On March 12, 2015, Benita DINKINS-ROBINSON was found guilty by a jury on both counts of embezzlement of public monies following a ten day trial in the U.S. District Court of South Carolina (Columbia).

On August 25, 2015, Benita DINKINS-ROBINSON was sentenced in the U.S. District Court of South Carolina to serve 42 months incarceration, followed by 3 years of supervised release, and ordered to pay restitution in the amount of \$1,561,934.19. Restitution will be paid to the U.S. Department of Education in the amount of \$607,271.58 and the U.S. Department of Agriculture (Food Nutrition Service) in the amount of \$954,662.61.

A debarment request has been submitted to the appropriate Department officials. Civil and administrative remedies were considered but unnecessary due to the action taken by the criminal prosecution and SCPCSD.

This case was prosecuted in the District of South Carolina, Columbia Division by Assistant United States Attorney (b)(6) (b)(7)(c).

## SUBJECT

### Index Name

DINKINS-ROBINSON, BENITA G

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

[REDACTED]

City

[REDACTED]

State

[REDACTED]

Zip Code

[REDACTED]

Country

[REDACTED]

### Individual Telephone

[REDACTED]

### Entity Address

Street1

[REDACTED]

City

[REDACTED]

State

[REDACTED]

Zip Code

[REDACTED]

Country

[REDACTED]

### Entity Telephone

(b)(6) (b)(7)(c)



**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

DINKINS-ROBINSON, BENITA

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

South Carolina (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days**

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

**Declined Reason**

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

**Declined Venue**

South Carolina (Federal)

**Criminal Action****Index Name**

DINKINS-ROBINSON, BENITA

<b><u>Accepted Date</u></b>	09/01/2013	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	South Carolina (Federal)	
<b><u>Conviction Type</u></b>	Trial Conviction	
<b><u>Conviction Date</u></b>	03/12/2015	
<b><u>Docket Number</u></b>	3:14cr300	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	08/25/2015	
<b><u>All confinement Time</u></b>	42 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$1,561,934.19	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$1,567,228.08	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	South Carolina (Federal)	

DOCUMENT FILENAME

301- (b)(6) (b)(7) .pdf  
Admin Law Court - Final Order.pdf  
Case Opening Plan\_8410.pdf  
Complaint.doc  
Forfeiture Order.pdf  
Indictment - Benita Robinson-Dinkins.pdf  
Interview - (b)(6) (b)(7)(c) .pdf  
Judgement & Conviction.pdf  
Jury Verdict.pdf  
MLD Audit Report.pdf  
Document301\_910.pdf  
Agent Notes - (b)(6) (b)(7) .pdf  
FBI Letter and Notification.docx  
Forfeiture Order.pdf  
Interview - (b)(6) (b)(7) df  
Agent Notes - (b)(6) (b)(7)(c) pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 14-000642

REPORT OF: (b)(6) @Yahoo.com Fraud  
Ring

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)  
Date Submitted 12/17/2015

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Approved By GEOFFREY WOOD  
Approved Date 12/22/2015

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

12/17/2015

**DISTRIBUTION:**

WFO

**OFFICE:**

REGION 00 - WFO

**NARRATIVE**

**Introduction**

This case was initiated based on a complaint received from the ED/OIG Dallas field office. The ED/OIG Dallas Field Office received a complaint from the financial aid director at the University of Texas-Pan American (UTPA). The complaint stated that twelve students enrolled in a master's program may have been attempting to commit fraud. The UTPA Information Technology department analyzed the students IP addresses and determined that there were some similarities.

**ED/OIG INVESTIGATION**

In July of 2013, the ED/OIG Technology Crimes Division (TCD) conducted an analysis (EDITS Index # 2) of the ED PIN and FAFSA Internet Protocol (IP) logs as they were related to a sample of eight students that attended the University of Maryland. Two of the students used (b)(6) (b)(7)(c) @YAHOO.COM as their email address and one used (b)(6) (b)(7)(c) @YAHOO.COM. Further analysis of the ED database revealed several similarities with other students email addresses, PIN challenge questions and PINs.

On July 29, 2014 a complaint (EDITS Index # 4) was received from Liberty University containing a list of students that were potentially committing fraud. The students information was compared to the existing sample and was determined that a few of the students' email addresses and IPs were the same as the sample students.

In December of 2014, a complaint (EDITS Index # 3) was received from the University of Texas-Pan American stating that twelve students enrolled in a master's program may have been attempting to commit fraud. The UTPA Information Technology Department analyzed the students IP addresses and determined that there were some similarities. Further analysis of the data determined that some of the student's email addresses contained similar naming conventions to the two previous ED/OIG leads. The naming convention included the term CHESS. The aid for these individuals was not disbursed.

A review of the student's federal aid files revealed that the schools caught most of the fraud prior to

disbursing the funds to the students. Therefore there is a minimal loss to the government.

In December of 2015, AUSA (b)(6) (b)(7)(c) United States Attorney's Office in the District of Maryland stated that since (b)(6) (b)(7)(c), (b) (5) he was going to decline the case for prosecution. (EDITS Index # 23)

This case is closed and no further investigation is warranted.

**SUBJECT**

<b><u>Index Name</u></b>	Unknown
<b><u>Index Code</u></b>	Individual

<b><u>Individual Address</u></b>	Street1	Unknown		
	City	Unknown	State	Unknown

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

Unknown

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

Maryland (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

12/01/2015

**Declined Reason**

(b) (7)(E) | (b) (5)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

Maryland (Federal)

DOCUMENT FILENAME

Declination Letter.pdf

Referral from Liberty University.pdf

UTPA Referral Spreadsheet.xlsx

TCD Request 907.pdf



UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

15-000683

REPORT OF: SANKOFA ACADEMY  
CHARTER SCHOOL

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

---

Submitted By

(b)(6) (b)(7)(c)

Date Submitted

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Approved By

GEOFFREY WOOD

Approved Date

09/14/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c)

**DATE:** 08/24/2016

**DISTRIBUTION:** WFO

**OFFICE:** REGION 00 - WFO

**NARRATIVE**

**Introduction**

This case was initiated based on information received from (b)(6) (b)(7)(c), Chester County Assistant District Attorney. The allegation was that (b)(6) (b)(7)(c), (b)(6) (b)(7)(c) of Sankofa Academy Charter School in West Chester, PA confiscated school funds for personal use without the proper approval from the school's board of directors.

**ED/OIG INVESTIGATION**

In March of 2015, Sankofa Academy Charter School bank records were obtained via a West Chester Police Department search warrant. The records were reviewed and they reflected that (b)(6) (b)(7)(c) was loaning her personal funds to the school and that she was repaid more than she originally loaned the school.

On April 10, 2015, several Sankofa school employees and individuals on the Sankofa board of directors were interviewed. These individuals were unaware that (b)(6) (b)(7)(c) was being paid by the school and believed she was providing volunteer services.

On June 9, 2015, a search warrant was executed at the home of (b)(6) (b)(7)(c).

On May 5, 2015, (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) were interviewed at the West Chester Police Department. Both (b)(6) (b)(7)(c) denied using Sankofa funds for personal use. (b)(6) (b)(7)(c) supplied ADA (b)(6) (b)(7)(c) a spreadsheet explaining all of the Sankofa funds he and (b)(6) (b)(7)(c) received.

ADA (b)(6) (b)(7)(c) conducted a further review of the Sankofa (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) bank records and compared them to (b)(6) (b)(7)(c) spreadsheet he submitted during his interview. After a review of the additional bank records and the incomplete records kept by the school, ADA (b)(6) (b)(7)(c) determined (b)(6) (b)(7)(c), (b)(6) (b)(7)(c).

On August 2, 2016, ADA (b)(6) (b)(7)(c) decided to decline this case for prosecution due to (b)(6) (b)(7)(c), (b)(6) (b)(7)(c).

(b)(6) (b)(7)(c)

This case is closed and no further investigation is warranted.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

City

State

Zip Code

Country

Individual Telephone

Entity Address

City

State

(b)(6)

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

City

State

Zip Code

Country

Individual Telephone

Entity Address

City

State

(b)(6)

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

(b)(6) (b)(7)(c)

**Accepted Date**

**Attorney Type**

States Attorney

**Venue**

Pennsylvania (State)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

08/02/2016

**Declined Reason**

(b) (7)(E) (b) (5)

**Declined Attorney Code**

States Attorney

**Declined Assistant Attorney**

ADA (b)(6) (b)(7)(c)

**Declined Venue**

Pennsylvania (State)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date****Attorney Type**

States Attorney

**Venue**

Pennsylvania (State)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date**

08/02/2016

**Declined Reason****(b) (7)(E) (b) (5)****Declined Attorney Code**

States Attorney

**Declined Assistant Attorney**ADA **(b)(6) (b)(7)(c)****Declined Venue**

Pennsylvania (State)

DOCUMENT FILENAME

Police Report.pdf

West Chester PD warrant.pdf

DA Declination.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

15-010694

REPORT OF: Big Guy Foundation, Inc.

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b) (6), (b) (7)(C)

Date Submitted

06/14/2016

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Approved By

BRIAN HICKEY

Approved Date

06/14/2016



**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b) (6), (b) (7)(C)

**DATE:** 05/05/2016

**DISTRIBUTION:** Northeastern Region, HQ

**OFFICE:** REGION 1 - BOSTON

**NARRATIVE**

ED/OIG opened a case pursuant to allegations made by (b)(6) (b)(7)(c) Deputy Counsel for Cornell University (Cornell), regarding possible student aid fraud. It was alleged that students (b)(6) (b)(7)(c) (b)(6) (b)(7)(c), and (b)(6) (b)(7)(c) may not have reported additional outside scholarship funds received from the Big Guy Foundation, Inc. (Big Guy) on their Free Applications for Federal Student Aid (FAFSA) while attending Cornell.

Cornell conducted a thorough review of (b)(6) and the (b)(6) (b)(7) financial aid files. In addition, student interviews were conducted. As a result of Cornell's investigation, it was determined that between 2011 and 2014, (b)(6) omitted approximately \$30,000, (b)(6) omitted \$10,000, and (b)(6) omitted \$20,000 of scholarship funds received from the Big Guy on their financial aid applications submitted to Cornell.

While attending Cornell, (b)(6) and the (b)(6) (b)(7) received state, institutional, and federal student aid. Cornell officials stated that if they had known that the students had received additional outside scholarship funds, the amount of their federal and institutional aid would have been reduced.

After reviewing the Internal Revenue Service Forms for Non-Profit Charities (990s) submitted by the Big Guy for the years 2010 to 2014, it was determined that the Big Guy has distributed approximately \$4 million dollars in scholarship funds to students attending colleges, universities, and high schools.

Of the \$4 million dollars in scholarship funds that the Big Guy distributed to students, approximately \$550,000 has gone to 38 students (including the three students from Cornell) attending colleges and universities located in the Northern District of New York (NDNY).

OIG investigation revealed that (b)(6) is the (b)(6) (b)(7) of the Big Guy and she is also an (b)(6) who specializes in (b)(6). She and (b)(6) (b)(7)(c) donate at least \$1 million dollars of their own money each year to the Big Guy. (b)(6) work for the foundation, yet receive no salary for the work that they do.

On March 25, 2015, ED/OIG spoke with AUSA (b)(6) and he expressed an interest in pursuing charges (b)(7).

against (b)(6) (b)(7)(c) the Big Guy, and possibly the students/parents who provided false information on their FAFSAs.

ED/OIG spoke with Cornell officials and obtained the additional student records for all three students and for another student, (b)(6) (b)(7)(c) who was identified during the 990 analysis. A review of the files showed that after an internal investigation, Cornell asked (b)(6) and the (b)(6) (b)(7) repay and \$48,327 and \$30,850 of federal and institutional student aid funds respectively to account for the omission of scholarship funds they received. (b)(6) reported the Big Guy Scholarship for all years he attended Cornell and it was factored into his federal financial aid award.

Between April of 2015 and March of 2016, financial records for the Big Guy were subpoenaed and reviewed. In addition, each school in the NDNY was contacted and the financial aid files for students who received scholarship funds from the Big Guy were requested. ED/OIG also spoke with many of the Financial Aid Directors at the affected schools.

After speaking with the Financial Aid Directors, reviewing the bank records and student financial aid records, it was determined that of the 38 students (including the Cornell students) who received Big Guy scholarship funds from 2010 through 2014, 35 students reported the funds to their respective school. Those schools factored the scholarship funds into their calculation of federal financial aid for those students.

- (b)(6) (b)(7)(c) also attended Hamilton College (Hamilton), located in Clinton, New York. She received \$10,000 from the Big Guy for school year 2012, and did not report it to the school.
- (b)(6) (b)(7)(c) attended the State University of New York at Binghamton (SUNY Binghamton). According to the 990's, she received a check for \$1,397.88 from the Big Guy in 2012, but the transaction was not found in any of the Big Guy financial records or SUNY Binghamton records.

On April 14, 2016, an investigative summary and loss analysis documents were provided to AUSA (b)(6) (b)(7) for his review.

On April 19, 2016, AUSA (b)(6) (b)(7)(c) declined to intervene in this matter due (b)(6) (b)(7)(c). In addition, Cornell has adjusted the student's accounts to reflect the scholarship funds and reduced financial aid packages accordingly. As a result of ED/OIG's investigative efforts, the financial aid that was initially awarded to three students was reduced by \$82,327. As such, an administrative savings was reported in EDITS.

Civil charges were considered but not warranted. This matter will be closed. Any and all documents/information obtained during this investigation will be either destroyed or returned.

## SUBJECT

### Index Name

(b)(6) (b)(7)(c)

### Index Code

Individual

### SSN

(b)(6) (b)(7)(c)

### Birth Date

(b)(6) (b)(7)(c)

### Individual Address

Street1

Street2

City

Country

State

Zip Code

### Individual Telephone

### Index Name

The Big Guy Foundation

### Index Code

Entity

### Entity Address

Street1

City

Country

State

Zip Code

### Entity Telephone

<u>Index Name</u>	(b)(6) (b)(7)(c)					
<u>Index Code</u>	Individual					
<u>SSN</u>	(b)(6) (b)(7)(c)					
<u>Birth Date</u>	(b)(6) (b)(7)(c)					
<u>Individual Address</u>	Street1					
	City		State		Zip Code	
	Country					
<u>Individual Telephone</u>						

<u>Index Name</u>	(b)(6) (b)(7)(c)					
<u>Index Code</u>	Individual					
<u>SSN</u>	(b)(6) (b)(7)(c)					
<u>Birth Date</u>	(b)(6) (b)(7)(c)					
<u>Individual Address</u>	Street1					
	City		State		Zip Code	
	Country					
<u>Individual Telephone</u>						

<u>Index Name</u>	(b)(6) (b)(7)(c)		
<u>Index Code</u>	Individual		
<u>SSN</u>	(b)(6) (b)(7)		
<u>Birth Date</u>	(b)(6) (b)(7)		
<u>Individual Address</u>	Street1		
	City		State Zip Code
	Country		
<u>Individual Telephone</u>			

**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

(b)(6) (b)(7)(c)

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

New York, Northern District (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

04/19/2016

**Declined Reason**

(b) (7)(E), (b) (5)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

New York, Northern District (Federal)

**Criminal Action****Index Name**

The Big Guy Foundation

**Accepted Date****Attorney Type**

US Attorney

**Venue**

New York, Northern District (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****Declined Date**

04/19/2016

**Declined Reason**

(b) (7)(E), (b) (5)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

New York, Northern District (Federal)

DOCUMENT FILENAME

2010 990 for Big Guy.pdf  
Additional Documents.zip  
Admin Action Backup.pdf  
Bard RequestResponse.pdf  
Big Guy 990 - 2011.pdf  
Big Guy 990 for 2012.pdf  
Big Guy 990 for 2013.pdf  
Big Guy 990 for 2014.pdf  
Cornell Request and Response - (b)(6) (b)(7) .pdf  
Cornell Request and Response - (b)(6) pdf  
Cornell RequestResponse (b)(6) (b)(7) pdf  
Cornell RequestResponse (b)(6) pdf  
Declination Letter.pdf  
Email Cornell Financial Aid Process.pdf  
Financial Analysis.xlsx  
(b)(6) Referral.pdf  
(b)(7) Request and Response from SUNY Binghamton.pdf  
Request and Response Marist.pdf  
RequestResponse CIA.pdf  
RequestResponse Hamilton.pdf  
RequestResponse (b)(6) (b) pdf  
RequestResponse SUNY Albany.pdf  
RequestResponse SUNY Cortland.pdf  
RequestResponse SUNY Morrisville.pdf  
RequestResponse SUNY New Paltz.pdf  
RequestResponse SUNY Plattsburgh.pdf  
RequestResponse SUNY Purchase.pdf  
RequestResponse SUNY Stony Brook.pdf  
(b)(6) (b)(7)(c) RequestResponse.pdf  
(b)(6) (b) Referral.pdf  
Siena College.pdf  
Skidmore RequestResponse.pdf  
SUNY Oneonta RequestResponse.pdf  
SUNY Polytech Req and Response.pdf





UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 15-041820

REPORT OF: Higher One (Fraud Ring)

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)  
Date Submitted 01/23/2017

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Approved By YESSYKA SANTANA  
Approved Date 01/23/2017

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

01/22/2017

**DISTRIBUTION:**

Headquarters, Southeastern Region and EC

**OFFICE:**

REGION 4 - ATLANTA

**NARRATIVE**

This investigation was initiated in response to a request for assistance from the United States Attorney's Office (USAO), Southern District of Florida. The USAO, along with the Internal Revenue Service (IRS), Federal Bureau of Investigation (FBI) – Miami Field Office, Social Security Administration (SSA) – OIG, and Homeland Security Investigations (HSI) launched an investigation regarding a large group of individuals that were believed to be involved in a tax fraud scheme. As the investigation developed, it was also believed that members of the same group of individuals enrolled at Miami Dade College and Florida Memorial Colleges for the sole purpose of fraudulently obtaining federal student aid funds.

The investigation determined that a number of internet protocol (IP) addresses were used to facilitate the false tax return and financial aid fraud scheme (Attachment). Specifically, Miami Dade College and Florida Memorial College student accounts were compromised to obtain and secure the fraudulent tax refunds and federal financial aid funds. The proceeds of the false tax returns were deposited into various college students' Higher One bank accounts, as well as other third party financial accounts. The U.S. Department of Education (ED) – OIG obtained IP address information from a list of IP addresses that were discovered during the investigation and confirmed the IP addresses were also used to fraudulently obtain federal financial aid funds (Attachment). More specifically, on three different occasions, lists of IP addresses were provided and compared with IP information held by ED to determine whether or not those particular IP addresses matched the IP addresses of students enrolled at Miami Dade College and Florida Memorial College. After the comparisons were made and confirmed, the information was provided to the USAO. (Attachment R\_M List) Assistant United States Attorney (AUSA) (b)(6) (b)(7)(c) advised that violations of 18 U.S.C. 371 (conspiracy), 18 U.S.C. 1001 (false statements), 20 U.S.C. 1097 (student aid fraud) and 26 U.S.C. 7206 (tax fraud) existed on the part of numerous subjects. The estimated loss amount was in excess of \$250,000.

A series of indictments were obtained as a result of this investigation (Attachment). A total of 21 individuals were charged with Theft of Government Money, Conspiracy to steal government money, or both. To date, all have entered guilty pleas and have been sentenced, with the exception of (b)(6) (b)(7)(c), a former Miami Dade College student, who is currently in fugitive status. A table showing the current status of each subject in the investigation is included (Attachment - Current Status).

On August 30, 2016, Civil Division AUSA (b)(6) (b)(7)(c) was advised of the particulars of the case. AUSA (b)(6) declined pursuit of this matter civilly, (b)(6) (b)(7)(c), (b) (5) This case is being closed with (b)(6) (b)(7)(c) remaining in fugitive status.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

<u><b>Index Name</b></u>	DUMOND, RONALD			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	Street2	(b)(6) (b)(7)(c)		
	City	Miami	State	(b)(6) (b)(7)(c)
			Zip Code	(b)(6) (b)(7)(c)

<u><b>Index Name</b></u>	Fadet, Caleb			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)(c)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)(c)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)(c)	State	(b)(6) (b)(7)(c)
			Zip Code	(b)(6) (b)(7)(c)

<u><b>Index Name</b></u>	(b) (6), (b) (7)(C)		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1	(c)	
	City	(b)(6) (b)(7)(c)	State (b)(6) (6)
			Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	Avrilien, Emmanuel James		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1	(c)	
	City	(b)(6) (b)(7)	State (b)(6) (6)
			Zip Code (b)(6) (b)(7)

<u>Index Name</u>	Jean, Smith		
<u>Index Code</u>	Individual		
<u>SSN</u>	(b)(6) (b)(7)		
<u>Birth Date</u>	(b)(6) (b)(7)		
<u>Individual Address</u>	Street1		
	City	(b)(6) (b)(7)	State (b)(6) (b)(7) Zip Code (b)(6) (b)(7)

<u>Index Name</u>	JOSEPH, JONATHAN JOHN		
<u>Index Code</u>	Individual		
<u>SSN</u>	(b)(6) (b)(7)		
<u>Birth Date</u>	(b)(6) (b)(7)		
<u>Individual Address</u>	Street1		
	City	(b)(6) (b)(7)	State (b)(6) (b)(7) Zip Code (b)(6) (b)(7)



<u><b>Index Name</b></u>	Noel, Bianca
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7) (c)
<u><b>Birth Date</b></u>	(b)(6) (b)(7) (c)
<u><b>Individual Address</b></u>	Street1 [REDACTED]
	City (b)(6) (b)(7)

<u><b>Index Name</b></u>	Jean-Louis, Sandy
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7) (c)
<u><b>Birth Date</b></u>	(b)(6) (b)(7) (c)
<u><b>Individual Address</b></u>	Street1 [REDACTED]
	City (b)(6) (b)(7) (c)
	State (b) (6)
	Zip Code (b)(6) (b)(7) (c)

<u><b>Index Name</b></u>	Smith, Tamica			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)		
	Street2	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	Joseph, Marie Anquanetta			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	Cherrelus, Gerry		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1		
	City	(b)(6) (b)(7)	State (b)(6) (b)(7) Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	Simeon, Beatrice		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1		
	City	(b)(6) (b)(7)	State Zip Code (b)(6) (b)(7)

<u><b>Index Name</b></u>	Antoine, Gary			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b) (6)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(c)		
	City	(b)(6) (b)(7)	State	(b) (6)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	Etienne, Erving J.			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	FAUSTIN, MITSIE ANNA			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1			
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u>Index Name</u>	JOHNSON, LAQUISHA			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)

State (b)(6)

<u><b>Index Name</b></u>	Norelus, Farah Fafoue			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)		
	Street2	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	Simplice, Glasner ED			
<u><b>Index Code</b></u>	Individual			
<u><b>SSN</b></u>	(b)(6) (b)(7)			
<u><b>Birth Date</b></u>	(b)(6) (b)(7)			
<u><b>Individual Address</b></u>	Street1	(b)(6) (b)(7)		
	City	(b)(6) (b)(7)	State	(b)(6) (b)(7)
			Zip Code	(b)(6) (b)(7)

<u><b>Index Name</b></u>	Willy, Rutherford		
<u><b>Index Code</b></u>	Individual		
<u><b>SSN</b></u>	(b)(6) (b)(7)		
<u><b>Birth Date</b></u>	(b)(6) (b)(7)		
<u><b>Individual Address</b></u>	Street1		
	City	(b)(6) (b)(7)	State (b)(6) Zip Code (b)(6) (b)(7)



**Prosecutive Status**

**All Confinement Days**

**Criminal Action**

**Index Name**

Antoine, Gary

**Accepted Date**

09/16/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

02/06/2015

**Docket Number**

1:14-cr-20666-KMW

**Community Service**

0 Hours

**Sentencing Date**

04/15/2015

**All confinement Time**

8 Months

**Days** 0 Days

**Probation Time**

24 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$25,209.05

**Total Seizure/ Forfeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

**Declined Reason**

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

**Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

Avrilien, Emmanuel James

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	03/09/2016	
<b><u>Docket Number</u></b>	1:14-cr-2089-KMM	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	05/19/2016	
<b><u>All confinement Time</u></b>	30 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$98,481.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Cherrelus, Gerry

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

01/09/2015

**Docket Number**

1:14-CR-20829-KMM

**Community Service**

0 Hours

**Sentencing Date**

03/31/2015

**All confinement Time**

6 Months

**Days** 0 Days**Probation Time**

12 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$13,242.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name****(b)(6) (b)(7)(c)**

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	03/04/2015	
<b><u>Docket Number</u></b>	1:14-cr-20828-CMA	
<b><u>Community Service</u></b>	100 Hours	
<b><u>Sentencing Date</u></b>	05/19/2015	
<b><u>All confinement Time</u></b>	13 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$41,279.78	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	<b>(b)(6) (b)(7)(c)</b>	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

DUMOND, RONALD

<b><u>Accepted Date</u></b>	11/14/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	03/25/2015	
<b><u>Docket Number</u></b>	1:14-CR-20844-RNS	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	06/08/2015	
<b><u>All confinement Time</u></b>	60 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$300.00	
<b><u>Total Restitution</u></b>	\$63,419.30	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Etienne, Erving J.

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

02/25/2015

**Docket Number**

1:14-cr-20826-MGC

**Community Service**

0 Hours

**Sentencing Date**

05/06/2015

**All confinement Time**

24 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$7,712.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

Fadet, Caleb

**Accepted Date**

09/16/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

03/17/2015

**Docket Number**

1:14-cr-20665-DPG

**Community Service**

200 Hours

**Sentencing Date**

05/26/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

24 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$18,335.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

FAUSTIN, MITSIE ANNA

<b><u>Accepted Date</u></b>	09/30/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	01/27/2015	
<b><u>Docket Number</u></b>	1:14-cr-20747-DMM	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	04/14/2015	
<b><u>All confinement Time</u></b>	6 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	24 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$20,819.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	



**Criminal Action****Index Name**

Jean, Smith

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	02/05/2015	
<b><u>Docket Number</u></b>	1:14-cr-20830-UU	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	04/15/2015	
<b><u>All confinement Time</u></b>	51 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$29,289.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Jean-Louis, Sandy

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

01/09/2015

**Docket Number**

1:14-cr-2089-KMM

**Community Service**

0 Hours

**Sentencing Date**

03/26/2015

**All confinement Time**

0 Months

**Days****Probation Time**

12 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$28,561.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

JOHNSON, LAQUISHA

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Trial Conviction	
<b><u>Conviction Date</u></b>	03/17/2015	
<b><u>Docket Number</u></b>	1:14-cr-20827-JEM	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	05/27/2015	
<b><u>All confinement Time</u></b>	21 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$300.00	
<b><u>Total Restitution</u></b>	\$63,000.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

JOSEPH, JONATHAN JOHN

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	04/28/2015	
<b><u>Docket Number</u></b>	1:14-cr-20831-RNS	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	10/16/2015	
<b><u>All confinement Time</u></b>	36 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$30,967.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Joseph, Marie Anquanetta

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

01/09/2015

**Docket Number**

14-20829-CR-MOORE

**Community Service**

0 Hours

**Sentencing Date**

03/26/2015

**All confinement Time**

0 Months

**Days****Probation Time**

12 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$22,399.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name****(b) (6), (b) (7)(C)**

<b><u>Accepted Date</u></b>	11/13/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	01/09/2015	
<b><u>Docket Number</u></b>	1:14-cr-2089-KMM	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	03/30/2015	
<b><u>All confinement Time</u></b>	18 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$26,172.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	<b>(b)(6) (b)(7)(c)</b>	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name****(b)(6) (b)(7)(c)****Accepted Date**

09/30/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone****(b)(6) (b)(7)(c)****Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

Noel, Bianca

**Accepted Date**

11/14/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

03/20/2015

**Docket Number**

1:14-cr-20844-RNS

**Community Service**

0 Hours

**Sentencing Date**

06/01/2015

**All confinement Time**

1 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$32,586.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)



**Criminal Action****Index Name**

Norelus, Farah Fafoue

<b><u>Accepted Date</u></b>	09/30/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	03/10/2015	
<b><u>Docket Number</u></b>	1:14-cr-20753-UU	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	05/15/2015	
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	60 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$200.00	
<b><u>Total Restitution</u></b>	\$32,019.83	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Simeon, Beatrice

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

02/06/2015

**Docket Number**

1:14-cr-20830-UU

**Community Service**

0 Hours

**Sentencing Date**

04/10/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

60 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$26,651.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

Simplice, Glasner ED

<b><u>Accepted Date</u></b>	09/23/2014	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Florida, Southern District (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	05/06/2015	
<b><u>Docket Number</u></b>	1:14-cd-20689-KMW	
<b><u>Community Service</u></b>	150 Hours	
<b><u>Sentencing Date</u></b>	09/11/2015	
<b><u>All confinement Time</u></b>	12 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	36 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$72,371.10	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Florida, Southern District (Federal)	

**Criminal Action****Index Name**

Smith, Tamica

**Accepted Date**

11/13/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

01/09/2015

**Docket Number**

1:14-cr-2089-KMM

**Community Service**

0 Hours

**Sentencing Date**

03/31/2015

**All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

12 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$17,395.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**Criminal Action****Index Name**

Willy, Rutherford

**Accepted Date**

09/30/2014

**Attorney Type**

US Attorney

**Venue**

Florida, Southern District (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

06/12/2015

**Docket Number**

1:14-cr-20752

**Community Service**

0 Hours

**Sentencing Date**

09/02/2015

**All confinement Time**

0 Months

**Days** 180 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$100.00

**Total Restitution**

\$35,711.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Florida, Southern District (Federal)

**CIVIL ACTION Declined REPORT**

<b><u>Prosecution Date</u></b>	08/31/2016
<b><u>Assistant Attorney</u></b>	(b)(6) (b)(7)(c)
<b><u>Attorney Code</u></b>	US Attorney
<b><u>Judicial Districts</u></b>	Florida, Southern District (Federal)
<b><u>Declined Reason</u></b>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy



<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy



<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy



<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b) (6), (b) (7)(C)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

<u>Prosecution Date</u>	08/31/2016
<u>Assistant Attorney</u>	(b)(6) (b)(7)(c)
<u>Attorney Code</u>	US Attorney
<u>Judicial Districts</u>	Florida, Southern District (Federal)
<u>Declined Reason</u>	Department Policy

**DOCUMENT FILENAME**

Beatrice Simeon Plea.pdf  
COD Transaction Data.xlsx  
Current Status By Subject.docx  
Etienne J and C.pdf  
Etienne Plea.pdf  
Faustin J and C.pdf  
Faustin Plea.pdf  
Gary Antoine J and C.pdf  
Gary Antoine Plea.pdf  
Glasner Simplicie Plea.pdf  
Jean J and C.pdf  
Jean Plea.pdf  
Jonathan Joseph Plea.pdf  
Judgment - Andy Lamour.pdf  
Judgment - Avrilien.pdf  
Judgment - Bianca Noel.pdf  
Judgment - Caleb Fadet.pdf  
Judgment - Gerry Cherrelus.pdf  
Judgment - Joseph.pdf  
Judgment - LaQuisha Johnson.pdf  
Judgment - Marie Joseph.pdf  
Judgment - Ronald Dumond.pdf  
Judgment - Sandy Jean-Louis.pdf  
Judgment - Simplicie.pdf  
Judgment - Tamica Smith.pdf  
Judgment.pdf  
Jury Verdict - LaQuisha Johnson.pdf  
mcrae\_ip\_IP\_\_10312014.xls  
Norelus J and C.pdf  
Norelus Plea.pdf  
Plea Agreement - Tamica Smith.pdf  
Plea Agreement - Andy Lamour.pdf  
Plea Agreement - Avrilien.pdf  
Plea Agreement - Bianca Noel.pdf  
Plea Agreement - Caleb Fadet.pdf

Plea Agreement - Gerry Cherrelus.pdf  
Plea Agreement - Marie Joseph.pdf  
Plea Agreement - (b)(6) (b)(7)(c) .pdf  
Plea Agreement - Ronald Dumond.pdf  
Plea Agreement - Sandy Jean-Louis.pdf  
Plea Agreement - Willy.pdf  
R\_M\_LIST\_ONE.XLS  
R\_M\_LIST\_TWO.XLS  
Simeon J and C.pdf  
TargetsforHOIInvestigation.docx  
Case Opening Plan\_18847.pdf  
ODAS Student Lookup - Beatrice Simeonpdf.pdf  
ODAS Student Lookup - (b)(6) (b)(7)(c) .pdf  
ODAS Student Lookup - Bianca Noel.pdf  
ODAS Student Lookup - Caleb Fadet.pdf  
ODAS Student Lookup - Emmanuel Avrilien.pdf  
ODAS Student Lookup - Etienne Erving.pdf  
ODAS Student Lookup - Farah Norelus.pdf  
ODAS Student Lookup - Gary Antoine.pdf  
ODAS Student Lookup - Gerry Cherrelus.pdf  
ODAS Student Lookup - Glasner Simplicite.pdf  
ODAS Student Lookup - (b)(6) (b)(7)(c) .pdf  
ODAS Student Lookup - Laquisha Johnson.pdf  
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ODAS Student Lookup - Mitsie Faustin.pdf  
ODAS Student Lookup - Ronald Dumond.pdf  
ODAS Student Lookup - Rutherford Willy.pdf  
ODAS Student Lookup - Sandy Jean-louis.pdf  
ODAS Student Lookup - Smith Jean.pdf  
ODAS Student Lookup - Youri Baptiste.pdf  
Jonathan Joseph Factual Proffer.pdf  
Glasner Simplicite Factual Proffer.pdf  
Beatrice Simeon Factual Proffer.pdf  
Norelus Factual Proffer.pdf  
Gary Antoine Factual Proffer.pdf  
Etienne Factual Proffer.pdf  
Faustin Factual Proffer.pdf  
Factual Proffer Jean.pdf  
ODAS Student Lookup - Beatrice Simeon.xml  
ODAS Student Lookup - (b)(6) (b)(7)(c) .xml

ODAS Student Lookup - Bianca Noel.xml  
ODAS Student Lookup - Caleb Fadet.xml  
ODAS Student Lookup - Emmanuel Avrilien.xml  
ODAS Student Lookup - Etienne Erving.xml  
ODAS Student Lookup - Farah Norelus.xml  
ODAS Student Lookup - Gerry Cherrelus.xml  
ODAS Student Lookup - Glasner Simplicie.xml  
ODAS Student Lookup - (b)(6) (b)(6).xml  
ODAS Student Lookup - Laquisha Johnson.xml  
ODAS Student Lookup - (b)(6) (b)(7)(c).xml  
ODAS Student Lookup - Mitsie Faustin.xml  
ODAS Student Lookup - Ronald Dumond.xml  
ODAS Student Lookup - Rutherford Willy.xml  
ODAS Student Lookup - Smith Jean.xml  
ODAS Student Lookup - Youri Baptiste.xml  
Declination Letter - Civil.pdf  
Closing Checklist\_18847.pdf  
FBI Notification.docx

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

15-051656

REPORT OF: Minnesota ISIS

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

11/28/2016

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Approved By

(b) (6) (b) (7)(C)

Approved Date

11/29/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



<b>PREPARED BY:</b>	(b)(6) (b)(7)(c)	<b>DATE:</b>	11/28/2016
<b>DISTRIBUTION:</b>	ED-OIG Headquarters	<b>OFFICE:</b>	REGION 5 - CHICAGO

**NARRATIVE**

**Case Synopsis**

On December 11, 2014, Deputy United States Marshal (b)(6) (b)(7)(c) with the Joint Terrorism Task Force (JTTF) in Minneapolis, Minnesota, contacted ED-OIG. DUSM (b)(6) (b)(7)(c) provided information suggesting that U.S. Department of Education Title IV money was being used to fund terroristic activities associated with the Islamic State of Iraq and Syria ("ISIL").

From May 2014 until April 19, 2015, Adnan Abdihamid Farah ("ADNAN"), Abdirahman Yasin Daud ("DAUD"), Guled Ali Omar ("OMAR"), Hanad Mustafe Musse ("MUSSE"), Hamza Naj Ahmed ("AHMED"), Mohamed Abdihamid Farah ("FARAH"), and Zacharia Yusuf Abdurahman ("ZACHARIA") made multiple attempts to join ISIL in Syria. Several of the individuals fraudulently obtained federal financial aid in order to fund their travel to Syria. The details for each individual are listed below:

**Adnan Abdihamid Farah ("ADNAN")**

Between March and April 2014, ADNAN joined this group of travelers attempting to join ISIL in Syria. Adnan attempted to obtain a false passport. The individual he purchased the fake passport from was a cooperating human source (CHS).

**Abdirahman Yasin Daud ("DAUD")**

In April 2015, DAUD drove from Minneapolis to San Diego, California, where he intended to purchase a fake passport, cross the border into Mexico, and travel to Syria to join ISIL. The individual he purchased the fake passport from was a law enforcement officer and he was arrested by federal agents immediately after obtaining the false travel documents.

**Guled Ali Omar ("OMAR")**

In May 2014, OMAR joined this group of travelers attempting to join ISIL in Syria. OMAR enrolled in college courses at Minneapolis Community and Technical College and signed up for federal financial aid. OMAR intended use his federal financial aid student refund to cover his travel expenses to Syria. OMAR made an attempt to join ISIL by traveling across the United States – Mexico border near San Diego; however, OMAR's family prevented his travel. OMAR again attempted to join ISIL in Syria on November

6, 2014, by first flying from Minneapolis/St. Paul International Airport to San Diego, California. OMAR planned to cross the United States – Mexico border near San Diego and travel onward to Syria to join ISIL, but before he could board the flight in Minnesota, OMAR was stopped at the airport and prevented from boarding the plane.

Hanad Mustafe Musse (“MUSSE”)

Between March and June 2014, MUSSE joined this group of travelers attempting to join ISIL in Syria. MUSSE enrolled in college courses at Minneapolis Community and Technical College and signed up for federal financial aid. Between October 20, 2014, and November 6, 2014, MUSSE made five cash withdrawals from his student refund debit card containing his federal financial student refund, totaling \$2,400. He deposited those funds in a personal checking account that he opened on November 3, 2014. He later used those funds to purchase a bus ticket from Minneapolis to New York City. MUSSE was prevented by federal agents from boarding his flight.

Hamza Naj Ahmed (“AHMED”)

In May 2014, AHMED enrolled in classes at Minnesota Community and Technical College and received federal financial aid. AHMED used that money to purchase a Greyhound bus ticket from Minneapolis to New York City, New York, and airfare from New York that he thought would enable him to travel to Syria to join ISIL. He was stopped at JFK International Airport in New York by federal agents.

Mohamed Abdihamid Farah (“FARAH”)

In November 2014, FARAH took a Greyhound bus to New York City and attempt to board a flight to Europe. FARAH's ultimate destination was Syria, where he planned to join and fight with ISIL. Federal agents in New York prevented FARAH from leaving the United States. In April 2015, FARAH drove from Minneapolis to San Diego, California, where he attempted to purchase a fake passport, cross the border into Mexico, and travel to Syria to join ISIL. The individual he purchased the fake passports from was a law enforcement officer and he was arrested by federal agents immediately after obtaining the false travel documents.

Zacharia Yusuf Abdurahman (“ZACHARIA”)

Between March and June 2014, ZACHARIA joined this group of travelers attempting to join ISIL. On Nov. 6, 2014, ZACHARIA purchased a bus ticket from Minneapolis to New York City. At John F. Kennedy International Airport, ZACHARIA purchased a round-trip ticket to Athens, Greece, which he planned to use as a transit point from which to travel to Syria. After being prevented by federal agents from boarding his flight, ZACHARIA lied to federal agents about the true nature of his travel. On April 1, 2015, ZACHARIA provided a passport photo of himself to an individual he believed would be traveling with him; the individual was a cooperating human source (CHS). On April 3, 2015, ZACHARIA attempted to obtain a false passport for a co-conspirator attempting to travel to Syria and join ISIL.

Charges

All seven individuals were charged in a single criminal complaint filed on April 18, 2015. On May 18, 2015, a first superseding indictment was filed. On October 21, 2015, a second superseding indictment was filed. The details of the individuals charged are below:

Adnan Abdihamid Farah (“ADNAN”)

On May 18, 2015, ADNAN was charged with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1). ADNAN plead guilty and no further charges were brought with the following superseding indictments.

Abdirahman Yasin Daud (“DAUD”)

On April 18, 2015, DAUD was charged with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(b)(1). On May 18, 2015, DAUD was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section



2. On October 21, 2015, DAUD was charged in a second superseding indictment with Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, and one count of Perjury, 18 USC 1621.

Guled Ali Omar ("OMAR")

On April 18, 2015, OMAR was charged with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2. On May 18, 2015, OMAR was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1). On October 21, 2015, OMAR was charged in a second superseding indictment with one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, and one count of Attempted Financial Aid Fraud, 20 USC 1097(a).

Hanad Mustafe Musse ("MUSSE")

On April 18, 2015, MUSSE was charged with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2. On May 18, 2015, MUSSE was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, and one count of Financial Aid Fraud, 20 USC 1097(a). MUSSE plead guilty and no further charges were brought with the following superseding indictment.

Hamza Naj Ahmed ("AHMED")

On May 18, 2015, AHMED was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, one count of False Statement, 18 USC 1001, and one count of Financial Aid Fraud, 20 USC 1097(a). On October 21, 2015, AHMED was charged in a second superseding indictment with one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, one count of False Statement, 18 USC 1001, and one count of Financial Aid Fraud, 20 USC 1097(a). AHMED pled guilty after the second superseding indictment.

Mohamed Abdihamid Farah ("FARAH")

On April 18, 2015, FARAH was charged with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2. On May 18, 2015, FARAH was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, and one count of False Statement 18 USC 1001. On October 21, 2015, FARAH was charged in a second superseding indictment with one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, one count of Perjury, 18 USC 1621, and one count of False Statement, 18 USC 1001.

Zacharia Yusuf Abdurahman ("ZACHARIA")

On April 18, 2015, ZACHARIA was charged with one count of Conspiracy to Provide Material Support to a

Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2.

On May 18, 2015, ZACHARIA was charged in a superseding indictment with one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2. ZACHARIA plead guilty and no further charges were brought with the following superseding indictment.

#### Plea Agreements

On September 9, 2015, Hanad Mustafe Musse pled guilty to one count from the superseding indictment: Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B.

On April 14, 2016, Adnan Abdihamid Farah pled guilty to one count from the second superseding indictment: Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B.

On April 25, 2016, Hamza Naj Ahmed pled guilty to two counts from the second superseding indictment: one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B, and one count of Financial Aid Fraud, 20 USC 1097.

On April 25, 2016, Zacharia Yusuf Abdurahman pled guilty to one count from the superseding indictment: Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B.

#### Trial

On May 9, 2016, the jury trial for Abdirahman Yasin Daud, Guled Ali Omar, and Mohamed Abdihamid Farah began. On June 3, 2016, the jury returned the following verdicts:

Abdirahman Yasin Daud ("DAUD") was found guilty of one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), and one count of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2. DAUD was found not guilty of Perjury, 18 USC 1621.

Guled Ali Omar was found guilty of one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, one count of Conspiracy to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1), two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, and one count of Attempted Financial Aid Fraud, 20 USC 1097(a).

Mohamed Abdihamid Farah was found guilty of one count of Conspiracy to Commit Murder Outside of the United States, 18 USC 956(a) and Section 2, two counts of Attempting to Provide Material Support to a Designated Foreign Terrorist Organization, 18 USC 2339B(a)(1) and Section 2, one count of Perjury, 18 USC 1621, and one count of False Statement, 18 USC 1001.

#### Sentencing

Between November 14, 2016 and November 16, 2016, in the District of Minnesota, the following sentences were issued to individuals attempting to join ISIL:

Adnan Abdihamid Farah was sentenced to 120 months incarceration, 240 months supervised release, and a \$100 special assessment; Abdirahman Yasin Daud was sentenced to 360 months incarceration, lifetime supervised release and a \$300.00 special assessment.

Guled Ali Omar was sentenced to 420 months of incarceration, lifetime supervised release, \$5,000.00 in restitution and a \$500.00 special assessment.

Hanad Mustafe Musse was sentenced to 120 months of incarceration, 240 months supervised release and a \$100.00 special assessment.

Hamza Naj Ahmed was sentenced to 180 months of incarceration, 276 months supervised release, \$2,700.00 in restitution and a \$200.00 special assessment.

Mohamed Abdihamid Farah was sentenced to 360 months of incarceration, lifetime supervised release and a \$600.00 special assessment.

Zacharia Yusuf Abdurahman was sentenced to 120 months of incarceration, 240 months supervised release, and \$100.00 special assessment.

The restitution ordered against Hamza Naj Ahmed and Guled Ali Omar was the result of obtaining federal financial aid and using or attempting to use the financial aid funds to travel to Syria and join ISIL.

## SUBJECT

### Index Name

Omar, Guled Ali

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

### Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State

(b)  
(6)

Zip Code

(b)(6)  
(b)(7)

### Index Name

(b)(6) (b)(7)(c)

### Index Code

Individual

### SSN

(b)(6) (b)(7)  
(c)

### Birth Date

(b)(6) (b)(7)  
(c)

<u><b>Index Name</b></u>	Warsame, Abdirizak Mohamed
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

State MN

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

State MN

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

State MN

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	06/26/1993

State MN

<u><b>Index Name</b></u>	ABDURAHMAN, ZACHARIA YUSUF
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

State MN

Index Name

MUSSE, HANAD MUSTAFE

Index Code

Individual

SSN

(b)(6) (b)(7)  
[REDACTED]

Birth Date

(b)(6) (b)(7)  
[REDACTED]

State MN

Index Name

Yusuf, Abdullahi Mohamud

Index Code

Individual

SSN

(b)(6) (b)(7)  
[REDACTED]

Birth Date

(b)(6) (b)(7)  
[REDACTED]

State MN



Index Name

(b) (6), (b) (7)(C)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

State MN

Index Name

Daud, Abdirahman Yasin

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

State MN

**Index Name**

Omar, Guled Ali

**Index Code**

Individual

**SSN**

(b)(6) (b)(7)  
[REDACTED]

**Birth Date**

(b)(6) (b)(7)  
[REDACTED]

**Index Name**

Farah, Mohamed Abdihamid

**Index Code**

Individual

**SSN**

(b)(6) (b)(7)  
[REDACTED]

**Birth Date**

(b)(6) (b)(7)  
[REDACTED]

State MN

<u><b>Index Name</b></u>	Ahmed, Hamza Naj
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

<u><b>Index Name</b></u>	(b)(6) (b)(7)(c)
<u><b>Index Code</b></u>	Individual
<u><b>SSN</b></u>	(b)(6) (b)(7)
<u><b>Birth Date</b></u>	(b)(6) (b)(7)

State MN

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

State MN

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

State MN

<u>Index Name</u>	(b)(6) (b)(7)(c)
<u>Index Code</u>	Individual
<u>SSN</u>	(b)(6) (b)(7)
<u>Birth Date</u>	(b)(6) (b)(7)

<u>Index Name</u>	FARAH, ADNAN ABDIHAMID		
<u>Index Code</u>	Individual		
<u>SSN</u>	(b)(6) (b)(7)		
<u>Birth Date</u>	(b)(6) (b)(7)		
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)	
	City	(b)(6) (b)(7)	State (b)(6)

<u>Index Name</u>	(b)(6) (b)(7)(c)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)
			Zip Code	(b)(6) (b)(7)

<u>Index Name</u>	(b)(6) (b)(7)			
<u>Index Code</u>	Individual			
<u>SSN</u>	(b)(6) (b)(7)			
<u>Birth Date</u>	(b)(6) (b)(7)			
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)		
	City	(b)(6) (b)(7)	State	(b)(6)

<u>Index Name</u>	(b)(6) (b)(7)(c)		
<u>Index Code</u>	Individual		
<u>SSN</u>	(b)(6) (b)(7)		
<u>Birth Date</u>	(b)(6) (b)(7)		
<u>Individual Address</u>	Street1	(b)(6) (b)(7)(c)	
	City	(b)(6) (b)(7)(c)	State (b)(6)



**Prosecutive Status****All Confinement Days****Criminal Action****Index Name**

ABDURAHMAN, ZACHARIA YU

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

05/19/2015

**Docket Number**

15-mj-312

**Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

ABDURAHMAN, ZACHARIA YU

<b><u>Accepted Date</u></b>	02/25/2015	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Minnesota (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	10/21/2015	
<b><u>Docket Number</u></b>		
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	11/14/2016	
<b><u>All confinement Time</u></b>	120 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Minnesota (Federal)	

**Criminal Action****Index Name**

Ahmed, Hamza Naj

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

10/21/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Ahmed, Hamza Naj

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Guilty Plea

**Conviction Date**

04/25/2016

**Docket Number**

15-CR-00049

**Community Service**

0 Hours

**Sentencing Date**

11/15/2016

**All confinement Time**

180 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$200.00

**Total Restitution**

\$2,700.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Daud, Abdirahman Yasin

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

05/19/2015

**Docket Number**

15-mj-312

**Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Daud, Abdirahman Yasin

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

10/21/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Daud, Abdirahman Yasin

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Trial Conviction

**Conviction Date**

06/03/2016

**Docket Number**

15-49

**Community Service**

0 Hours

**Sentencing Date**

11/16/2016

**All confinement Time**

360 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$300.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

FARAH, ADNAN ABDIHAMID

<b><u>Accepted Date</u></b>	02/25/2015	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Minnesota (Federal)	
<b><u>Conviction Type</u></b>	Dismissed	
<b><u>Conviction Date</u></b>	05/19/2015	
<b><u>Docket Number</u></b>	15-mj-312	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>		
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Minnesota (Federal)	



**Criminal Action****Index Name**

FARAH, ADNAN ABDIHAMID

<b><u>Accepted Date</u></b>	02/25/2015
<b><u>Attorney Type</u></b>	US Attorney
<b><u>Venue</u></b>	Minnesota (Federal)
<b><u>Conviction Type</u></b>	Dismissed
<b><u>Conviction Date</u></b>	10/21/2015
<b><u>Docket Number</u></b>	
<b><u>Community Service</u></b>	0 Hours
<b><u>Sentencing Date</u></b>	
<b><u>All confinement Time</u></b>	0 Months <b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months
<b><u>Total Fine Amount</u></b>	\$0.00
<b><u>Special Assessment</u></b>	\$0.00
<b><u>Total Restitution</u></b>	\$0.00
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)
<b><u>Declined Date</u></b>	
<b><u>Declined Reason</u></b>	
<b><u>Declined Attorney Code</u></b>	US Attorney
<b><u>Declined Assistant Attorney</u></b>	
<b><u>Declined Venue</u></b>	Minnesota (Federal)

**Criminal Action****Index Name**

FARAH, ADNAN ABDIHAMID

<b><u>Accepted Date</u></b>	02/25/2015	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Minnesota (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	04/14/2016	
<b><u>Docket Number</u></b>	15-49	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	11/15/2016	
<b><u>All confinement Time</u></b>	120 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Minnesota (Federal)	

**Criminal Action****Index Name**

Farah, Mohamed Abdihamid

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

05/19/2015

**Docket Number**

15-mj-312

**Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Farah, Mohamed Abdihamid

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

10/21/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Farah, Mohamed Abdihamid

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Trial Conviction

**Conviction Date**

06/03/2016

**Docket Number**

15-49

**Community Service**

0 Hours

**Sentencing Date**

11/16/2016

**All confinement Time**

360 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$600.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

MUSSE, HANAD MUSTAFE

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type****Conviction Date****Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

MUSSE, HANAD MUSTAFE

<b><u>Accepted Date</u></b>	02/25/2015	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Minnesota (Federal)	
<b><u>Conviction Type</u></b>	Dismissed	
<b><u>Conviction Date</u></b>	05/19/2015	
<b><u>Docket Number</u></b>	15-mj-312	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>		
<b><u>All confinement Time</u></b>	0 Months	<b><u>Days</u></b>
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$0.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Minnesota (Federal)	

**Criminal Action****Index Name**

MUSSE, HANAD MUSTAFE

<b><u>Accepted Date</u></b>	02/25/2015	
<b><u>Attorney Type</u></b>	US Attorney	
<b><u>Venue</u></b>	Minnesota (Federal)	
<b><u>Conviction Type</u></b>	Guilty Plea	
<b><u>Conviction Date</u></b>	09/09/2015	
<b><u>Docket Number</u></b>	15-CR-00049	
<b><u>Community Service</u></b>	0 Hours	
<b><u>Sentencing Date</u></b>	11/15/2016	
<b><u>All confinement Time</u></b>	120 Months	<b><u>Days</u></b> 0 Days
<b><u>Probation Time</u></b>	0 Months	
<b><u>Total Fine Amount</u></b>	\$0.00	
<b><u>Special Assessment</u></b>	\$100.00	
<b><u>Total Restitution</u></b>	\$0.00	
<b><u>Total Seizure/ Frofeiture Amt</u></b>	\$0.00	
<b><u>Attorney Phone</u></b>	(b)(6) (b)(7)(c)	
<b><u>Declined Date</u></b>		
<b><u>Declined Reason</u></b>		
<b><u>Declined Attorney Code</u></b>	US Attorney	
<b><u>Declined Assistant Attorney</u></b>		
<b><u>Declined Venue</u></b>	Minnesota (Federal)	



**Criminal Action****Index Name**

Omar, Guled Ali

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

05/19/2015

**Docket Number**

15-mj-312

**Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days****Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Omar, Guled Ali

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Dismissed

**Conviction Date**

10/21/2015

**Docket Number****Community Service**

0 Hours

**Sentencing Date****All confinement Time**

0 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**Criminal Action****Index Name**

Omar, Guled Ali

**Accepted Date**

02/25/2015

**Attorney Type**

US Attorney

**Venue**

Minnesota (Federal)

**Conviction Type**

Trial Conviction

**Conviction Date**

06/03/2016

**Docket Number**

15-49

**Community Service**

0 Hours

**Sentencing Date**

11/17/2016

**All confinement Time**

420 Months

**Days** 0 Days**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$500.00

**Total Restitution**

\$5,000.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date****Declined Reason****Declined Attorney Code**

US Attorney

**Declined Assistant Attorney****Declined Venue**

Minnesota (Federal)

**DOCUMENT FILENAME**

A Daud Verdict 6-3-2016.pdf  
A Farah Plea 4-14-2016.pdf  
A Hassan Normandale Docs.pdf  
A Yusef Inver Hills CC Records.pdf  
(b)(6) (b)(7)(c) MCTC Recs.pdf  
(b)(6) (b)(7)(c) MCTC Recs.pdf  
Abdirahman Daud MCTC Recs.pdf  
Abdirahman Yasin Daud Sen.pdf  
Abdirazak Warsame MCTC Recs.pdf  
Abdullahi Yusuf Files (ALL).pdf  
Adnan Abdihamid Farah Sen.pdf  
(b)(6) (b)(7)(c) MCTC Recs.pdf  
Case Opening Plan\_19520.pdf  
Complaint.pdf  
Crim Complaint.pdf  
(b)(6) (b)(7)(c) MCTC Recs.pdf  
FBI Notification and Memo.pdf  
G Omar Verdict 6-3-2016.pdf  
Guled Ali Omar Sen.pdf  
Guled Omar Files (ALL).pdf  
Hamza Ahmed - All Student Files.pdf  
Hamza Ahmed Plea 4-25-2016.pdf  
Hamza Naj Ahmed Sen.pdf  
Hanad Musse Files (ALL).pdf  
Hanad Musse MCTC Recs.pdf  
Hanad Musse Plea Agreement.pdf  
Hanad Mustafe Musse Sen.pdf  
HSD Request - Minneapolis.pdf  
(b)(6) (b)(7)(c) Files (ALL).pdf  
M Farah Verdict 6-3-2016.pdf  
Mahmoud Hussein MCTC Recs.pdf  
Mohamed Abdihamid Farah Sen.pdf  
Mohamed Farah Files (ALL).pdf  
Normandale Docs (Batch 1).pdf  
(b)(6) (b)(7)(c) MCTC Recs.pdf  
Second Superseding Indictment.pdf

Spread Sheet - Work In Progress.xlsx

Superseding Indictment.pdf

(b)(6) (b)(7) MCTC Recs.pdf

Zacharia Abdurahman Files (ALL).pdf

Zacharia Abdurahman Plea.pdf

Zacharia Yusuf Abdurahman Sen.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

15-110426

REPORT OF:

(b)(6) (b)(7)(c)

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By

(b)(6) (b)(7)(c)

Date Submitted

12/05/2016

---

Approved By

ROBERT MANCUSO

Approved Date

12/05/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:**

(b)(6) (b)(7)(c)

**DATE:**

12/05/2016

**DISTRIBUTION:**

File

**OFFICE:**

REGION 11 - TCD

**NARRATIVE**

This investigation was predicated by a referral from the U.S. Department of Education (Department) Office of the Chief Information Officer (OCIO). The referral alleged that (b)(6) (b)(7)(c) used his assigned government-owned computer to search for sexually explicit child exploitation material while at work. The investigation revealed that (b)(6) (b)(7) performed several searches for this type of material over a period of several days, and artifacts resulting from those searches were found on one government-owned computer issued to (b)(6) (b)(7). This investigation was presented for prosecution to the U.S. Attorney's Office (USAO) in both the Eastern District of Virginia (EDVA) and the District of Columbia (DC), but was declined due to (b)(6) (b)(7)(c), (b) (5)

This investigation was referred to the Department for administrative action. (b)(6) (b)(7) was suspended indefinitely without pay and allowed to retire from Federal service.

(b)(6) (b)(7) was employed by the Department as an Information Technology (IT) Specialist. (b)(6) (b)(7) was assigned the duties of an "IT Principal Office Coordinator" in the Office of the Chief Financial Officer (OCFO) for the Department. In this role, (b)(6) (b)(7) was the primary point-of-contact for all information system security matters and IT matters within OCFO.

On September 22, 2014, the Department's IT Security Operations Center detected an alert from a malware sensor on the Department's network. The alert information indicated that the computer using internal IP address (b)(6) (b)(7)(c) and assigned host name EDU(b)(6) was connecting to a known malicious website through the URL "http://devilstraffic[.]com/tds/in[.]cgi?18" and was possibly infected with malware. Department records revealed the computer was a U.S. Government issued computer assigned to (b)(6) (b)(7). Preliminary review of network logs by Department personnel revealed that at the time of the alert the subject computer was being used to search for and view pornography through the Internet search engine Bing (Attachment 1).

Per Department policy, in order to determine whether the subject computer was infected with malware and the source of the infection, Department IT Security personnel from the Office of the Chief Information Officer (OCIO) retrieved the computer from (b)(6) (b)(7) work space to obtain a forensic image of the hard drive and for the purpose of determining whether it was infected with undetected malware that generated

the detected traffic. During the forensic examination of the computer, multiple anti-virus scans of the computer with different anti-virus products found potentially malicious files, but the creation date of those files significantly pre-dated the date on which the traffic that alerted the malware sensor occurred. In order to determine the cause of the malware detection, OCIO began a review of web history and browser artifacts on the subject computer. During this review, on December 3, 2014, OCIO recovered from the browser cache on the computer graphic photo images of nude and nearly nude children as well as graphically drawn images of children engaging in sexual acts with adults. Upon discovering images that could be construed as child exploitation, OCIO immediately ceased the review of the computer and notified the Technology Crimes Division (TCD) of the Office of Inspector General for the Department of Education (ED OIG).

On December 3, 2014, TCD reviewed the material discovered by OCIO and determined it to be nearly identical to material from another investigation that resulted in prosecution for possession of child exploitation images. TCD took possession of the forensic image as well as the hard drive from the computer, which had been retained by OCIO personnel.

A second forensic image of the computer hard drive was obtained and examined by TCD. The digital forensic examination was completed on April 15, 2015 (Attachment 2). The examination revealed thirteen images of apparent minors and possibly pre-pubescent children in various levels of undress, including one engaged in sexual activity, in temporary Internet files of the user profile (b)(6) (b)(7)(c). Additionally, the following Internet searches were conducted using the (b)(6) (b)(7)(c) profile between October 19, 2014, and October 22, 2014:

Very+Young+Little+Girls  
Lola+Pre+Very+Young+Surprised+Girl  
Lola+Pre+Very+Young+Baby+Girl  
Lola+Pre+Very+Young+Thai+Girls  
lola+pre+very+young+surprised+girl  
Very+Young+Girl+Old+Man  
Naked+Toddler+Sucking+Cock  
Very+Young+Little+Girls+Sucking  
Lola+Pre+Very+Young+Boys  
Very+Young+Pre+Lola+Girls  
Pre+Girls+Sucking+Dick  
Too+Young+Boy  
VK+Boy+Suck+It  
Vintage+Nudist+Boys  
Boys+Only+Nudist+Camp  
Little+Boy+Nudists+But  
boys+fucking+animals+xxx  
animal+loving+boys+xxx  
dennis+the+menace+xxx

Department records revealed (b)(6) (b)(7)(c) was assigned a Government furnished laptop computer and that (b)(6) (b)(7)(c) did have a telework agreement in place.

On 4/30/2015, this investigation was presented to the USAO in EDVA. The investigation was accepted in order support the obtaining of search warrants for further investigation. Search warrants for (b)(6) (b)(7)(c) home and office were obtained from EDVA and DC, respectively (Attachment 3 and 4).

TCD executed a search warrant on the residence and office of (b)(6) (b)(7)(c) on 08/05/2015. Four government-owned computers were seized from these locations.

(b)(6) (b)(7)(c) was interviewed during the search warrant execution. During the interview (b)(6) (b)(7)(c) did not state that he recalled using the described search terms. (b)(6) (b)(7)(c) said he was testing the website blocking protocols employed by the Department, and some of the images that he found during his browsing and searching might be considered Child Exploitation material (Attachment 5).



The Department indefinitely suspended (b)(6) (b) without pay on 09/03/2015, pending the results of this investigation (Attachment 6). On 11/09/2015, the Department notified TCD that (b)(6) (b) had retired from civil service (Attachment 7).

On 12/07/2015, digital evidence forensic examiners from TCD searched three of the seized computers for additional evidence. No child exploitation material was located as a result of the search (Attachment 8). The fourth seized computer was encrypted and could not be accessed for search.

On 05/20/2016, TCD provided the hashes of all pornographic images found on (b)(6) (b)(7)(c) computer to the National Center for Missing and Exploited Children (NCMEC) in order to compare those hashes to hashes of images previously submitted to NCMEC for examination. A successful match in this comparison only indicates that NCMEC has seen the image previously and not that NCMEC has determined the image to be child exploitation material. Only one of the submitted hashes matched and it was not one of the suspected thirteen Child Exploitation images (Attachment 9).

On 06/30/2016, TCD received a verbal declination of prosecution from the Eastern District of Virginia (b)(5), (b)(7).

On 08/16/2016, TCD met with a prosecutor from DC and reviewed the thirteen possible Child Exploitation images found on (b)(6), (b)(7) computer. DC declined this investigation for prosecution (b)(6) (b)(7)(c), (b)(5).

As this investigation has been declined for prosecution and (b)(6) (b) has retired from federal service, this investigation is closed.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State

(b)(6)

Zip Code

(b)(6) (b)(7)(c)

Country

USA

Individual Telephone

(b)(6) (b)(7)(c)

Prosecutive Status

All Confinement Days

Criminal Action

Index Name

(b)(6) (b)(7)(c)

Accepted Date

Attorney Type

US Attorney

Venue

Virginia, Eastern District (Federal)

Conviction Type

Conviction Date

Docket Number

Community Service

0 Hours

Sentencing Date

All confinement Time

0 Months

Days 0 Days

Probation Time

0 Months

Total Fine Amount

\$0.00

Special Assessment

\$0.00

Total Restitution

\$0.00

Total Seizure/ Forfeiture Amt

\$0.00

Attorney Phone

(b)(6) (b)(7)(c)

Declined Date

06/29/2016

Declined Reason

(b) (5) (b) (7)(E)

Declined Attorney Code

US Attorney

Declined Assistant Attorney

(b)(6) (b)(7)(c)

Declined Venue

Virginia, Eastern District (Federal)

DOCUMENT FILENAME

201410221650\_EDSERdocx.docx

Forensic Report.pdf

(b)(6) \_home\_warrant\_signedpdf.pdf

(b)(6) \_office\_warrant\_signedpdf.pdf

(b)(6) (b) \_suspension\_letterpdf.pdf

(b)(6) (b) retirement\_notice\_emailpdf.pdf

Document301A\_2483.pdf

NCMEC\_reports.zip

EDVA\_declinationpdf.pdf

declination\_emailpdf.pdf

Interview of (b)(6) (b)(7)(c) .docx

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO:

16-041982

REPORT OF:

(b)(6) (b)(7)(c)  
(b)(6) (b) (ICE HSI Task  
Force)

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

Submitted By

(b)(6) (b)(7)(c)

Date Submitted

09/01/2016

Approved By

(b) (6), (b) (7)(C)

Approved Date

09/01/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c) **DATE:** 08/18/2016

**DISTRIBUTION:** Headquarters; Southeastern Regional Office **OFFICE:** REGION 4 - ATLANTA

**NARRATIVE**

This case was predicated on information received from the U.S. Department of Homeland Security, Homeland Security Investigations (DHS HSI) Benefit Fraud Task Force. It was alleged that (b)(6) (b)(7)(c) engaged in fraudulent activities that resulted in improper awarding of federal student aid totaling approximately \$78,000. In addition, (b)(6) (b)(7)(c) may have received benefits from other federal programs (U.S. Department of Agriculture, Social Security Administration, and U.S. Department of Labor).

The U.S. Department of Education, Office of Inspector General (ED/OIG) investigation determined that in December 2014, (b)(6) (b)(7)(c) traveled into the United States with a fraudulently obtained U.S. passport, under an assumed name of (b)(6) (b)(7)(c). On January 8, 2015, DHS HSI questioned (b)(6) (b)(7)(c) who admitted to being a (b)(6) citizen and fraudulently obtaining a U.S. passport. Under his alias (b)(6) (b)(7)(c), and claiming to be a U.S. citizen, (b)(6) (b)(7)(c) resided in the Atlanta metropolitan area where he enrolled at Georgia State University, submitted a Free Application for Federal Student Aid (FAFSA), and received federal student aid.

Students seeking to receive federal student aid must complete and submit a FAFSA. Information obtained from a FAFSA establishes student eligibility and also determines financial need. In completing the FAFSA, an applicant provides his/her name, social security number, and citizenship. FAFSA specifically states, "If you are the parent or the student, by signing this application you certify that all of the information you provided is true and complete to the best of your knowledge ...If you purposely give false or misleading information, you may be fined up to \$20,000, sent to prison, or both."

Moreover, under Title 34 of the Code of Federal Regulations section 668.33, students seeking to participate in the Higher Education Act Title IV assistance program are required to be United States citizens or nationals. Students may also be eligible if they provide evidence from Immigration Naturalization Service, that they are a Legal Permanent Resident (LPR) or that they are in the United States for other than a temporary purpose with the intention of becoming a U.S. citizen or LPR.

ED/OIG's investigation revealed that (b)(6) (b)(7)(c) electronically submitted a FAFSA to ED,

utilizing the name (b)(6) (b)(7)(c), and falsely claimed to be a U.S. citizen on five separate occasions between 2007 and 2012. The National Student Loan Data System disclosed (b)(6) (b)(7)(c) received federal student aid to attend Des Moines Area Community College, Georgia Perimeter College, and Georgia State University.

DHS HSI agents contacted the (b)(6) Consulate. Consulate officials verified the authenticity of (b)(6) (b)(7)(c) (b)(6) birth certificate ((b)(6) (b)(7)(c)). Consulate officials also verified that (b)(6) (b)(7)(c) birth parents are both (b)(6) (b)(7)(c) nationals. In addition, a DNA test was performed on (b)(6) (b)(7)(c) and (b)(6) (b)(7)(c) is listed on (b)(6) (b)(7)(c) fraudulently obtained Consular Report of Birth Abroad as his father. The DNA test confirmed (b)(6) (b)(7)(c) is not the biological father of (b)(6) (b)(7)(c).

This investigation also revealed (b)(6) (b)(7)(c) submitted applications claiming U.S. citizenship, to receive benefits for other non-educational federal assistance. These benefits included food stamps, Medicaid and unemployment. All applications submitted were under his assumed alias, social security number, and/or U.S. citizenship status.

On May 27, 2015, DHS HSI charged (b)(6) (b)(7)(c) for violations of the Immigration and Nationality Act, section 212(a)(6)(A)(i), Alien present without admission or parole; section 212(a)(6)(C)(ii), False claim to U.S. citizenship; section 212(a)(7)(A)(ii), Immigrant without an immigrant visa.

On January 15, 2016, ED/OIG presented this case for criminal prosecution to (b)(6) (b)(7)(c), Assistant United States Attorney for the Northern District of Georgia. The case was declined for prosecution on February 12, 2016, in favor of pursuing administrative options.

On January 29, 2016, ED/OIG submitted an administrative referral to ED Federal Student Aid. Based on the referral, on February 1, 2016, ED issued a thirty (30) day demand letter to (b)(6) (b)(7)(c). The letter informed (b)(6) (b)(7)(c) he did not meet the necessary citizenship requirements and provided false or erroneous information during the loan application process. As a result, (b)(6) (b)(7)(c) is required to pay ED \$73,544.32 within 30 days.

As a result of the administrative recovery, a civil remedy was not warranted in this case.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)

Birth Date

(b)(6) (b)(7)

Individual Address

Street1

(b)(6) (b)(7)(c)

Street2

(b)(6) (b)

City

(b)(6)

State

(b)

Zip Code

(b)(6)

Country

USA



**Prosecutive Status**

All Confinement Days

**Criminal Action**

**Index Name**

(b)(6) (b)(7)(c)

(b) (6)

**Accepted Date**

**Attorney Type**

US Attorney

**Venue**

Georgia, Northern District (Federal)

**Conviction Type**

**Conviction Date**

**Docket Number**

**Community Service**

0 Hours

**Sentencing Date**

**All confinement Time**

0 Months

**Days** 0 Days

**Probation Time**

0 Months

**Total Fine Amount**

\$0.00

**Special Assessment**

\$0.00

**Total Restitution**

\$0.00

**Total Seizure/ Frofeiture Amt**

\$0.00

**Attorney Phone**

(b)(6) (b)(7)(c)

**Declined Date**

02/12/2016

**Declined Reason**

(b) (7)(E), (b) (5)

**Declined Attorney Code**

US Attorney

**Declined Assistant Attorney**

(b)(6) (b)(7)(c)

**Declined Venue**

Georgia, Northern District (Federal)

DOCUMENT FILENAME

AUSA Criminal Declination.pdf  
Case Opening Plan\_23583.pdf  
DHS ICE Form I-213.pdf  
DNA report.pdf  
ED Loan information.XLS  
ED Pell Grant information.XLS  
FAFSA demographic information.XLS  
FBI Notification and Letter.pdf  
FSA 30 Day Demand Letter.pdf  
FSA Referral Letter.pdf  
(b)(6) Consulate Record of Birth.pdf  
CAAT Request.docx  
Social Security Record.pdf  
US DOL report.pdf  
USDA WICFood Stamp Program.pdf

UNITED STATES  
DEPARTMENT OF EDUCATION



OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATION SERVICES  
REPORT OF INVESTIGATION CONCERNING

CLOSING ROI

CASE NO: 16-110436

REPORT OF: THEFT OF STUDENT DATA  
FROM FMS

TABLE OF CONTENTS

Section A.....	NARRATIVE
Section B.....	ENTITIES
Section C.....	PROSECUTIVE STATUS
Section D.....	ATTACHMENTS

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Submitted By (b)(6) (b)(7)(c)  
Date Submitted 08/15/2016

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Approved By (b) (6), (b) (7)(C)  
Approved Date 08/15/2016

**UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF INSPECTOR GENERAL  
INVESTIGATION SERVICES**



**PREPARED BY:** (b)(6) (b)(7)(c) **DATE:** 08/15/2016

**DISTRIBUTION:** ITACCI, Headquarters **OFFICE:** REGION 11 - TCD

**NARRATIVE**

On October 13, 2015, Special Agents from the Internal Revenue Service Criminal Investigation Division (IRS CID) contacted Financial Management Services (FMS), a debt collection agency under contract with the Department of Education and Federal Student Aid, about a list of 33 names and Personally Identifying Information (PII) written on FMS forms that was found during the execution of a search warrant as part of an IRS CID criminal investigation. FMS confirmed that the individuals listed on the forms were federal student loan borrowers whose loans were being serviced by FMS. On October 14, 2015, FMS reported the exposure of PII to the U.S. Department of Education Office of Chief Information Officer (OCIO).

On October 14, 2015 OCIO alerted the U.S. Department of Education, Office of the Inspector General (ED OIG), Technology Crimes Division (TCD) of the potential theft of PII. TCD contacted IRS CID and agreed to work the investigation jointly. IRS CID was investigating a group of individuals who were filing fraudulent federal income tax returns and using the names and PII taken from FMS to add dependents to the tax returns in order to claim additional deductions. The agents from IRS CID identified FMS employee (b)(6) (b)(7) as the brother of the main target in their tax fraud investigation.

FMS confirmed that (b)(6) (b)(7) accessed each of the accounts associated with the names and PII found by IRS CID. (b)(6) (b)(7) job functions required him to have access to the accounts, but (b)(6) (b)(7) accessed the accounts an unusually high number of times. All of the accounts (b)(6) (b)(7) accessed were individuals in default on federal student loans and were ineligible for further financial aid. (b)(6) (b)(7) received initial training and refresher training in the proper handling of PII. FMS policies absolutely prohibited employees from writing down PII and removing it from the building.

On October 20, 2015, agents from TCD and IRS CID interviewed (b)(6) (b)(7) at FMS offices. (b)(6) (b)(7) stated he understood FMS' policies regarding the handling of PII, and said he never removed any PII from FMS. (b)(6) (b)(7) was fired from his position with FMS and remains a subject of the IRS CID tax fraud investigation.

IRS CID forwarded to TCD a list of 1,525 names with social security numbers that were found during the search warrant of their subject's home. TCD determined that 686 of those listed identities were used to

apply for and receive federal financial aid. IRS CID does not possess any information indicating those individuals were victims of identity theft or if the financial aid applications associated with those identities were fraudulent.

On July 26, 2016, TCD referred the list of 686 identities from the search warrant to ED OIG Investigative Services Chicago Office to determine if those individuals were victims of identity theft or if the financial aid applications associated with those identities were fraudulent.

(b)(6) (b)(7)(c), (b) (7)(E)

This investigation is closed.

## SUBJECT

Index Name

(b)(6) (b)(7)(c)

Index Code

Individual

SSN

(b)(6) (b)(7)(c)

Birth Date

(b)(6) (b)(7)(c)

Individual Address

Street1

(b)(6) (b)(7)(c)

City

(b)(6) (b)(7)(c)

State (b)(6) (b)(7)(c)

Zip Code

(b)(6) (b)(7)(c)

Country

USA

Individual Telephone

(b)(6) (b)(7)(c)

DOCUMENT FILENAME

FMS\_lead\_opening\_document.pdf

Referral Memo.pdf

(b)(6) MOI.pdf